Yeungnam University Journal of Medicine

https://www.e-yujm.org

elSSN 2384-0293

Vol. 37, No. 2 April 2020

Yeungnam University Journal of Medicine



Yeungnam University College of Medicine Yeungnam University Institute of Medical Science

# e-yujm.org

eISSN 2384-0293



# Vol. 37 · No. 2 · April 2020

#### Aims and scope

Yeungnam University Journal of Medicine (Yeungnam Univ J Med, YUJM, eISSN 2384-0293, https://www.e-yujm.org), the official publication of the Yeungnam University College of Medicine, is an international, peer-reviewed, and open access journal in the medical field.

YUJM aims to communicate new medical information to medical personnel, and to facilitate the development of medicine and the propagation of medical knowledge by publishing high quality evidence-based articles. It covers all fields of medical science, including clinical research and basic medical science.

YUJM publishes original articles, case reports, review articles, and editorials. All manuscripts should be creative, informative, and helpful for the diagnosis and treatment of medical diseases and for the communication of valuable information about all fields of medicine.

The first volume was published in December 1984. YUJM is published in English, four times a year (January 31, April 30, July 31, and October 31).

YUJM is indexed/tracked/covered by PubMed Central, PubMed, DOAJ, KoreaMed, Korea Citation Index, KoMCI, WPRIM, DOI/CrossRef, and Google Scholar.

### **Open access**

This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/4.0/) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.

#### Publisher

Yeungnam University College of Medicine

#### Editor-in-chief

Joon Hyuk Choi, Yeungnam University College of Medicine

#### Editorial offic

Yeungnam University College of Medicine 170 Hyeonchung-ro, Nam-gu, Daegu 42415, Korea Tel: +82-53-640-6832 Fax: +82-53-651-0394 E-mail: yujm@yu.ac.kr

#### Printing office

M2community Co.
8th FL, DreamTower, 66 Seongsui-ro, Seongdong-gu, Seoul 04784, Korea
Tel: +82-2-2190-7300 Fax: +82-2-2190-7333 E-mail: journal@m2community.co.kr

Published on April 30, 2020

 $\label{lem:copyright} \begin{tabular}{ll} Copyright \begin{tabul$ 





# **Editorial board**

Editor-in-chief Joon Hyuk Choi Yeungnam University College of Medicine, Korea

**Associate editor** Tae Gon Kim Yeungnam University College of Medicine, Korea

**Editorial board** Kiwon Ban City University of Hong Kong, Hong Kong

Min Cheol Chang Yeungnam University College of Medicine, Korea Du-Hyong Cho Yeungnam University College of Medicine, Korea Kyu Hyang Cho Yeungnam University College of Medicine, Korea Kwang Hae Choi Yeungnam University College of Medicine, Korea Jinmyoung Dan CHA University College of Medicine, Korea Yeungnam University College of Medicine, Korea Kyung-Oh Doh Jong Ryul Eun Hanyang University College of Medicine, Korea Mi Jin Gu Yeungnam University College of Medicine, Korea Yonsei University College of Medicine, Korea Geu-Ru Hong

Ming-Yen Hsiao National Taiwan University College of Medicine, Taiwan

Insoo Kang Yale University School of Medicine, USA

Noriyuki Kanzaki Kobe University Graduate School of Medicine, Japan
Jae Woon Kim Yeungnam University College of Medicine, Korea
Ung Kim Yeungnam University College of Medicine, Korea

Shaw Hua Anthony Kueh Auckland City Hospital, New Zealand

Dong Shik Lee
Yeungnam University College of Medicine, Korea

Jae-Lyun Lee
Ulsan University College of Medicine, Korea

Keun Mi Lee
Yeungnam University College of Medicine, Korea

Yong Su Lim
Gachon University College of Medicine, Korea

Chul Hyun Park
Yeungnam University College of Medicine, Korea

Hosun Park
Yeungnam University College of Medicine, Korea

Jeong Hyun Park Kangwon National University College of Medicine, Korea

So-young Park

Yeungnam University College of Medicine, Korea

Joon Sakong

Yeungnam University College of Medicine, Korea

In Hwan Song

Yeungnam University College of Medicine, Korea

Hoon-Ki Sung University of Toronto, Canada

Kyu Chang Won Yeungnam University College of Medicine, Korea

Wan-Hee Yoo Chonbuk National University College of Medicine, Korea

**Statistical editors** Sang Won Kim Yeungnam University, Korea

Keun Jung Ryu Yonsei Kim & Jung Hospital, Korea

Managing editor Eun-il Lee Yeungnam University College of Medicine, Korea

Manuscript editors Hye-Min Cho InfoLumi, Korea

Yoonjin Kim InfoLumi, Korea



# **Contents**

Vol. 37 · No. 2 · April 2020

#### **Review articles**

- 73 Function of hepatocyte growth factor in gastric cancer proliferation and invasion Sung Ae Koh, Kyung Hee Lee
- 79 Creativity in medical education: concepts related to creative capacity Yura Kim, Young Hwan Lee
- 84 Effectiveness of orthoses for treatment in patients with spinal pain Yoo Jin Choo, Min Cheol Chang

## **Original articles**

- 90 Usefulness of subtraction pelvic magnetic resonance imaging for detection of ovarian endometriosis Hyun Jung Lee
- 98 Comparison of small bowel findings using capsule endoscopy between Crohn's disease and intestinal tuberculosis in Korea

Yong Gil Kim, Kyung-Jo Kim, Young-Ki Min

106 Predictive value of C-reactive protein for the diagnosis of meningitis in febrile infant under 3 months of age in the emergency department

Tae Gyoung Lee, Seung Taek Yu, Cheol Hwan So

Does oral doxycycline treatment affect eradication of urine vancomycin-resistant Enterococcus? A tertiary hospital study Yoonjung Kim, Sohyun Bae, Soyoon Hwang, Ki Tae Kwon, Hyun-Ha Chang, Su-Jeong Kim, Han-Ki Park, Jong-Myung Lee, Shin-Woo Kim

## **Case reports**

- **122** Fatal progressive right heart failure in a pancreatic cancer patient Jeong Tae Byoun, Jae Young Cho
- 128 Extramedullary tanycytic ependymoma of the lumbar spinal cord Dong Ja Kim, Man-Hoon Han, SangHan Lee
- 133 Rectus abdominis muscle atrophy after thoracotomy
  Jang Hoon Lee, Seok Soo Lee
- 136 Anti-nuclear antibody-negative immunoglobulin G4-associated autoimmune hepatitis mimicking lymphoproliferative disorders

Min Kyu Kang, Jung Gil Park, Joon Hyuk Choi



# **Contents**

Vol. 37 · No. 2 · April 2020

**141** Effective strategy in the treatment of aortobronchial fistula with recurrent hemoptysis Shin-Ah Son, Deok Heon Lee, Gun-Jik Kim

#### **Erratum**

147 Erratum to "Assessment of solid components of borderline ovarian tumor and stage I carcinoma: added value of combined diffusion- and perfusion-weighted magnetic resonance imaging"

See Hyung Kim



elSSN 2384-0293 Yeungnam Univ J Med 2020;37(2):73-78 https://doi.org/10.12701/yujm.2019.00437

# Function of hepatocyte growth factor in gastric cancer proliferation and invasion

#### Sung Ae Koh, Kyung Hee Lee

Department of Hematology-Oncology, Yeungnam University College of Medicine, Daegu, Korea

Received: December 13, 2019 Revised: January 21, 2020 Accepted: January 28, 2020

#### Corresponding author:

Kyung Hee Lee Department of Hematology-Oncology, Yeungnam University College of Medicine, 170 Hyeonchung-ro, Nam-gu, Daegu 42415, Korea Tel: +82-53-620-3845

Fax: +82-53-654-8386 E-mail: lkhee@med.yu.ac.kr Cancer incidence has been increasing steadily and is the leading cause of mortality worldwide. Gastric cancer is still most common malignancy in Korea. Cancer initiation and progression are multistep processes involving various growth factors and their ligands. Among these growth factors, we have studied hepatocyte growth factor (HGF), which is associated with cell proliferation and invasion, leading to cancer and metastasis, especially in gastric cancer. We explored the intercellular communication between HGF and other surface membrane receptors in gastric cancer cell lines. Using complimentary deoxyribonucleic acid microarray technology, we found new genes associated with HGF in the stomach cancer cell lines, NUGC-3 and MKN-28, and identified their function within the HGF pathway. The HGF/N-methyl-N'-nitroso-guanidine human osteo-sarcoma transforming gene (c-MET) axis interacts with several molecules including E-cadherin, urokinase plasminogen activator, KiSS-1, Jun B, and lipocalin-2. This pathway may affect cell invasion and metastasis or cell apoptosis and is therefore associated with tumorigenesis and metastasis in gastric cancer.

Keywords: Cell proliferation; Hepatocyte growth factor; Neoplasm metastasis; Stomach neoplasm

#### Introduction

Cancer initiation and progression involve a multistep process. Cancer initiation requires germline mutation, amplified oncogene, mutated suppressor genes, or hormone action, while cancer progression and metastasis require various growth factors, including epidermal growth factor (EGF), hepatocyte growth factor (HGF), and vascular endothelial growth factors (VEGFs), as well as proteases and adhesion molecules (Table 1) [1-6]. Cell surface receptors can bind growth factors and other ligands, which activate the receptors and transduce the signals by activating a tyrosine kinase inhibitor, thereby regulating cell functions such as cell survival, cell proliferation, protein synthesis, and angiogenesis [7].

HGF, an effector on cells expressing the N-methyl-N'-nitro-so-guanidine human osteosarcoma transforming gene (c-MET)

tyrosine kinase receptor, is produced by mesenchymal cells and acts on cells of epithelial origin in paracrine or autonomic fashion [8]. Studies have shown that overexpression or over-activation of HGF can lead to misplaced or inappropriately timed angiogenic and mitogenic signals. c-MET is a cell surface membrane receptor composed of a 50 kDa  $\alpha$ -chain and a 145 kDa  $\beta$ -chain [9]. MET activity is observed during embryogenesis and organogenesis in normal cells and is also activated in degenerative diseases such lung and renal fibrosis and liver cirrhosis [10]. Although the HGF/c-MET axis plays a principal role in normal cell development, aberrant activation of this axis is thought to be involved in cell invasion and metastasis in most types of human cancers [11]. We have studied the HGF/c-MET pathway and the associated tumor invasion and proliferation in gastric cancer for several years and here, we review our experiment results.

Copyright© 2020 Yeungnam University College of Medicine

This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/4.0/) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.

Table 1. Gowth factors in cancer progression

Growth factor	Property	Receptor	Study
Vascular endothelial growth factor	Endothelial mitogen, survival factor, and per- meability inducer produced by many types of tumor cells	Flk-1/KDR (VEGFR-2), Flt-1 (VEGFR-1) (both present on activated endothelium)	Veikkola and Alitalo [1]
Transforming growth factor– $\alpha$ (TGF– $\alpha$ )	Endothelial mitogen and angiogenesis inducer; inducer of vascular endothelial growth factor expression	Epidermal growth factor-R	Schmitt and Soares [2]
Fibroblast growth factor	Endothelial mitogen, angiogenesis inducer, and survival factor; inducer of Flk-1 expres- sion	FGF-RI-4	Botta et al. [3]
Epidermal growth factor (EGF)	Weak endothelial mitogen; inducer of vascular endothelial growth factor expression	Epidermal growth factor-R	Mooradian and Diglio [4]
Hepatocyte growth factor/scatter factor (HGF/SF)	Endothelial mitogen, motogen, and angiogenesis inducer	c-MET	Lamszus et al. [5]
Interleukin-8	<i>In vivo</i> –acting, possibly indirect angiogenesis inducer	Interleukin-8R presence on endothelial cells remains uncertain	Desbaillets et al. [6]

VEGFR, vascular endothelial growth factor receptor; c-MET, N-methyl-N'-nitroso-guanidine human osteosarcoma transforming gene.

# Interaction of HGF and other molecular proteins in gastric cancer

#### 1. E-cadherin and β-catenin

MET has been demonstrated to interact with other cell surface receptors, including integrins, human epidermal growth factor receptor, and FAS receptor, to enhance downstream signaling and tumorigenesis. We previously reported that the expression of E-cadherin (ECD) and urokinase plasminogen activator (uPA) is associated with the development of pancreatic cancer [12]. ECD is a transmembrane glycoprotein that is responsible for calcium-dependent intercellular adhesion by homotypic interaction and is one of the principal elements of the cytoskeleton. Decrease or loss of ECD is frequently associated with cell-to-cell disengagement, tumor invasion, and metastasis [13,14]. ECD functions to dephosphorylate β-catenin, thus inhibiting the binding of intracellular ECD to catenin proteins. It has been suggested that HGF reduces cell-to-cell adhesion by dephosphorylation of the ECD/ catenin complex and ECD shedding [15]. We hypothesized that HGF/c-MET may interact with ECD to promote tumorigenesis through the activation of matrix metalloproteinase-7 (MMP-7), which degrades many cellular matrix proteins and adhesion molecules (Fig. 1). To confirm this hypothesis, we investigated the association between HGF/c-MET, ECD, and MMP-7 in two stomach cancer cell lines, NUGC-3 and MKN-28. Western blot and reverse transcription PCR analyses showed that treatment of these cells with HGF reduced the expression of ECD. These results suggested that HGF may stimulate the extracellular cleavage of ECD, thereby increasing the shedding of the soluble fragment and decreasing the 120-kDa full-length ECD in the total cell lysates [16]. MMP-7, which is known to be expressed predominantly by tumor cells in various cancers, was increased by HGF treatment and knockdown of MMP-7 expression in the stomach cancer cell lines resulted in no extracellular cleavage of ECD as well as decreased *in vitro* cell invasion. These results suggest that HGF may interact with ECD, leading to the activation of the MMP-7 pathway and increased cell invasion.

#### 2. Urokinase plasminogen activator

uPA is a member of the family of serine proteases and is known to participate in cell migration and tissue remodeling. uPA overexpression has been reported in lung, colon, and breast cancers [17-19]. Many studies have shown that blocking the expression of uPA or inhibiting its binding to the uPA receptor (uPAR) suppresses tumor cell invasion and metastasis in various cancer cell lines [20,21]. We measured uPAR expression in 26 patients with stomach cancer before and after surgery and found that uPAR expression was significantly decreased after surgery (p < 0.05). We also found that the survival rate of patients with gastric tumors expressing uPAR was significantly lower than that of patients with tumors not expressing uPAR (p = 0.035) [22]. We hypothesized that uPA is also associated with tumor progression in gastric cancer and we explored the relationship between HGF and uPA in gastric cancer tumorigenesis. We found that HGF induced reactive oxygen species generation, which regulates uPA production and tumor invasion via mitogen-activated protein (MAP) kinase [23]. Previous studies have also examined the connection between HGF and uPA. One study showed that histone deacetylase (HDAC) regulates HGF-induced expression of both uPA and MMP-9 through a protein kinase C (PKC) dependent pathway in

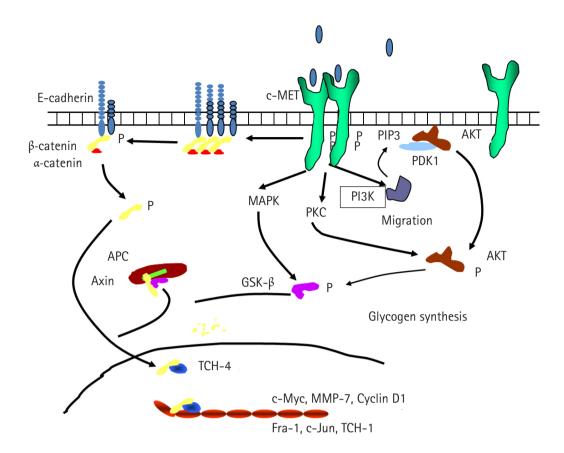


Fig. 1. Schematic diagram of the relationship between hepatocyte growth factor and E-cadherin. APC, adenomatous synthase kinase-binding protein; AKT, protein kinase B; c-MET, N-methyl-N'-nitroso-guanidine human osteosarcoma transforming gene tyrosin kinase receptor; GSK-β, glycogen synthase kinase β; MAPK, mitogen-activated protein kinase; MMP-7, matrix metalloproteinase-7; PDK1, phosphoinositide-dependent kinase-1; Pl3K, phosphinositol-3 kinase; PlP3, phosphatidylinositol (3,4,5)-trisphosphate; PKC, protein kinase C; TCF-1, transcription factor 1; TCF-4, transcription factor 4.

gastric cancer [24]. Another study showed that survivin, a member of the inhibitor of apoptosis family, increases HGF-induced uPA expression and seems to play a role in gastric cancer tumorigenesis [25].

#### 3. New HGF regulatory genes

To find new HGF regulatory genes and identify their role in HGF-induced stomach cancer cell survival, we screened for genes induced by HGF using complimentary deoxyribonucleic acid (cDNA) microarray technology in the stomach cancer cell lines, NUGC-3 and MKN-28 (Fig. 2). We selected the genes that were up or downregulated by more than three-fold in NUGC-3 and MKN-28 cells during HGF treatment (Table 2) and determined their function in conjunction with HGF.

Bcl-2 associated agonist of cell death (BAD), a BH3-only proapoptotic Bcl-2 family protein, has been found to be upregulated in response to HGF treatment. BAD functions by inactivating anti-apoptotic Bcl-2 proteins [26]. cDNA microarray analysis

results have confirmed that BAD is upregulated at the RNA and protein levels following HGF treatment. Our data showed that HGF induced BAD overexpression and enhanced BAD phosphorylation, thereby inhibiting apoptosis and promoting cancer cell survival [27].

KiSS-1 was also upregulated in response to HGF treatment. KiSS-1 is a putative metastasis suppressor gene and its expression is increased in several human malignancies including melanoma [28] and breast cancer [29]. One study also reported that overexpression of KiSS-1 in breast cancer cells results in a more aggressive phenotype [30]. Consistent with these results, we found that HGF induced the overexpression of KiSS-1 in a p38-dependent manner. In addition, KiSS-1 suppressed MMP-9 expression and decreased cell invasion *in vitro*, suggesting it may act as a metastasis suppressor gene in gastric cancer [31].

Jun B was also upregulated in response to HGF treatment. Jun B belongs to the June gene family (c-Jun, JunB, and JunD), whose members encode the activator protein-1 (AP-1) family of tran-

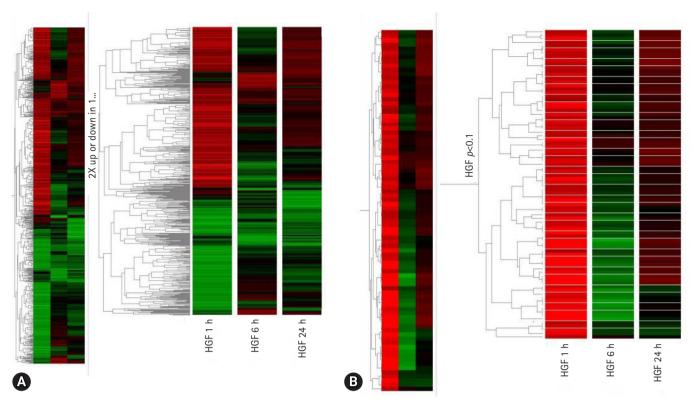


Fig. 2. (A) Genetree showing genes up or downregulated by at least 2-fold after 1 hour, 6 hours, and 24 hours of hepatocyte growth factor (HGF) treatment. (B) Genetree of t-test.

**Table 2.** Genes induced by hepatocyte growth factor using complimentary deoxyribonucleic acid microarray

Gene otology	Name	Fold
Transcript variant 1	Homo sapiens Bcl-2 agonist of cell death (BAD)	3.71
Transcript variant 2	Homo sapiens histone diacetylate 5 (HDAC5)	3.26
Metastasis suppressor	KiSS-1	9.3
Single strand break repair	Homo sapiens X-ray repair com- plementing defective repair in Chinese hamster cell 1 (XRCC1)	3.1
Inflammatory response apoptosis	Homo sapiens interleukin 1, beta (IL-1β)	3.25
Oncoprotein	Stathmin-like 3	7.27
Invasiveness	Rho GDP dissociation 2 inhibitor (Rho GDI2)	3.11

scription factors. AP-1 is a dimeric transcription factor that is enhanced by the MAP kinase pathway in the presence of growth factors, hormones, or other environmental stresses [32,33]. Of the AP-1 components, c-Jun and c-Fos were first identified as viral oncoproteins; thus, their function in tumorigenesis has been established. However, it is also known that some Jun and Fos proteins can suppress tumor formation [34]. Accordingly, we exam-

ined the role of Jun B in gastric cancer. In our study, Jun B levels were decreased by inhibition of nuclear factor kappa-light-chainenhancer of activated B cells (NF-κB), and cell proliferation and invasion were decreased in Jun B knockdown stomach cancer cell lines. Further, Jun B knockdown cells blocked the MMP-9 upregulation induced by HGF. MMP-9 is a matrix metalloproteinase protein that degrades the basement membrane, exposing cryptic sites within the matrix and resulting in cancer cell invasion [35,36]. These data suggest that Jun B expression induced by HGF can activate MMP-9 by the NF-κB pathway and thereby contribute to invasion and cell proliferation in gastric cancer [37].

We recently studied that lipocalin-2 (LCN2) is upregulated by HGF treatment. LCN2 is a member of the lipocalin family, which binds and transports small lipophilic molecules including leukotrienes, retinoic acids, and prostaglandins, and it was first identified as a modulator of the immune system [38]. In addition, LCN2 binds MMP-9, forming a complex comprising LCN2 and MMP-9, promoting MMP-9 activation, and preventing its degradation [35,36]. HGF treatment upregulated the expression of LCN2 in gastric cancer cells, leading to increased activation of MMP-9. Knockdown of LCN2 in these cells decreased MMP-9 activation in response to HGF treatment and treatment of the cells with an NF-κB inhibitor prevented the HGF-mediated upregulation in

LCN2 expression. Further, HGF-mediated cell proliferation and invasion was decreased in LCN2 knockdown cells compared to control cells [39]. These data suggest that HGF induces the upregulation of LCN2 expression, which activates MMP-9, and HGF may play a role in proliferation and invasion of gastric cancer.

#### **Conclusion**

Abberant activation of MET signaling occurs in a subset of advanced cancers, including gastric cancer. The HGF/c-MET axis interacts with several molecules including ECD, uPA, KiSS-9, Jun B, and LCN2. This pathway may affect cell invasion and metastasis or cell apoptosis and is therefore associated with tumorigenesis and metastasis in gastric cancer, which maybe one of the important therapeutic targets. To validate our findings, further experiments are warranted using *in vivo* knockout mouse models.

# Acknowledgments

#### **Conflicts of interest**

No potential conflict of interest relevant to this article was reported.

#### **Author contributions**

Conceptualization: SAK, KHL; Data curation: KHL; Formal analysis: SAK; Project administration: KHL; Validation: SAK; Writing—original draft: SAK; Writing—review & editing: SAK, KHL.

#### **ORCID**

Sung Ae Koh, https://orcid.org/0000-0001-5150-9702 Kyung Hee Lee, https://orcid.org/0000-0003-0462-2512

#### References

- Veikkola T, Alitalo K. VEGFs, receptors and angiogenesis. Semin Cancer Biol 1999;9:211–20.
- 2. Schmitt FC, Soares R. TGF-alpha and angiogenesis. Am J Surg Pathol 1999;23:358–9.
- 3. Botta M, Manetti F, Corelli F. Fibroblast growth factors and their inhibitors. Curr Pharm Des 2000;6:1897–924.
- Mooradian DL, Diglio CA. Production of a transforming growth factor-beta-like growth factor by RSV-transformed rat cerebral microvascular endothelial cells. Tumour Biol 1991;12: 171–83.
- 5. Lamszus K, Jin L, Fuchs A, Shi E, Chowdhury S, Yao Y, et al. Scatter factor stimulates tumor growth and tumor angiogenesis in human breast cancers in the mammary fat pads of nude mice.

- Lab Invest 1997;76:339-53.
- 6. Desbaillets I, Diserens AC, Tribolet N, Hamou MF, Van Meir EG. Upregulation of interleukin 8 by oxygen-deprived cells in glioblastoma suggests a role in leukocyte activation, chemotaxis, and angiogenesis. J Exp Med 1997;186:1201–12.
- 7. Woodburn JR. The epidermal growth factor receptor and its inhibition in cancer therapy. Pharmacol Ther 1999;82:241–50.
- 8. Schmidt C, Bladt F, Goedecke S, Brinkmann V, Zschiesche W, Sharpe M, et al. Scatter factor/hepatocyte growth factor is essential for liver development. Nature 1995;373:699–702.
- Trusolino L, Bertotti A, Comoglio PM. MET signalling: principles and functions in development, organ regeneration and cancer. Nat Rev Mol Cell Biol 2010;11:834

  –48.
- Gherardi E, Birchmeier W, Birchmeier C, Vande Woude G. Targeting MET in cancer: rationale and progress. Nat Rev Cancer 2012;12:89–103.
- 11. Maulik G, Shrikhande A, Kijima T, Ma PC, Morrison PT, Salgia R. Role of the hepatocyte growth factor receptor, c-Met, in oncogenesis and potential for therapeutic inhibition. Cytokine Growth Factor Rev 2002;13:41–59.
- Shin SJ, Kim KO, Kim MK, Lee KH, Hyun MS, Kim KJ, et al. Expression of E-cadherin and uPA and their association with the prognosis of pancreatic cancer. Jpn J Clin Oncol 2005; 35:342–8.
- 13. Chen WC, Obrink B. Cell-cell contacts mediated by E-cadherin (uvomorulin) restrict invasive behavior of L-cells. J Cell Biol 1991;114:319–27.
- 14. Althaus E, Karotke E, Nitsch K, Winkler H. An experimental re-examination of the upper stability limit of muscovite plus quartz. Neues Jahrb Miner Monatsh 1970;7:325–36.
- **15.** Hiscox S, Jiang WG. Hepatocyte growth factor/scatter factor disrupts epithelial tumour cell-cell adhesion: involvement of beta-catenin. Anticancer Res 1999;19:509–17.
- 16. Lee KH, Choi EY, Hyun MS, Jang BI, Kim TN, Kim SW, et al. Association of extracellular cleavage of E-cadherin mediated by MMP-7 with HGF-induced in vitro invasion in human stomach cancer cells. Eur Surg Res 2007;39:208–15.
- 17. Markus G, Takita H, Camiolo SM, Corasanti JG, Evers JL, Hobika GH. Content and characterization of plasminogen activators in human lung tumors and normal lung tissue. Cancer Res 1980;40:841–8.
- 18. Sappino AP, Busso N, Belin D, Vassalli JD. Increase of urokinase-type plasminogen activator gene expression in human lung and breast carcinomas. Cancer Res 1987;47:4043–6.
- 19. Markus G, Camiolo SM, Kohga S, Madeja JM, Mittelman A. Plasminogen activator secretion of human tumors in short-term organ culture, including a comparison of primary and metastat-

- ic colon tumors. Cancer Res 1983;43:5517-25.
- 20. Alonso DF, Farias EF, Ladeda V, Davel L, Puricelli L, Bal de Kier Joffe E. Effects of synthetic urokinase inhibitors on local invasion and metastasis in a murine mammary tumor model. Breast Cancer Res Treat 1996;40:209–23.
- 21. Kruger A, Soeltl R, Lutz V, Wilhelm OG, Magdolen V, Rojo EE, et al. Reduction of breast carcinoma tumor growth and lung colonization by overexpression of the soluble urokinase-type plasminogen activator receptor (CD87). Cancer Gene Ther 2000;7:292–9.
- 22. Lee KH, Bae SH, Lee JL, Hyun MS, Kim SH, Song SK, et al. Relationship between urokinase-type plasminogen receptor, interleukin-8 gene expression and clinicopathological features in gastric cancer. Oncology 2004;66:210–7.
- 23. Lee KH, Kim SW, Kim JR. Reactive oxygen species regulate urokinase plasminogen activator expression and cell invasion via mitogen-activated protein kinase pathways after treatment with hepatocyte growth factor in stomach cancer cells. J Exp Clin Cancer Res 2009;28:73.
- 24. Lee KH, Choi EY, Kim MK, Kim KO, Jang BI, Kim SW, et al. Inhibition of histone deacetylase activity down-regulates urokinase plasminogen activator and matrix metalloproteinase-9 expression in gastric cancer. Mol Cell Biochem 2010;343:163–71.
- 25. Lee KH, Choi EY, Koh SA, Kim MK, Kim KO, Lee SH, et al. Down-regulation of survivin suppresses uro-plasminogen activator through transcription factor JunB. Exp Mol Med 2011; 43:501–9.
- 26. Yang E, Zha J, Jockel J, Boise LH, Thompson CB, Korsmeyer SJ. Bad, a heterodimeric partner for Bcl-XL and Bcl-2, displaces Bax and promotes cell death. Cell 1995;80:285–91.
- 27. Lee KH, Choi EY, Kim MK, Hyun MS, Eun JR, Jang BI, et al. Hepatocyte growth factor promotes cell survival by phosphorylation of BAD in gastric cancer cells. Oncol Res 2008;17:23–32.
- 28. Lee JH, Welch DR. Identification of highly expressed genes in metastasis-suppressed chromosome 6/human malignant melanoma hybrid cells using subtractive hybridization and differen-

- tial display. Int J Cancer 1997;71:1035-44.
- 29. Lee JH, Welch DR. Suppression of metastasis in human breast carcinoma MDA-MB-435 cells after transfection with the metastasis suppressor gene, KiSS-1. Cancer Res 1997;57:2384–7.
- **30.** Martin TA, Watkins G, Jiang WG. KiSS-1 expression in human breast cancer. Clin Exp Metastasis 2005;22:503–11.
- 31. Lee KH, Kim JR. Kiss-1 suppresses MMP-9 expression by activating p38 MAP kinase in human stomach cancer. Oncol Res 2009;18:107–16.
- **32.** Ozanne BW, Spence HJ, McGarry LC, Hennigan RF. Transcription factors control invasion: AP-1 the first among equals. Oncogene 2007;26:1–10.
- 33. Eferl R, Wagner EF. AP-1: a double-edged sword in tumorigenesis. Nat Rev Cancer 2003;3:859–68.
- 34. Vandel L, Pfarr CM, Huguier S, Loiseau L, Sergeant A, Castellazzi M. Increased transforming activity of JunB and JunD by introduction of an heterologous homodimerization domain. Oncogene 1995;10:495–507.
- 35. Tschesche H, Zolzer V, Triebel S, Bartsch S. The human neutrophil lipocalin supports the allosteric activation of matrix metalloproteinases. Eur J Biochem 2001;268:1918–28.
- 36. Yan L, Borregaard N, Kjeldsen L, Moses MA. The high molecular weight urinary matrix metalloproteinase (MMP) activity is a complex of gelatinase B/MMP-9 and neutrophil gelatinase-associated lipocalin (NGAL). Modulation of MMP-9 activity by NGAL. J Biol Chem 2001;276:37258–65.
- 37. Lee KH, Kim JR. Regulation of HGF-mediated cell proliferation and invasion through NF-kB, JunB, and MMP-9 cascades in stomach cancer cells. Clin Exp Metastasis 2012;29:263–72.
- 38. Bratt T, Ohlson S, Borregaard N. Interactions between neutrophil gelatinase-associated lipocalin and natural lipophilic ligands. Biochim Biophys Acta 1999;1472:262–9.
- 39. Koh SA, Lee KH. HGF mediated upregulation of lipocalin 2 regulates MMP9 through nuclear factor-κB activation. Oncol Rep 2015;34:2179–87.



elSSN 2384-0293 Yeungnam Univ J Med 2020;37(2):79-83 https://doi.org/10.12701/yujm.2019.00458

# Creativity in medical education: concepts related to creative capacity

### Yura Kim, Young Hwan Lee

Department of Medical Humanities, Yeungnam University College of Medicine, Daegu, Korea

Received: December 12, 2019 Revised: February 24, 2020 Accepted: March 2, 2020

#### Corresponding author:

Young Hwan Lee
Department of Medical Humanities,
Yeungnam University College of
Medicine, 170 Hyeonchung-ro,
Nam-gu, Daegu 42415, Korea
Tel: +82-53-640-6999

Fax: +82-53-629-2252 E-mail: yhlee3535@ynu.ac.kr In the 21st-century postmodernism era, which represents diversity and relativity, one of the most essential elements in the field of education is to strengthen individual human values. Accordingly, we must focus on developing capacity in order to adapt to change. It is clear that the medical field maximizes the need for new judgments to solve life-related problems constantly, and this problem-solving capacity is an essential skill for a physician. Problem-solving capacity can be achieved simultaneously with creativity to apply them in an appropriate manner based on standardized expertise and well-trained skills. Creativity is also a key element that medical education is currently pursuing. Many studies on creativity have resulted in confusion and misunderstandings on the concept of creativity due to similar terms and varied definitions, such as creation, innovation, etc. In this study, we attempt to identify the importance of creativity in medical education by comparing and organizing concepts related to creative capacity.

Keywords: Creative thinking; Creativity; Medical education; Problem-solving

#### Introduction

Medical education is a field where the coldest reason meets the hottest emotion, reality and context are more intertwined than in any other discipline, and it requires constant attention to those who suffer sober judgment and patient care [1]. These efforts have steadily evolved since the inception of medical education in the University of Bologna (Università di Bologna, 1088) and the University of Salerno (Università degli Studi di Salerno, 1231).

Modern medical education has undergone many innovative changes since the commencement of systematic medical education in 1910 under the "Flexner Report." Professor Harden of Dundee University presented the "SPICES" model, outlining innovative educational strategies against traditional methods (Table 1) [2]. He believes that innovative education should change from "teacher-centered" to "student-centered," and learning requires a shift from "information-gathering" to "problem-based," and also from "hospi-

tal-based" to "community-based." The model also states that the curriculum should change from "discipline-based" to "integrated/inter-professional," from "uniform standard program" to "elective with a core program" and a pre-planned "systematic approach" is required rather than an "opportunistic approach" during apprenticeship.

The field of medical education in Korea has also grown quantitatively and qualitatively, in line with changes in global medical education, and lately, it has been focusing on transforming into a "capability-oriented curriculum." This trend implies that medical education in Korea has been standardized with a certain level of quantitative foundation for doctor training.

With the advent of the post-modern era, as we enter the Fourth Industrial Revolution, education must be developed in the direction of "rediscovering people's values and maximizing their ability to adapt to change" and "to learn abilities." If we summarize it in one word, it is "creative problem-solving ability."

Copyright© 2020 Yeungnam University College of Medicine

This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/4.0/) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.

**Table 1.** The SPICES model of educational strategies

Innovative strategy	Traditional strategy
Student-centered teaching	Teacher-centered teaching
Problem-based learning	Information gathering learning
Integrated/Inter-professional curricula	Discipline-based curricula
Community-based learning	Hospital-based learning
Electives with a core program	Uniform standard program
Systematic/planned approach	Apprenticeship/opportunistic approach

In the medical field in particular, an emergency situation can develop suddenly and problem-solving ability to apply expertise in such situations without apprehension is one of the most important attributes of doctors. This problem-solving ability is based on standardized expertise and skillful learning, and creativity can be applied at the same time. Sang-Ho Baek's argument, "Education for performable physicians," to implement the 21st-century medical education flow [3] is a representative example of the early emphasis on the importance of creativity in solving problems in various situations.

In this context, the concepts related to creative competencies will be compared and organized to identify its importance in medical education.

# Definition and properties of creativity

The etymology of creativity originated in ancient Greece, and its definitions are so diverse that discussions continue to this day. Torrance [4], the master of creative education, expresses the confusion of creative justice by saying: "The debate over the definition of creativity continues over the last century, but nothing can define it completely...... The definition of creativity will go on constantly, and because of this imperfection, we will know more about creativity."

Although the etymology of creativity began in ancient Greece, for a long time "create" was regarded as the realm of God, not the human realm. However, with the transition to modern society, creativity has been extended to the human realm, and creativity and creative education discussed in modern education have developed along with the "scientific education of education" of the 20th century modern education. In 1950, Guilford's inaugural address to the American Psychological Association focused on creative education [5], and in the backdrop of the 1957 "Sputnik shock," it brought a new topic of creativity. This triggered vast research and definitions on the issue.

In particular, Rhodes [6], who analyzed the work of many scholars on creativity, rather than defining creativity as a single

concept, argued that people, processes, environments, and products overlap each other. It is more productive to define it as working. To summarize the subject of creativity according to the researcher's point of view (Fig. 1).

First, in terms of emphasis on personality, creativity can be defined as human thinking ability and explained as 'spreading thinking' or 'divergent thinking.' Guilford [7], a representative scholar with an emphasis on people, defines creativity as divergent thinking, which involves creating something new. Since Guilford, this perspective has also defined creativity, focusing on the characteristics and dispositions of creative people.

Torrance [8], a representative scholar who emphasizes the creative process, says, "I chose a creative process with a focus on creative thinking." Creativity means a creative thinking process and a creative problem-solving process.

Another position that emphasizes creativity describes it as a psychological, social, and cultural environment that operates in the environment surrounding human beings. In other words, the environment is something that allows creativity to occur [9]. The "press" of the environment is a concept from Murray's personality theory [10], which means a significant environmental factor that influences behavioral decisions [9]. This view has recently evolved into a pluralistic view in which the four elements of creativity interact. Csikszentmihalyi [11] also defined creativity as a field of cultures, bringers of newness, and experts who recognize newness in the environment surrounding individual creative achievement.

From the perspective of emphasizing creative products, it focuses on creativity as a result of original thinking or creativity. Creative output includes both the visible and invisible aspects. [9]. In general, many people seem to use the "newness" and "value" of these outputs as the basis for judgment.

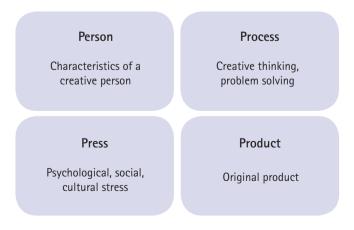


Fig. 1. The attributes of creativity.

On the numerous definitions and scopes of creativity, Kaufman recently defined the concept of creativity in his book in 2016 [12], and further elucidated the "Four C Model" presented in 2009 [13]. This model is categorized into Big-C and little-c by adding mini-c and pro-c. Big-C suggests genius creativity, such as the likes of Mozart, Einstein, Armstrong, etc. While pro-c is not a genius, but a professional level of creativity, little-c refers to creativity expressed in day-to-day life, and mini-c refers to creative ideas inherent in everyone [12,13].

The volume of studies on creativity in Korean education was insignificant until 1990, and its number has increased since the 2000s. However, in the study of creative education, conceptual studies on creativity have been scarce, and since the emergence of creativity and personality capabilities in the 2009 revised curriculum, the study of creative education commenced. Early creativity focuses on the concept of big creativity that develops something new, but recent research on creativity focuses on small creativity [14].

# Creativity and creation

Similar vocabulary is commonly used in terms of "creative" and "creation." According to the Korean dictionary, creation is defined as "the first result of something that never existed," and creativity

is defined as "new thoughts." Applying Rhodes' 4P concept mentioned earlier, creativity refers to a person's inclination to seek newness, the idea of pursuing a new perspective based on environmental factors, and the manifestation of such a will. Creation, on the other hand, places a greater emphasis on the end result or output of all these activities, and creation is considered an outcome of creativity. Kaufman's "Four C Model" also indicates that you can start with creativity and go on to creation. In order to resolve the confusion caused by the mixed use of the terms "creative" and "creation," they can be classified into the opinions and etymologies of scholars as follows (Fig. 2).

Creativity means to "create something out of nothing" or create something new from nothing. In Greek, there is a *poiein*, which means make, and is applied to *poiethes*, and only the poet is recognized as the maker of something. Conversely, in the Roman period, "crere," "creating" in addition to "facere" appeared, which implied "creatio ex nihiro" until the Middle Ages. By the 19th century, it was limited to the arts field and applied to poets and artists [15].

Creativity has since been defined to suggest that artists create something new, mainly in art-related activities. Creation is thus used to highlight the process and results of creating something in the absence of experts in the field.

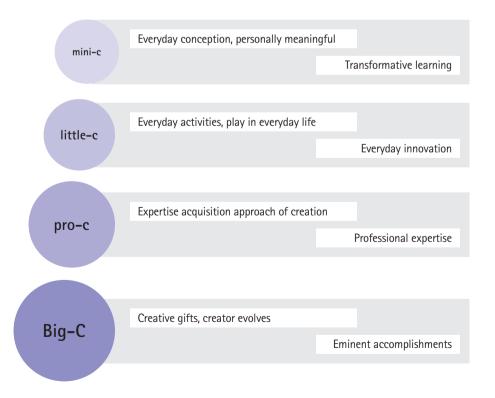


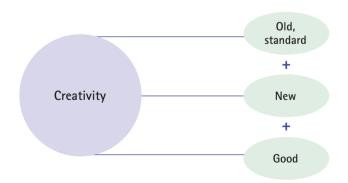
Fig. 2. The Four C Model of creation.

# The importance of creativity

Creativity is recognized as a norm in education, and it is a concept that is appropriate not only for education but also for all academic fields and human activities such as literature, art, science and technology [16]. Specifically, activities that are not socially normative or inappropriate are not considered creative. There are some misconceptions about creativity that remind us of new, extraordinary, unusual, and imaginative words [17]. (1) Something magical with only a few great geniuses. (2) Other unusual behavior, unconditional backlash. (3) Mental illness, associated with madness (ex. Gogh's ear cut). (4) Necessary only for persons with special positions in specific departments.

Creativity is based on a high intellectual ability, original thinking, independent judgment, and open thinking. A five-year-old who is not aware of social knowledge and norms depicts a square cow, a neckless mom, and a dad [1]. Knowing the old, adding the new, and having a morally reasonable good value is creativity. It is not known that a child who knows nothing draws a square-necked cow, not so creative, and unrestricted illusions and deviations are not called creativity [18]. On the contrary, children's expressions are considered to be of value only if they fit the established standards, adequacy, truth, and moral right [19]. Creativity is therefore basically an integrated capacity that adds new, good value in addition to standardized knowledge. Elements that define creativity are shown in Fig. 3.

One of the most important aspects of creative education in tertiary institutions is the full acquisition of standardized knowledge in the field of study. For example, when playing a piece of music, when the musician understands the song, memorizes the lyrics, uses the instrument skillfully, and has sufficient practice, he/she can make his/her own new interpretation of the piece. If you play an entirely new piece outside the scope of the song, it can be de-



**Fig. 3.** Elements defining creativity. Creativity is therefore basically an integrated capacity that adds new, good value in addition to standardized knowledge.

fined as an activity beyond creativity. Creativity is therefore not limited to any particular field of study but is a factor that must be pursued in all disciplines, beginning with day-to-day life.

# Medical education and creativity in Korea

Since Korea's medical education has witnessed a significant growth since the 1990s, it has been striving for qualitative growth by reflecting changes in the medical environment and social needs. In recent years, with the introduction of competency-based and outcome-based curricula, attempts for qualitative growth have become more active, especially with the Korean Institute of Medical Education and Evaluation (KIMEE). Starting this year, medical schools adopted the new "Accreditation Standards of KIMEE 2019" (ASK2019) with the introduction of the World Federation for Medical Education's basic medical education evaluation criteria. The key to this new assessment standard is the shift from traditional quantitative assessments to qualitative assessments that emphasize university autonomy. The necessary and sufficient requirement for a qualitative assessment is the fulfillment of a quantification. The launch of qualitative evaluation through ASK2019 also presupposes that medical education in Korea has already met a certain standardization and quantitative level.

Therefore, it is time to be creative in the field of medical education. First of all, creative individuality needs to work at the university level. Rather than countering the entire medical education flow or creating an entirely new form, it should reflect the changes in individual schools and communities on a standardized basis to form a unique culture of the university. However, it is difficult to accept the demand for new changes in medical education as another process of uniformity.

Instead of eliminating the existing standards, creativity should combine new educational goals and ideas with old values, especially in the field of medical education.

In modern times, the pattern of disease has changed, with new symptoms and diseases emerging, and it has become common to treat patients of various nationalities. Therefore, medical education should once again verify the basics and progress of education that reflects the diversity of the community and students, and at the same time develop the ability of graduates to properly solve problems in various situations in the medical field. Not surprisingly, it is not a threat to the medical field, but it is only natural to have standardized basic knowledge.

It is not possible to respond to the fast-changing modern medical field with average standardized capacity. In addition, human capacity is multidimensional, and an educational environment in which one can fully experience and be sure of the ability to be used in different contexts is important. While the primary objective of medical students is to become doctors, ultimately every student may have a different goal. Therefore, in order to realize student-centric medical education, a curriculum that takes into consideration changing times and students' individual competencies should be prepared.

#### **Conclusion**

There are also concerns that medical education should be excluded from creative capacity, as the various definitions of creativity seem to involve the risk of considering doctors as creative. But creativity does not just mean something completely new, something completely special, as discussed earlier. Creativity is an ability that can be exercised when there is sufficient expertise in the field of study.

Creative capacity is defined as the ability of a doctor with sufficient standardized knowledge and competence to adapt to a situation based on basic expertise. This is the reason why creative capacity should be viewed anew in the field of medical education. We must go one step further for new growth.

# **Acknowledgments**

#### **Conflicts of interest**

No potential conflict of interest relevant to this article was reported.

#### **Author contributions**

Conceptualization, data curation, formal analysis, project administration, supervision, visualization, writing-original draft, writing-review & editing: YHL, YK.

#### **ORCID**

Yura Kim, https://orcid.org/0000-0001-5864-267X Young Hwan Lee, https://orcid.org/0000-0001-8377-5802

#### References

1. Lee YH. Reflections and tasks on our medical education. Healthc Policy Forum 2019;17(2):45–50.

- 2. Harden RM, Sowden S, Dunn WR. Educational strategies in curriculum development: the SPICES model. Med Educ 1984;18:284–97.
- 3. Baek SH. Future of medical education. Korean Med Educ Rev 2008;10:1–8.
- 4. Torrance EP. The millennium: a time for looking forward and looking backward. Korean J Think Probl Solving 2000;19:5–19.
- 5. Guilford JP. Creativity. Am Psychol 1950;5:444-54.
- 6. Rhodes M. An analysis of creativity. Phi Delta Kappan 1961;42:305–10.
- Guilford JP. The nature of human intelligence. New York: Mc-Graw-Hill; 1967.
- 8. Torrance EP. Why fly? A philosophy of creativity. Norwood (NJ): Ablex; 1995.
- 9. Kim YC. Theory and development of creativity. Seoul (KR): Education Science Publishers; 2010.
- Murray HA. Explorations in personality: a clinical and experimental study of fifty men of college age. Oxford (UK): Oxford University Press; 1938.
- Csikszentmihalyi M. Creativity: flow and the psychology of discovery and invention. New York: HarperCollins Publishers; 1996.
- 12. Kaufman JC. Creativity 101. 2nd ed. New York: Springer Publishing Company; 2016.
- Kaufman JC, Beghetto RA. Beyond big and little: the Four C Model of creativity. Rev Gen Psychol 2009;13:1–12.
- 14. Cho YS, Jeong JE. An analytical study on studies of creativity education in Korea: focusing on categories and levels of creativity. J Gift/Talent Educ 2012;22:333–52.
- 15. Park YT. Star of creativity. Seoul (KR): Hakjisa; 2002.
- Jeong HP. Introduction to new education. Seoul (KR): Education Science Publishers; 2002.
- 17. Kim YC. Creativity: theory and education of potential. Seoul (KR): Yunsung Publishers; 2017.
- 18. Lytton H. Creative and education. London: Routledge & Kegan Paul; 1971.
- Barrow R. Plato and education. London: Routledge & Kegan Paul; 1976.

elSSN 2384-0293 Yeungnam Univ J Med 2020;37(2):84-89 https://doi.org/10.12701/yujm.2020.00150

# Effectiveness of orthoses for treatment in patients with spinal pain

## Yoo Jin Choo, Min Cheol Chang

Department of Physical Medicine and Rehabilitation, Yeungnam University College of Medicine, Daegu, Korea

Received: March 11, 2020 Revised: March 16, 2020 Accepted: March 17, 2020

#### Corresponding author:

Min Cheol Chang Department of Physical Medicine and Rehabilitation, Yeungnam University College of Medicine, 170 Hyeonchung-ro, Nam-gu, Daegu 42415, Korea

Tel: +82-53-620-4682 Fax: +82-53-625-3508 E-mail: wheel633@ynu.ac.kr Spinal pain is a common patient complaint in clinical practice. Conservative treatment methods include oral medication, physical therapy, injections, and spinal orthoses. The clinical application of orthoses is debated because of potential complications associated with long-term use, such as muscle weakness and joint contracture. We reviewed the orthoses most frequently used to manage spinal pain. We review the use of soft cervical and Philadelphia collars, lumbosacral corsets, and thoracolumbosacral orthosis to manage spinal pain. Spinal orthoses can help reduce pain by protecting the muscles and joints of the injured spinal region, preventing or correcting malformations, and limiting trunk flexion, extension, lateral flexion, and rotation. The short-term use of spinal orthoses is known to improve pain and disability during the treatment period without significant adverse effects. Spinal orthoses are expected to alleviate pain and improve patients' lifestyle.

Keywords: Conservative treatment; Orthotic devices; Pain; Spine

### Introduction

Spinal pain is a common patient complaint, affecting 80%–90% of individuals at least once in their lifetime [1,2]. There are various causes of spinal pain, such as spinal degeneration, trauma, inflammation, infection, and deformities. In clinical practice, spinal degeneration (herniated disc or spinal stenosis) and trauma are the most common causes of spinal pain [3-5]. To alleviate spinal pain, conservative treatments, including rest, physiotherapy (e.g., heat therapy, traction therapy, and manual therapy), injections, orthoses, and medication, are used before the surgical treatment [6-8]. Although the clinical application of orthoses is debated because of potential complications associated with long-term use, such as muscle weakness and joint contracture, its short-term use is known to improve pain and disability during the treatment period without significant adverse effects [9-11].

In this study, we reviewed the following types of orthoses most frequently used to manage spinal pain: soft cervical and Philadelphia collars, lumbosacral corset, and thoracolumbosacral orthosis (Table 1).

#### Soft cervical collar

The soft cervical collar is comprised of a soft foam material, a fabric covering the foam, and a Velcro strap (Fig. 1) [12,13]. The strap is mostly fastened at the back but can also be placed at the front, depending on the user's preference. Patients wearing a soft cervical collar can experience feelings of warmth and psychological comfort owing to the fabric sheathing [14]. However, soft cervical collars cannot significantly restrict the cervical spine's range of motion, thus falling short in providing sufficient structural support [12,14]. Therefore, they are used to manage muscle pain and

Copyright© 2020 Yeungnam University College of Medicine

This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/4.0/) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.

Table 1. Characteristics of spinal orthoses

	Soft cervical collar	Philadelphia collar	Lumbosacral corset	Thoracolumbosacral orthosis
Material property	Flexible	Rigid	Flexible	Rigid
Application part	Neck	Chin, occiput, neck, upper portion of trunk	Abdomen, under the scapular, upper supra-ilium	Shoulder, thorax, abdomen, medial part of scapula, dor- sum, upper supra-ilium
Movement limitation	Slight movement of F, E, L-F, R	F, E, L-F, R	L-F, slight movement of F, E, R	F, E, L-F, R
Indication	Spondylosis or minor trauma, whiplash injuries	Injuries of the bones or liga- ments, post-operation	Disc herniation, spinal stenosis chronic back pain, sprain	, Chronic back pain, sprain, fracture, spinal deformities, post-operation

F, flexion; E, extension; L-F, lateral flexion; R, rotation.

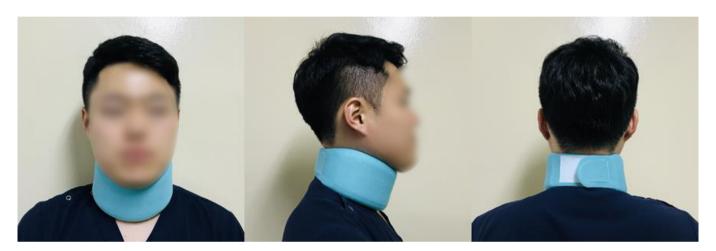


Fig. 1. Soft cervical collar. The subject is wearing soft cervical collar.

spasms due to spondylosis or minor trauma and as an initial treatment for whiplash injuries. According to a previous study, the soft cervical collar is recommended to be worn for 2 weeks [11].

Muzin et al. [11] reported no side effects (e.g., muscle weakness) associated with the use of soft cervical collars for fewer than 10 days. Mealy et al. [15] assessed 61 patients with acute cervical whiplash injuries following the use of the soft cervical collar for 2 weeks. The patients were divided into the following two groups: one that progressively combined exercise with use of the soft cervical collar and the other that performed exercise without use of the soft cervical collar. Eight weeks later, the visual analog scale (VAS) score from 0 to 10 (with 10 indicating unsustainable pain and 0 indicating absence of pain) and range of motion of the cervical spine (i.e., flexion, extension, lateral flexion, and rotation) were obtained. The group using the soft cervical collar reported a higher reduction in both the intensity of pain and range of motion of the cervical spine [15]. Furthermore, Rosenfeld et al. [16] investigated 97 patients with whiplash injuries by dividing them into two groups as follows: (1) those who wore soft cervical collars within 96 hours from the injury and were treated after 2 weeks and (2) those who did not use the collar and were treated using

the same protocol. In addition, the VAS scores for pain were obtained for both the groups. After 6 months, the VAS scores decreased by 3 points in the group that used the soft cervical collars and only by 1.5 points in the group that did not use the soft cervical collar.

# Philadelphia collar

The Philadelphia collar, which usually comprises of a solid plastic sheet, limits a greater range of movements compared to the soft cervical collar (Fig. 2) [12]. It is vertically reinforced from the chin to the manubrium in the front and shaped to cover the area from the external protuberance of the occipital bone to the upper part of the spine of the scapula at the back. The anterior part of the Philadelphia collar has a hole for tracheostomy; therefore, the user's chin has to be aligned with its center [17,18]. Furthermore, the inner side of the Philadelphia collar is lined with a replaceable padding, which permits good hygiene and causes less irritation to the skin. The Philadelphia collar slightly reduces the load on the spine by promoting the correct posture at the cervical spine and plays a role in limiting the cervical flexion/extension, lateral flex-



Fig. 2. Philadelphia collar. The subject is wearing Philadelphia collar.



Fig. 3. Lumbosacral corset. The subject is wearing lumbosacral corset.



Fig. 4. Thoracolumbosacral orthosis. The subject is wearing thoracolumbosacral orthosis.

ions and rotation [18,19]. Nonetheless, some pressure may be applied on the clavicle by the Philadelphia collar. Considering that excessive pressure can cause discomfort or pressure sores, special attention is required for users with sensitive skin [18,20]. The

Philadelphia collar can be used to treat injuries of the bones and ligaments in the mid-cervical spine region and for postsurgical stabilization. In addition, it can be used instead of the halo orthosis to stabilize upper cervical fractures (Jefferson and hangman's

fractures) and fractures of the odontoid process [11].

According to a study by Beavis [14], hard cervical collars are effective in limiting the motion of the cervical spine (level of movement reduction: flexion 69%, extension 34%, left lateral flexion 22%, right lateral flexion 34%, leftward rotation 50%, and rightward rotation 48%). Similarly, Muzin et al. [11] suggested that hard cervical collars were effective for the initial management of trauma (i.e., to prevent cervical instability). Finally, Motiei-Langroudi and Sadeghian [21] studied 11 patients with a C2 fracture who used the Philadelphia collar either until the bone completely recovered or until the neck pain disappeared. After a follow-up of 21 months, the patients reported no neurological symptoms or deficits, a mean VAS score of 2, and recovery of their lifestyle before the injury.

#### **Lumbosacral corset**

The lumbosacral corset is comprised of soft materials. It encloses the trunk and pelvis and has a string or hook to adjust the circumference. When necessary, a canvas, nylon mesh, or coil spring is used to increase its capability to provide support (Fig. 3). The posterior support column is made of semirigid or soft plastic materials and is molded to the shape of the patient's body. It is inserted into a corset, which confers rigidity to the support, thus limiting hyperextension of the spine and reducing spinal lordosis [22-25]. In the groin region, straps can be attached to prevent the movement of the corset. The upper margin of the anterior surface of the corset is positioned 1.3 cm (1/2 inch) below the xiphoid process, and the lower margin is located 2.5–3 cm (1 inch) above the pubic symphysis. Furthermore, while the upper margin of the posterior surface is 2.5 cm (1 inch) below the inferior angle of the scapula, the lower margin is located at the most prominent part of the hip [18].

The lumbosacral corset limits several movements in the frontal plane. It is less rigid at the pelvis, allowing movements in both the sagittal and transverse planes. Moreover, the corset applies pressure on the abdomen; therefore, the intraabdominal pressure increases, which reduces stress on the spine and load on the spinal disc and extensors. Furthermore, it enhances the user's perception of proprioception. The lumbosacral corset can be used in cases of disc herniation, spinal stenosis, chronic back pain, pelvic fracture, and sprain of the lumbosacral spine [23-27].

Kim [28] investigated 69 patients who used the lumbosacral corset to treat a herniated disc or sprain in the lumbar spine region. Physical examinations (e.g., straight leg raising [SLR] and gait analysis) were performed, and the intensity of pain and clinical outcomes of using the lumbosacral corset were determined

based on the criteria suggested by Stauffer and Coventry [29]. While the results of both SLR and gait analysis were "poor" in 63.77% and 59.4% of the patients, respectively, prior to use of the lumbosacral corset, improvements were noticed following its use (i.e., 84.06% and 85.50% of the patients reported a greater than "fair" result). These findings suggest that the use of the lumbosacral corset is effective in reducing pain and improving the activities of daily living. In 2001, Prateepavanich et al. [30] measured the claudication distance and pain score (VAS) in 21 patients with lumbar spinal stenosis without wearing a lumbosacral corset, and a week later with a lumbosacral corset, evaluated again and compared the results. As a result, a significant difference between the two groups, an average claudication distance was 393.2 m when wearing a lumbosacral corset and 314.6 m when not wearing a lumbosacral corset, and a mean value of pain score was 4.7 when wearing a corset and 5.9 when not wearing a lumbosacral corset. This result showed that the effects of lumbosacral corset in lumbar spinal stenosis.

#### Thoracolumbosacral orthosis

The thoracolumbosacral orthosis (TLSO) can be of the following two types based on the type of material used: soft and hard. In addition, it is classified based on the location and presence of structural elements as flexion adjustable, flexion/extension adjustable, flexion/extension/lateral flexion adjustable, and flexion/extension/lateral flexion/rotation adjustable. It is fabricated on the principle of three-point pressure. TLSO can be used to treat chronic back pain, sprains and fractures of the thoracic or lumbar spine, and spinal deformities, and for postsurgical management of the spine [26,31].

TLSO is widely used in current clinical practice (Fig. 4). Owing to its light and breathable mesh fabric, it provides a comfortable fit. Furthermore, ergonomically designed plastic panels provide high stability through the application of abdominal pressure and insertion of the back panels. In addition, TLSO is adjustable through the connection of two elastic straps so as to fit the shape of the patient's body. It has a shoulder strap to prevent it from sliding out of place.

Jacobs et al. [32] assessed 15 patients with an osteoporotic vertebral compression fracture. Following the use of a semirigid TLSO for 6 weeks, their VAS scores and quality of life (measured using the Quality of Life Questionnaire of the European Foundation for Osteoporosis) were evaluated. The outcomes were decreased mean VAS scores (i.e., from 5 to 2 points) and improvements in pain (38%), physical function (42%), social function (21%), and health perception (16%).

#### **Conclusion**

Several patients with spinal pain are encountered in clinical practice. Spinal orthoses are expected to alleviate pain and improve patients' lifestyle. Nevertheless, studies on the clinical efficacy of orthoses are neither quantitatively nor qualitatively sufficient to reach a solid conclusion. Therefore, additional investigations are required to issue guidelines on the appropriate use of spinal orthoses. Our study is limited in that we reviewed only most commonly used orthoses, accordingly more various orthoses should be reviewed in the future study.

# **Acknowledgments**

#### **Conflicts of interest**

No potential conflict of interest relevant to this article was reported.

#### **Author contributions**

Conceptualization: YJC, MCC; Data curation: YJC, MCC; Formal analysis: MCC; Methodology: YJC, MCC; Investigation: YJC; Resources: YJC; Supervision: MCC; Visualization: YJC, MCC; Writing-original draft: YJC, MCC; Writing-review & editing: YJC, MCC.

#### Additional information

The model provided written informed consent for the use and publication of his photographs.

#### **ORCID**

Yoo Jin Choo, https://orcid.org/0000-0002-3820-2279 Min Cheol Chang, https://orcid.org/0000-0002-7629-7213

#### References

- 1. Balague F, Mannion AF, Pellise F, Cedraschi C. Non-specific low back pain. Lancet 2012;379:482–91.
- Salekzamani Y, Mirzaee S, Shakouri SK, Nezami N. Pain relieving effect of thermoplastic lumbosacral orthosis with adjustable posterior pad in chronic non-specific low back pain. Iran Red Crescent Med J 2011;13:903–5.
- 3. Jang SH, Chang MC. Follow-up of at least five years after lumbar transforaminal epidural steroid injection for radicular pain due to lumbar disc herniation. Ann Palliat Med 2020;9:116–8.
- Do KH, Kim TH, Chang MC. Effects of interlaminar epidural steroid injection in patients with moderate to severe lumbar central spinal stenosis. Ann Palliat Med 2020;9:163-8.

- 5. Yang JY. The pathogenesis and medical treatment of spondylogenic pain. Asian Spine J 2010;4:57–63.
- Lurie J, Tomkins-Lane C. Management of lumbar spinal stenosis. BMJ 2016;352:h6234.
- van Tulder MW, Koes BW, Bouter LM. Conservative treatment of acute and chronic nonspecific low back pain. A systematic review of randomized controlled trials of the most common interventions. Spine (Phila Pa 1976) 1997;22:2128–56.
- 8. van Tulder MW, Koes B, Malmivaara A. Outcome of non-invasive treatment modalities on back pain: an evidence-based review. Eur Spine J 2006;15(Suppl 1):S64–81.
- Azadinia F, Ebrahimi Takamjani E, Kamyab M, Parnianpour M, Cholewicki J, Maroufi N. Can lumbosacral orthoses cause trunk muscle weakness? A systematic review of literature. Spine J 2017;17:589–602.
- Bible JE, Biswas D, Whang PG, Simpson AK, Rechtine GR, Grauer JN. Postoperative bracing after spine surgery for degenerative conditions: a questionnaire study. Spine J 2009;9:309– 16.
- 11. Muzin S, Isaac Z, Walker J, Abd OE, Baima J. When should a cervical collar be used to treat neck pain? Curr Rev Musculoskelet Med 2008;1:114–9.
- Barati K, Arazpour M, Vameghi R, Abdoli A, Farmani F. The effect of soft and rigid cervical collars on head and neck immobilization in healthy subjects. Asian Spine J 2017;11:390–5.
- 13. Richter D, Latta LL, Milne EL, Varkarakis GM, Biedermann L, Ekkernkamp A, et al. The stabilizing effects of different orthoses in the intact and unstable upper cervical spine: a cadaver study. J Trauma 2001;50:848–54.
- 14. Beavis A. Cervical orthoses. Prosthet Orthot Int 1989;13:6–13.
- 15. Mealy K, Brennan H, Fenelon GC. Early mobilization of acute whiplash injuries. Br Med J (Clin Res Ed) 1986;292:656–7.
- Rosenfeld M, Gunnarsson R, Borenstein P. Early intervention in whiplash-associated disorders: a comparison of two treatment protocols. Spine (Phila Pa 1976) 2000;25:1782–7.
- 17. Ghorbani F, Kamyab M, Azadinia F, Hajiaghaei B. Open-design collar vs. conventional Philadelphia collar regarding user satisfaction and cervical range of motion in asymptomatic adults. Am J Phys Med Rehabil 2016;95:291–9.
- 18. Kim JH, Park YS, Song JC, Shin HS, Chang YC. Prosthetics & orthotics. 3rd ed. Seoul (KR): Topmed; 2006.
- Kaufman WA, Lunsford BR, Lunsford TR, Lance LL. Comparison of three prefabricated cervical collars. Orthot Prosthet 1985;39:21–8.
- 20. Sparke A, Voss S, Benger J. The measurement of tissue interface pressures and changes in jugular venous parameters associated with cervical immobilization devices: a systematic review.

- Scand J Trauma Resusc Emerg Med 2013;21:81.
- 21. Motiei-Langroudi R, Sadeghian H. C2 body fracture: report of cases managed conservatively by Philadelphia collar. Asian Spine J 2016;10:920–4.
- 22. Morrisette DC, Cholewicki J, Logan S, Seif G, McGowan S. A randomized clinical trial comparing extensible and inextensible lumbosacral orthoses and standard care alone in the management of lower back pain. Spine (Phila Pa 1976) 2014;39:1733–42.
- 23. Rizzone K, Gregory A. Using casts, splints, and braces in the emergency department. Clin Pediatr Emerg Med 2013; 14:340–8.
- 24. Sullivan MS, Mayhew TP. The effect of lumbar support belts on isometric force production during a simulated lift. J Occup Rehabil 1995;5:131–43.
- 25. Terai T, Yamada H, Asano K, Nawata A, Iwasaki T, Henmi T, et al. Effectiveness of three types of lumbar orthosis for restricting extension motion. Eur J Orthop Surg Traumatol 2014;24(Suppl 1):S239–43.
- **26.** Agabegi SS, Asghar FA, Herkowitz HN. Spinal orthoses. J Am Acad Orthop Surg 2010;18:657–67.
- 27. Schroeder S, Rossler H, Ziehe P, Higuchi F. Bracing and sup-

- porting of the lumbar spine. Prosthet Orthot Int 1982;6:139–46.
- 28. Kim MH. A biomechanical effectiveness of corset and back brace for low back pain syndrom. Phys Ther Korea 1996;3:59–66.
- 29. Stauffer RN, Coventry MB. Anterior interbody lumbar spine fusion: analysis of Mayo Clinic series. J Bone Joint Surg Am 1972;54:756–68.
- 30. Prateepavanich P, Thanapipatsiri S, Santisatisakul P, Somshevita P, Charoensak T. The effectiveness of lumbosacral corset in symptomatic degenerative lumbar spinal stenosis. J Med Assoc Thai 2001;84:572–6.
- 31. Vander Kooi D, Abad G, Basford JR, Maus TP, Yaszemski MJ, Kaufman KR. Lumbar spine stabilization with a thoracolumbosacral orthosis: evaluation with video fluoroscopy. Spine (Phila Pa 1976) 2004;29:100–4.
- **32.** Jacobs E, Senden R, McCrum C, van Rhijn LW, Meijer K, Willems PC. Effect of a semirigid thoracolumbar orthosis on gait and sagittal alignment in patients with an osteoporotic vertebral compression fracture. Clin Interv Aging 2019;14:671–80.



Yeungnam Univ J Med Yeungnam Univ J Med 2020;37(2):90-97 https://doi.org/10.12701/yujm.2019.00353

# Usefulness of subtraction pelvic magnetic resonance imaging for detection of ovarian endometriosis

## Hyun Jung Lee

Department of Obstetrics and Gynecology, School of Medicine, Kyungpook National University, Kyungpook National University Hospital, Daegu, Korea

Received: August 21, 2019 Revised: September 18, 2019 Accepted: September 26, 2019

#### Corresponding author:

Hyun Jung Lee
Department of Obstetrics and
Gynecology, School of Medicine,
Kyungpook National University,
Kyungpook National University
Hospital, 130 Dongdeok-ro, Junggu, Daegu 41944, Korea
Tel: +82-53-420-5724
Fax: +82-53-423-7905

E-mail: obgy1019@hanmail.net

Background: To minimize damage to the ovarian reserve, it is necessary to evaluate the follicular density in the ovarian tissue surrounding endometriosis on preoperative imaging. The purpose of the present study was to evaluate the usefulness of subtraction pelvic magnetic resonance imaging (MRI) to detect ovarian reserve.

Methods: A subtracted T1-weighted image (<sup>sub</sup>T1WI) was obtained by subtracting unenhanced T1WI from contrast-enhanced T1WI (<sup>cc</sup>T1WI) with similar parameters in 22 patients with ovarian endometriosis. The signal-to-noise ratio (SNR) in ovarian endometriosis, which was classified into the high signal intensity and iso-to-low signal intensity groups on the T2-weighted image, was compared to that in normal ovarian tissue. To evaluate the effect of contrast enhancement, a standardization map was obtained by dividing <sup>sub</sup>T1WI by <sup>cc</sup>T1WI.

Results: On visual assessment of 22 patients with ovarian endometriosis, 16 patients showed a high signal intensity, and 6 patients showed an iso-to-low signal intensity on T1WI. Although SNR in endometriosis with a high signal intensity was higher than that with an iso-to-low signal intensity, there was no difference in SNR after the subtraction (13.72 $\pm$ 77.55 vs. 63.03 $\pm$ 43.90, p=0.126). The area of the affected ovary was smaller than that of the normal ovary (121.10 $\pm$ 22.48 vs. 380.51 $\pm$ 75.87 mm², p=0.002), but the mean number of pixels in the viable remaining tissue of the affected ovary was similar to that of the normal ovary (0.53 $\pm$ 0.09 vs. 0.47 $\pm$ 0.09, p=0.682).

Conclusion: The subtraction technique used with pelvic MRI could reveal the extent of endometrial invasion of the normal ovarian tissue and viable remnant ovarian tissue.

Keywords: Endometriosis; Gadolinium; Magnetic resonance imaging; Subtraction

#### Introduction

Although pelvic ultrasonography (USG) is the initial method of choice for identifying and characterizing adnexal cystic structures, magnetic resonance imaging (MRI) is performed in patients selected based on the results of USG and severity of symptoms [1-3]. MRI is generally performed to exclude malignancies in cases of intermediate USG features of ovarian masses [4-6]. Recently, an

increased number of nulliparous women with ovarian endometriosis and desire to preserve ovarian function have been the main cause of increased MRI examinations. In addition, it is necessary to evaluate the follicular density in the ovarian tissue surrounding the endometrial cyst on preoperative imaging, especially in younger patients scheduled for ovarian cystectomy, to minimize damage to the healthy ovarian tissue and to predict the remnant ovarian function.

Copyright© 2020 Yeungnam University College of Medicine

This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/4.0/) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.

Typical MRI features of ovarian endometriosis include a high signal intensity on both T1-weighted images (T1WIs) and T2-weighted images (T2WIs), which persist on subsequent fat-suppressed T1WI [3,6]. However, chronic bleeding with accumulation of high concentrations of iron and protein in the endometriosis could cause gradual variations in the signal intensity [3,7,8]. As data are limited on gadolinium enhancement in the evaluation of endometriosis, the use of gadolinium is recommended as an "option" in the evaluation of indeterminate adnexal endometriosis, such as for distinction from other hemorrhagic adnexal lesions, luteal ovarian cysts, or tubo-ovarian abscesses. In addition, gadolinium enhancement is crucial for depicting strongly enhancing mural nodules if atypical features suggest potential malignancy on USG or T2WI [9,10]. However, the surgeon needs to consider the remnant ovarian tissue and endometriosis involving that area to avoid its destruction with blood coagulation or disruption of the ovarian blood supply while using the appropriate surgical approach [11]. However, it is difficult to determine the degree of invasion of endometriosis from stretching of the surrounding tissues because of the presence of cysts with inflammatory changes due to endometriosis [12]. In such cases, subtraction imaging of the ovary may be useful, in which the differential diagnosis includes displacement of the ovarian tissue and hemorrhagic endometriosis.

After subtraction, native T1 signals disappear, and the remaining signals are due solely to the enhancement associated with shortening of the T1 relaxation time owing to the intravenous contrast administration or flow effect [13,14]. This technique is widely used, and determining the presence/absence of enhancement is critical [15]. Therefore, the purpose of the present study was to evaluate the usefulness of a standardization map of pelvic MRI for patients with ovarian endometriosis.

#### Materials and methods

Retrospective data collection and analysis were approved by the institutional review board of Kyungpook National University Hospital (IRB No: KNUK 2017-06-012). The need for informed consent was waived due to the retrospective design of the study.

Endometriosis was classified based on the Revised American Society for Reproductive Medicine classification [16]. Twelve patients had stage III endometriosis, and 10 patients had stage IV endometriosis. All patients underwent laparoscopic ovarian cystectomy. The final diagnosis was obtained based on the histopathological/cytological examination of the surgically excised specimen of the lesion. To avoid spontaneous T1 hyperintensity due to menstrual bleeding, MRI was scheduled to be performed after day 8 of the menstrual cycle. Before MRI examination, the

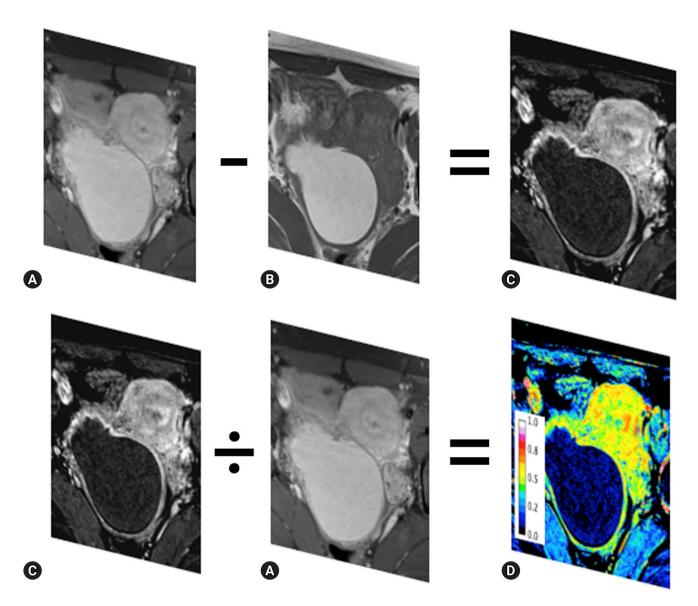
patients underwent 6 hours of fasting, followed by an intramuscular administration of a peristaltic inhibitor. MRI examinations were performed on a 3.0T closed-magnet MRI machine (Skyra; Siemens Health Care, Erlangen, Germany). We used a set of 8-channel phased array coils dedicated to different body parts included in the study. Subtraction images were obtained after intravenous contrast injection to assess the presence of enhancement for adequate depiction of these lesions. Contrast-enhanced T1WI (CT1WI) MRI including subtracted T1WI (SubT1WI) sequences was performed with similar parameters, such as field of view, slice thickness, repetition time, echo time, and fat suppression, for both sequences.

MRI scans were interpreted on a picture archiving and communications system workstation (PiViewStar; Infiniti, Seoul, Korea) to identify endometriosis. An objective visual assessment of MRI scans was used to assess the T1 signal intensity of ovarian cystic lesions. A single author (LHJ) qualitatively analyzed all MRI scans for ovarian endometriosis blinded to any information on clinical details. When the signal intensity of a cyst was higher than that of the adjacent myometrium, the cystic lesion was regarded to show a high signal intensity on T2WI. In contrast, when the signal intensity was similar to or lower than that of the myometrium, the cystic lesion was regarded to show an iso-to-low signal intensity on T2WI [3].

Using the digital image analysis software ImageJ (1.47q, National Institutes of Health, Bethesda, MD, USA), axial pre- and post-contrast T1WIs, T2WIs, and subtraction images were evaluated. To evaluate comparability with the normal ovarian tissue, the signal-tonoise ratio (SNR) of the ovarian endometrial cyst and of the contralateral normal ovarian tissue were measured on all sequences.

To evaluate the effect of contrast enhancement, a standardization map (\*standardIVI) was obtained by dividing \*sub\*T1WI with \*co\*T1WI and T1WI using ImageJ. Consequently, the number of pixels within \*standardIVIWI was scored from 0 (not perfused) to 1 (totally perfused) (Fig. 1). Each region of interest (ROI) was quantified by outlining it using the measurement tool on the software at the level of the maximal diameter of lesions. The pixel information, including area, mean signal intensity with standard deviation, kurtosis, and skewness, was compared with that of the normal ovary. In addition, we obtained the integrated density, which is the sum of the values of pixels in the image or selection.

Statistical analyses were performed using SPSS version 13.0 for Windows (SPSS Inc., Chicago, IL, USA). The Student t-test. was employed to examine the difference in numerical variables. Statistical significance was set at a p-value < 0.05.



**Fig. 1.** Subtraction imaging and normalization of the contrast-enhanced effect. The <sup>ce</sup>TIWI (A) is subtracted by the unenhanced T1WI (B) to yield the <sup>sub</sup>T1WI (C). To normalize the effect of contrast enhancement, the <sup>sub</sup>T1WI (C) is divided by the <sup>ce</sup>T1WI (A) using ImageJ. The normalized perfusion map (D) is scored 0–1. <sup>ce</sup>T1WI, contrast-enhanced T1-weighted image; <sup>sub</sup>T1WI, subtracted T1-weighted image.

#### **Results**

This study included 22 patients with surgically confirmed ovarian endometriosis who underwent pelvic MRI. The mean age was  $31.23\pm7.21$  years. <sup>ce</sup>T1WI MRI including <sup>sub</sup>T1WI was performed in all patients. On T2WI, the normal ovary showed cysts of varying sizes surrounded by the darker solid ovarian stromal tissue at the level of the maximum ovarian diameter and bright cysts surrounded by the darker solid ovarian stroma. <sup>ce</sup>T1WI showed well-enhanced tissue in an area of  $380.51\pm75.87$  mm<sup>2</sup>. The mean number of pixels in the included ROI in the normal ovary was  $0.47\pm0.09$  on <sup>stand</sup>T1WI.

The affected ovary showed an area of  $56.15 \text{ mm}^2$  at the level of the maximum ovarian diameter. In the visual assessment, 16 ovaries showed a high signal intensity, and 6 ovaries showed an iso-to-low signal intensity on T2WI. However, all cysts showed a low signal intensity on  $^{\text{sub}}$ T1WI (Fig. 2). Although SNR of the endometriosis with a high signal intensity was higher than that with an iso-to-low signal intensity, there was no difference in SNR after the subtraction  $(13.72\pm77.55 \text{ vs.} 63.03\pm43.90, p=0.126)$  (Table 1).

The area of the affected ovary was smaller than that of the normal ovary ( $121.10 \pm 22.48$  vs.  $380.51 \pm 75.87$  mm<sup>2</sup>, p = 0.002), but the mean number of pixels in the remaining viable tissue of the affected ovary was similar to that of the normal ovary

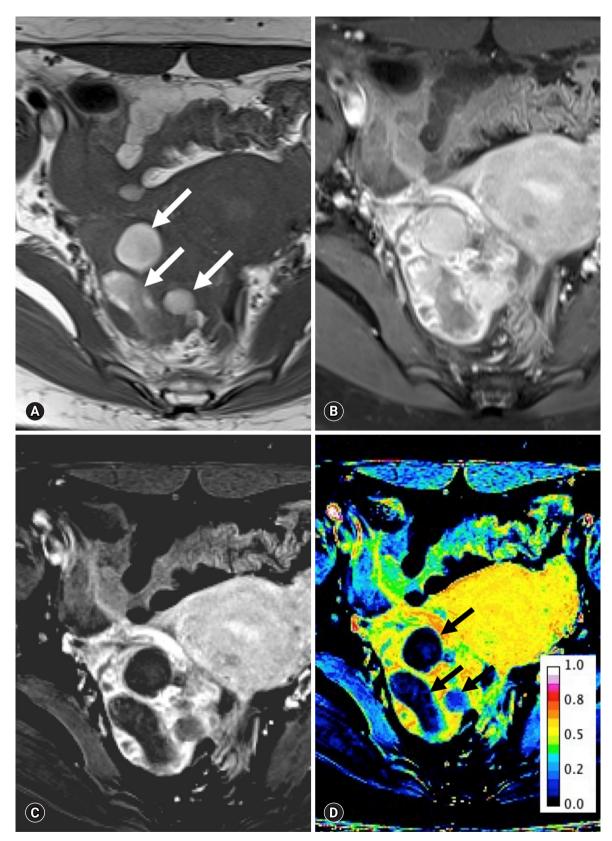


Fig. 2. Multiple hemorrhagic cysts in a 36-year-old woman with endometriosis. The pre-contrast T1WI with fat suppression (A) and post-contrast T1WI in the arterial phase of enhancement with fat suppression (B) show multiple (arrows) high signal intensities. The subtraction image (C) shows low signal intensity, regardless of the pre-contrast imaging. The number of pixels ranges 0.01–0.12 (arrows) (D). T1WI, T1-weighted image.

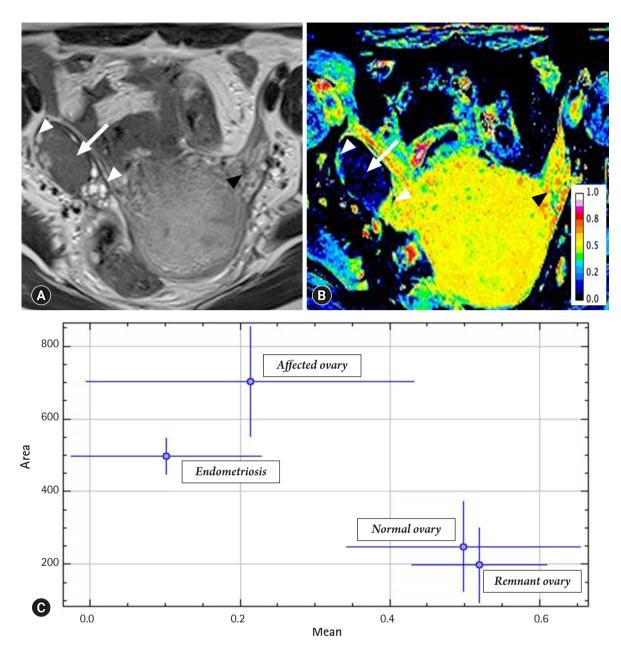


Fig. 3. The T2-weighted image (A) and standardization map of the subtraction image (B) in a woman with endometriosis. The ovary with endometriosis (arrow) displaces the small ovarian follicles (white arrowheads). However, the mean number of pixels in the viable remaining tissue of the ovary with endometriosis is similar to that of the ovary without endometriosis (black arrowheads). Plotting (C) for the uterus, affected ovary, endometriosis, remnant ovary, and normal ovary show similar patterns between the remnant ovary and the normal ovary. The x-axis represents the mean number of pixels (dot) with standard deviation (bar), and the y-axis represents the area (dot) with integral density of the included structure (bar).

 $(0.53 \pm 0.09 \text{ vs. } 0.47 \pm 0.09, p = 0.682)$  (Fig. 3). The integrated density was lower in the affected ovary than in the normal ovary  $(63.82 \pm 6.35 \text{ vs. } 180.40 \pm 17.52, p < 0.001)$  (Table 2).

#### **Discussion**

Subtraction imaging is a readily available technique routinely used

with MR angiography. It can adequately determine the degree of contrast enhancement in the background of tissues that showed a high signal intensity on unenhanced T1WI MRI, such as hemorrhage or cystic lesions with mucinous or high proteinaceous contents [14,17]. There are many potential applications for this technique, including detection of neoplasms in hemorrhagic masses and complicated cysts and determining the presence/absence of

Table 1. Comparison of the signal-to-noise ratio between ovaries with and without endometriosis

	Ovarian endometriosis		Control	ntrol <i>p</i> -value <sup>a)</sup>	
	T2 high SI (A)	T2 iso-to-low SI (B)	Normal ovary (C)	A&B	B&C
T2WI	945.31 ± 116.53	557.86 ± 72.18	574.61 ± 155.06	< 0.001	0.824
T1WI	124.98 ± 3.91	$505.80 \pm 5.76$	$356.52 \pm 32.23$	< 0.001	0.001
<sup>ce</sup> T1WI	329.40 ± 17.56	572.25 ± 76.21	$697.48 \pm 88.97$	< 0.001	0.002
Subtraction	13.72 ± 77.55	$63.03 \pm 43.90$	$340.97 \pm 91.98$	0.126	< 0.001

SI, signal intensity; T2WI, T2-weighted image; T1WI, T1-weighted image;  $^{cc}$ T1WI, contrast enhanced T1WI.  $^{al}$ Student t-test.

Table 2. Comparison of the standardization map for the subtracted T1-weighted image between ovaries with and without endometriosis

	Affected ovary		Control p-value <sup>a)</sup>		value <sup>a)</sup>
	Endometriosis (A)	Viable ovary (B)	Normal ovary (C)	A&B	B&C
Area	1,240.34 ± 324.50	121.10 ± 22.48	380.51 ± 75.87	< 0.001	0.002
Mean	$0.17 \pm 0.19$	$0.53 \pm 0.09$	$0.47 \pm 0.09$	< 0.001	0.682
Integrated density	$214.57 \pm 31.20$	$63.82 \pm 6.35$	$180.40 \pm 17.52$	< 0.001	< 0.001
Skewness	$1.03 \pm 20.79$	$-2.30 \pm 0.32$	$-1.13 \pm 0.30$	< 0.001	0.453
Kurtosis	-0.451 ± 1.35	$3.71 \pm 2.26$	$2.88 \pm 1.52$	< 0.001	0.326

a)Student t-test.

enhancement. Although a helpful tool, subtraction MRI is still underused for non-vascular cases. It can unambiguously evaluate contrast enhancement in lesions that showed initial T1 high signal intensity, thereby characterizing their nature. Complex ovarian cysts often show a high signal intensity on T1WI owing to the presence of intracystic hemorrhage.

Qualitative detection of enhancement, such as visual assessment of such lesions, is often difficult because of hemorrhagic or proteinaceous contents producing a high signal intensity on T1WI. Subtraction imaging is highly sensitive for detection of enhancement within these lesions and for accurate classification of benign hemorrhagic cysts or cystic ovarian malignancies. Although subtraction MRI could help evaluate ovarian lesions, its use has not been widely discussed in the radiological literature [14,17-19]. In the present study, the possibility of endometriosis suggested with the subtraction technique was confirmed after the surgery in all 22 patients. Six patients in our study presented with an iso-to-low signal intensity on T2WI. In all of them, subtraction images showed the absence of enhancement within the lesions, regardless of the shading effect, confirming the diagnosis of endometriosis after the surgery. sub T1WI can be useful in differentiating complex cystic lesions with T1 high signal intensity. In ectopic pregnancy, contrast enhancement may be mild, but on subtraction imaging, the differentiation is clearer [20]. The role of <sup>sub</sup>T1WI is crucial in unusual subtypes of endometriosis, including scar endometriosis, infiltrating endometriosis, endometriosis with infection, or endometriosis in the adenomyotic uterus [3,21,22].

Contrast enhancement depends on many variables. Therefore, standardization is required of the signal intensity scale for the effect of contrast enhancement [23]. stand T1WI was obtained by dividing subtraction images with non-enhanced images in the current study to analyze images performed in different environments. stande T1WI showed no enhancement of the lesions in the six patients with a chocolate cyst. Subtraction of high-resolution images can aid in evaluation of the remnant ovarian tissue. Endometrial invasion of the ovarian tissue is associated with the ovarian function. Moreover, bilateral invasion of the ovarian tissue is associated with infertility. Therefore, preoperative evaluation of the viable ovarian tissue is important. stand T1WI could be used to quantitatively analyze enhancement within this subset of cystic ovarian masses to prevent false-negative results. In addition, assessment of the extent of ovarian involvement is mandatory to determine the preoperative grade [24,25]. However, there are no definite MRI findings suggestive of deep invasion of the ovary. In this study, we regarded integrated density as the sum of pixel densities modified by area instead of the sum of the number of pixels. Although further clinical studies are required, the value could be a marker for remnant viable ovarian tissue. The presented technique could be useful in determination of the surgical approach for ovarian endometriosis.

However, this technique has some disadvantages. Although subtraction MRI adds only a few extra minutes in the process after contrast-enhanced MRI ("MRI), it requires strict adherence to a specific protocol [26,27]. The radiology staff should be trained to ensure that correct images are obtained, and the post-acquisition

analysis is appropriate, including matching the exact pre- and post-contrast images to generate the subtracted image, which can be hampered by patient movement [28]. As a result, many images in our study were not suitable for analyses. These issues have not been highlighted by the previous studies on subtraction MRI. The utility of contrast MRI with the subtraction technique is rarely discussed.

This study highlighted the role of subtraction imaging with MRI. Pelvic MRI with subtraction imaging revealed ovarian endometriosis invasion of the adjacent healthy ovarian tissue. Subtraction images showed the absence of enhancement within the lesions, confirming the diagnosis of hemorrhage due to endometriosis. The normalized perfusion index map could be helpful for evaluating the remnant ovarian tissue. The application of "MRI with the subtraction technique has rarely been discussed; however, it could be promising for the preoperative evaluation in patients who desire ovarian preservation during the surgical treatment. In conclusion, the subtraction technique used with pelvic MRI could reveal the extent of the endometrial invasion and viable remnant ovarian tissue.

# Acknowledgments

#### **Conflicts of interest**

No potential conflict of interest relevant to this article was reported.

#### **ORCID**

Hyun Jung Lee, https://orcid.org/0000-0002-3942-405X

#### References

- Hauth EA, Stattaus J, Kimmig R, Schmidt M, Forsting M. Magnetic resonance imaging (MRI) of the pelvis in diagnosing endometriosis. Zentralbl Gynakol 2005;127:76–82.
- Kinkel K, Frei KA, Balleyguier C, Chapron C. Diagnosis of endometriosis with imaging: a review. Eur Radiol 2006;16:285–98.
- Woodward PJ, Sohaey R, Mezzetti TP Jr. Endometriosis: radiologic-pathologic correlation. Radiographics 2001;21:193–216.
- 4. Nishio N, Kido A, Kataoka M, Kuwahara R, Nakao K, Kurata Y, et al. Longitudinal changes in magnetic resonance imaging of malignant and borderline tumors associated with ovarian endometriotic cyst comparing with endometriotic cysts without arising malignancy. Eur J Radiol 2018;105:175–81.
- Tanaka YO, Okada S, Yagi T, Satoh T, Oki A, Tsunoda H, et al. MRI of endometriotic cysts in association with ovarian carcino-

- ma. AJR Am J Roentgenol 2010;194:355-61.
- **6.** Sugimura K, Okizuka H, Imaoka I, Kaji Y, Takahashi K, Kitao M, et al. Pelvic endometriosis: detection and diagnosis with chemical shift MR imaging. Radiology 1993;188:435–8.
- 7. Sanchez AM, Viganò P, Somigliana E, Panina-Bordignon P, Vercellini P, Candiani M. The distinguishing cellular and molecular features of the endometriotic ovarian cyst: from pathophysiology to the potential endometriosis-mediated damage to the ovary. Hum Reprod Update 2014;20:217–30.
- 8. Togashi K, Nishimura K, Kimura I, Tsuda Y, Yamashita K, Shibata T, et al. Endometrial cysts: diagnosis with MR imaging. Radiology 1991;180:73–8.
- 9. Bazot M, Bharwani N, Huchon C, Kinkel K, Cunha TM, Guerra A, et al. European society of urogenital radiology (ESUR) guidelines: MR imaging of pelvic endometriosis. Eur Radiol 2017;27:2765–75.
- **10.** Forstner R, Meissnitzer M, Schlattau A, Spencer JA. MRI in ovarian cancer. Imaging Med 2012;4:59–75.
- 11. Working group of ESGE, ESHRE, and WES; Saridogan E, Becker CM, Feki A, Grimbizis GF, Hummelshoj L, et al. Recommendations for the surgical treatment of endometriosis-part 1: ovarian endometriosis. Gynecol Surg 2017;14:27.
- 12. Grammatikakis I, Evangelinakis N, Salamalekis G, Tziortzioti V, Samaras C, Chrelias C, et al. Prevalence of severe pelvic inflammatory disease and endometriotic ovarian cysts: a 7-year retrospective study. Clin Exp Obstet Gynecol 2009;36:235–6.
- Guan X, Yu X, Liu X, Long J, Dai J. CT perfusion imaging and CT subtraction angiography in the diagnosis of ischemic cerebrovascular disease within 24 hours. Chin Med J (Engl) 2003; 116:368–72.
- Lee VS, Flyer MA, Weinreb JC, Krinsky GA, Rofsky NM. Image subtraction in gadolinium-enhanced MR imaging. AJR Am J Roentgenol 1996;167:1427–32.
- Eid M, Abougabal A. Subtraction images: a really helpful tool in non-vascular MRI. Egypt J Radiol Nucl Med 2014;45:909–19.
- American Society for Reproductive Medicine. Revised American Society for Reproductive Medicine classification of endometriosis: 1996. Fertil Steril 1997;67:817–21.
- Newatia A, Khatri G, Friedman B, Hines J. Subtraction imaging: applications for nonvascular abdominal MRI. AJR Am J Roentgenol 2007;188:1018–25.
- 18. Hecht EM, Israel GM, Krinsky GA, Hahn WY, Kim DC, Belits-kaya-Levy I, et al. Renal masses: quantitative analysis of enhancement with signal intensity measurements versus qualitative analysis of enhancement with image subtraction for diagnosing malignancy at MR imaging. Radiology 2004;232:373–8.
- 19. Yu JS, Rofsky NM. Dynamic subtraction MR imaging of the liv-

- er: advantages and pitfalls. AJR Am J Roentgenol 2003;180: 1351–7.
- 20. Chanana C, Gupta N, Bansal I, Hooda K, Sharma P, Gupta M, et al. Different sonographic faces of ectopic pregnancy. J Clin Imaging Sci 2017;7:6.
- 21. Bennett GL, Slywotzky CM, Cantera M, Hecht EM. Unusual manifestations and complications of endometriosis--spectrum of imaging findings: self-assessment module. AJR Am J Roentgenol 2010;194(6 Suppl):S84–8.
- **22.** Gandhi D, Garg G, Solanki S, Nepal P. Deep infiltrating endometriosis: role of magnetic resonance subtraction imaging. Quant Imaging Med Surg 2018;8:722–3.
- **23.** Sun X, Shi L, Luo Y, Yang W, Li H, Liang P, et al. Histogram-based normalization technique on human brain magnetic resonance images from different acquisitions. Biomed Eng Online 2015;14:73.
- 24. Hornstein MD, Gleason RE, Orav J, Haas ST, Friedman AJ,

- Rein MS, et al. The reproducibility of the revised American Fertility Society classification of endometriosis. Fertil Steril 1993; 59:1015–21.
- 25. Schultes G. Classification of endometriosis. Wien Med Wochenschr 1999;149:361–5.
- **26.** Chan JH, Peh WC, Tsui EY, Wong KP, Yuen MK. Three-dimensional time-of-flight subtraction angiography of subacute cerebral hemorrhage. AJR Am J Roentgenol 2003;181:242–4.
- 27. Cheng B, Cai W, Sun C, Kang Y, Gong J. 3D bone subtraction CT angiography for the evaluation of intracranial aneurysms: a comparison study with 2D bone subtraction CT angiography and conventional non-subtracted CT angiography. Acta Radiol 2015;56:1127–34.
- 28. Jamil K, Walker T, Onikul E, Munns CF, Little DG. A comparison of subtraction MRI with the standard contrast-enhanced imaging in Perthes' disease. J Child Orthop 2019;13:82–8.

elSSN 2384-0293 Yeungnam Univ J Med 2020;37(2):98-105 https://doi.org/10.12701/yujm.2019.00374

# Comparison of small bowel findings using capsule endoscopy between Crohn's disease and intestinal tuberculosis in Korea

# Yong Gil Kim<sup>1</sup>, Kyung-Jo Kim<sup>2</sup>, Young-Ki Min<sup>3</sup>

- <sup>1</sup>Department of Internal Medicine, Soonchunhyang University College of Medicine, Gumi, Korea
- <sup>2</sup>Department of Gastroenterology, Asan Medical Center, University of Ulsan College of Medicine, Seoul, Korea

Received: August 17, 2019 Revised: October 19, 2019 Accepted: November 5, 2019

#### Corresponding author:

Young-Ki Min Department of Physiology, Soonchunhyang University College of Medicine, 31 Suncheonhyang 6-gil, Dongnam-gu, Cheonan 31151, Korea

Tel: +82-54-468-9086 Fax: +82-54-463-7504 E-mail: 71470@schmc.ac.kr Background: Little is known about capsule endoscopy (CE) findings in patients with intestinal tuberculosis who exhibit small bowel lesions. The aim of the present study was to distinguish between Crohn's disease (CD) and intestinal tuberculosis based on CE findings.

Methods: Findings from 55 patients, who underwent CE using PillCam SB CE (Given Imaging, Yoqueam, Israel) between February 2003 and June 2015, were retrospectively analyzed.

Results: CE revealed small bowel lesions in 35 of the 55 patients: 19 with CD and 16 with intestinal tuberculosis. The median age at diagnosis for patients with CD was 26 years and 36 years for those with intestinal tuberculosis. On CE, three parameters, ≥10 ulcers, >3 involved segments and aphthous ulcers, were more common in patients with CD than in those intestinal tuberculosis. Cobblestoning was observed in five patients with CD and in none with intestinal tuberculosis. The authors hypothesized that a diagnosis of small bowel CD could be made when the number of parameters in CD patients was higher than that for intestinal tuberculosis. The authors calculated that the diagnosis of either CD or intestinal tuberculosis would have been made in 34 of the 35 patients (97%).

Conclusion: The number of ulcers and involved segments, and the presence of aphthous ulcers, were significantly higher and more common, respectively, in patients with CD than in those with intestinal tuberculosis. Cobblestoning in the small bowel may highly favor a diagnosis of CD on CE.

Keywords: Capsule endoscopy; Crohn disease; Small intestine; Tuberculosis

#### Introduction

Crohn's disease (CD) and intestinal tuberculosis are chronic granulomatous diseases that can involve any part of the gastrointestinal tract but have a predilection for the terminal ileum and cecum [1]. Although intestinal tuberculosis has long been a common problem in developing countries, it has resurged in Western countries due to human immunodeficiency virus (HIV) infection and im-

migration from developing countries [2-4]. At the same time, the incidence of CD in areas endemic for intestinal tuberculosis has increased [5,6]. Thus, differentiation between the two diseases has become more important. Ileocolonoscopy is the primary diagnostic modality for both CD and intestinal tuberculosis [7], and colonoscopic findings differentiating intestinal tuberculosis from CD have been well described [8]. Small bowel lesions, however, are common in patients with CD. For example, in Korea,

Copyright© 2020 Yeungnam University College of Medicine

This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/4.0/) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.

<sup>&</sup>lt;sup>3</sup>Department of Physiology, Soonchunhyang University College of Medicine, Cheonan, Korea

21% of patients with CD were found to have small bowel disease [5]. Intestinal tuberculosis also primarily involves the distal ileum and cecum, followed by the small bowel [9].

Capsule endoscopy (CE), which can directly visualize the mucosa of the small bowel [10], is an effective modality for the diagnosis of CD undetected using conventional diagnostic techniques [11]. Less is known, however, about CE findings in patients with intestinal tuberculosis [12]. Misdiagnosing intestinal tuberculosis as CD may be harmful to patients in areas endemic for intestinal tuberculosis because CD is treated with intensive immunomodulator therapy and surgery. Therefore, we assessed the utility of CE in the differential diagnosis of CD and intestinal tuberculosis.

#### Materials and methods

#### 1. Ethics statement

The study protocol was approved by the Institutional Review Board of Asan Medical Center (IRB No: 2010-0894).

#### 2. Patient selection

This was a retrospective study of information housed in the CE database of the Asan Medical Center (Seoul, Korea). CD was diagnosed based on conventional clinical, radiological, endoscopic, and histopathological criteria [6]. Between February 26, 2003 and June 12, 2015, 23 patients with CD underwent CE. Indications for CE included determination of the extent of small bowel disease in patients with established CD (n=19) and workup of suspected CD (n=4). The latter four patients were excluded because they were classified with probable CD based on clinical and histopathological criteria. Thus, only the 19 patients with established CD were enrolled [8,13].

During the same time period, 32 patients who underwent CE and diagnosed with intestinal tuberculosis, defined as meeting one of the following diagnostic criteria, were identified: histological evidence of caseating granulomas (n=14) on colonoscopic biopsy; histological demonstration of acid-fast bacilli (n=2) on colonoscopic biopsy; growth of *Mycobacterium tuberculosis* on tissue culture (n=8) of colonoscopic biopsy specimens; and colonoscopic evidence of intestinal tuberculosis and resolution after anti-tuberculous medication (n=8) [8,14]. CE for evaluation of the small bowel was performed in patients with confirmed intestinal tuberculosis immediately before starting anti-tuberculous medication. All patients provided written informed consent before the procedure. Patients taking nonsteroidal anti-inflammatory medications or aspirin were excluded.

#### 3. Capsule endoscopy methods and imaging analysis

All patients ingested a polyethylene glycol electrolyte lavage solution for bowel preparation before CE, which was performed using the PillCam SB CE system (Given Imaging, Yoqneam, Israel). The CE parameters evaluated in this study included the number of ulcers, number of segments involved, aphthous ulcers, linear ulcers, a "cobblestone" appearance, focal lymphangiectasia, and stricture. The number of ulcers was dichotomized as  $\geq 10$  or < 10. The presence or absence of lesions was assessed separately in four segments. The four segments were evenly divided throughout the entire small bowel passage time except the duodenum. If transient CE retention in the small bowel occurred, the delay time was not included in assessing the four segments. A stricture was defined as the presence of luminal narrowing that restricted passage of the capsule in the small bowel. Two endoscopists retrospectively reviewed all CE findings on a workstation and were blinded to the diagnoses. Discrepancies were resolved by consensus discussion after review.

#### 4. Statistical analysis

Data are expressed as median and range, and were compared between the CD and intestinal tuberculosis groups using the Pearson chi-squared test or Fisher exact test; differences with p < 0.05 were considered to be statistically significant. All statistical evaluations were performed using SPSS version 18.0 (SPSS Inc., Chicago, IL, USA).

#### **Results**

#### 1. Study population

A total of 55 patients were assessed: 23 with CD and 32 with intestinal tuberculosis. CE revealed small bowel involvement in 19 of 23 CD (83%) and 16 of 32 intestinal tuberculosis (50%) patients. Analysis of these 35 patients (19 with CD and 16 with intestinal tuberculosis), revealed a male-to-female ratio of 14:5 and 3:5, respectively. Median age at diagnosis was 26 years (range, 12-47 years) in patients with CD, and 36 years (range, 16-46 years) in those with intestinal tuberculosis. All patients were Asian, primarily Korean. Abdominal pain was the most common symptom in both groups, and there were no significant between-group differences in weight loss and the incidences of abdominal pain and diarrhea. The median duration between symptom onset and undergoing CE was 5.5 months (range, 1-36 months) in patients with CD, and 6 months (range, 2-12 months) in those with intestinal tuberculosis. There were also no significant differences in hemoglobin and C-reactive protein concentrations, and erythrocyte sedimentation rate. Patient char-

**Table 1.** Clinical characteristics of patients

Characteristic	Crohn's disease (n = 19)	Intestinal tuberculosis (n = 16)	<i>p</i> -value
Age, yr (median, range)	26 (12–47)	36 (16–46)	NA
Symptom to diagnosis (median, range)	6 (1–36)	6 (2–12)	NA
Sex (male:female)	14:5	3:5	NS
Symptom			
Weight loss	14	7	NS
Abdominal pain	17	9	NS
Diarrhea	7	0	0.009
Laboratory abnormality			
Hemoglobin (g/dL)	12.6	12.4	NA
Erythrocyte sedimentation rate (mm/hr)	30	24	NA
C-reactive protein (mg/dL)	1.86	0.83	NA

NA, not available; NS, non-specific.

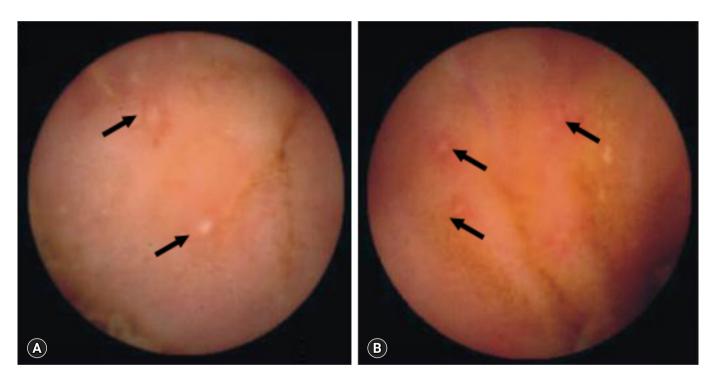


Fig. 1. Capsule endoscopy reveals multiple aphthous ulcers (arrows) in patients with Crohn's disease (A) and intestinal tuberculosis (B).

acteristics are summarized in Table 1.

Among patients with CD, only 2 (10.5%) exhibited the stricturing type, the others were non-stricturing, non-penetrating type. The mean duration between symptom onset to diagnosis was  $6.9\pm7.8$  months. Among these, 11 patients (57.9%) had colonic lesions (L3) and 7 (36.8%) had concomitant perianal disease.

#### 2. Capsule endoscopic findings

All 18 patients with CD had  $\geq$  10 ulcers in the small bowel, compared with only 2 of the 16 with intestinal tuberculosis (p < 0.001). Moreover, all 17 CD patients had ulcers in > 3 segments of the

small bowel, compared with 1 of the 16 with intestinal tuberculosis (p < 0.001). Aphthous ulcers in the small bowel were observed in all patients with CD and in 4 of 16 patients with intestinal tuberculosis (p < 0.001) (Fig. 1). Linear ulcer of the small bowel was found in 10 of 19 with CD (52.6%) and in 4 of 16 with intestinal tuberculosis (25.0%) (Fig. 2). Cobblestoning was found in 5 of 19 CD (26.3%) and 0 of 16 intestinal tuberculosis patients (p < 0.001) (Fig. 3), and strictures in 2 of 19 (10.5%) and 1 of 16 CD (6.3%) and intestinal tuberculosis patients, respectively (Fig. 4). CE findings in the two groups are summarized in Table 2. Overall, three parameters,  $\geq$  10 ulcers in the small bowel, involvement of > 3 seg-

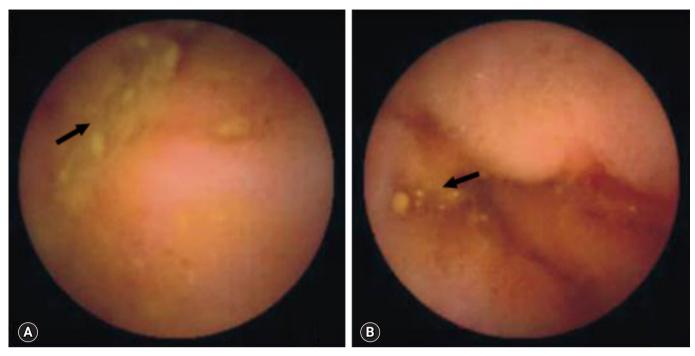


Fig. 2. Capsule endoscopy reveals linear ulcers (arrow) in patients with Crohn's disease (A) and intestinal tuberculosis (B).



**Fig. 3.** Capsule endoscopy reveals cobblestone features in patients with Crohn's disease.

ments, and aphthous ulcers and cobblestoning, were significantly more common in patients with CD than in those with intestinal tuberculosis.

### **Discussion**

To our knowledge, this study is the first in Korea, to report CE findings that distinguished CD from intestinal tuberculosis. We found that CD was more commonly characterized by the presence of  $\geq 10$  ulcers, > 3 involved segments, and aphthous ulcers. All of these criteria differed significantly between patients with CD and intestinal tuberculosis, and all were highly diagnostic.

CD and intestinal tuberculosis present with similar clinical manifestations, including weight loss, anemia, abdominal pain, and diarrhea [15]. We found that these clinical manifestations, including symptoms and laboratory findings, were similar in the two groups, although age at diagnosis was greater in patients with intestinal tuberculosis. Although colonoscopy can distinguish between ileocolic involvement in patients with intestinal tuberculosis and CD [6,13-15], one-fifth to one-third of patients with CD present with only small bowel involvement. Small bowel involvement is also common in patients with intestinal tuberculosis. In fact, we found that 50% of patients with intestinal tuberculosis exhibited small bowel involvement. Establishing a correct diagnosis is critical for choosing the appropriate therapy and for predicting prognosis, especially in areas in which intestinal tuberculosis is highly endemic. Although radiological examination, including small bowel follow-through, may be helpful in differential diagnosis, its diagnostic yield is not satisfactory.



Fig. 4. Capsule endoscopy reveals strictures in patients with Crohn's disease (A) and intestinal tuberculosis (B).

Table 2. Comparison of capsule endoscopic findings between Crohn's disease and intestinal tuberculosis

Variable	Crohn's disease (n = 19)	Intestinal tuberculosis (n = 16)	<i>p</i> -value
Numbers of ulcer			< 0.001
≥ 10	18 (94.7)	2 (12.5)	
< 10	1 (5.3)	14 (87.5)	
Numbers of involved segment			
1	0	13	
2	2	2	
3	4	1	
4	13	0	
Numbers of more than half of involved segment			< 0.001
≥3	17 (89.5)	1 (6.3)	
<3	2 (10.5)	15 (93.7)	
Upper gastrointestinal lesion	4 (21.1)	4 (25.0)	NS
Aphthous ulcer	19 (100)	4 (25.0)	< 0.001
Linear ulcer	10 (52.6)	4 (25.0)	NS
Cobblestoning	5 (26.3)	0	0.027
Focal lymphangiectasia	6 (31.6)	1 (6.3)	NS
Stricture	2 (10.5)	1 (6.3)	NS

Values are presented as number (%). NS, non-specific.

Although CE may be useful in diagnosing CD in western countries [16,17], to date, there are no established criteria or guidelines for diagnosing CD. Studies have suggested that >3 or 10 small bowel ulcers are diagnostic for CD [16-18]. Those studies, however, involved patients in western countries, which have a low

prevalence of intestinal tuberculosis. Distinguishing CD from intestinal tuberculosis, especially in areas highly endemic for tuberculosis, is more challenging.

In comparing CE findings in patients with established CD and intestinal tuberculosis, we assessed the number of ulcers, the

number of segments involved, the presence of aphthous ulcers, linear ulcers, cobblestoning, focal lymphangiectasia, and strictures. However, we did not include ileocecal valve involvement because we believe that ileocecal valve involvement is a colonic or colonoscopic finding [19]. We found that CD involved more segments, with more ulcers and with aphthous ulcers, than intestinal tuberculosis. We did not detect cobblestoning in any of our patients with intestinal tuberculosis, and cobblestoning of the small bowel was highly significant in our study. Linear ulcers were more common in patients with CD than in those with intestinal tuberculosis; however, the difference was not significant. Cobblestoning and linear ulcer of the small bowel may not be specific to small bowel CD because both have been reported in patients with other conditions such as intestinal tuberculosis [8]. Larger-scale studies, however, may reveal significant differences in these two endoscopic findings.

The main concern in performing CE in these patients is capsule retention due to an unpredicted stricture in the small bowel. The leading cause of capsule retention is stricturing CD [20,21]. Capsule retention was more common in patients with confirmed CD than in those with suspected CD [22,23]. For predicting CE retention, two methods have been attempted: dedicated small bowel cross-sectional techniques; and patency capsules. However, cross-sectional techniques and patency capsules are both effective in decreasing the retention rate, although neither able to completely eliminate the risk for retention [24]. We found that passage of the capsule was delayed in 10% of CD patients and 12.5% of intestinal tuberculosis patients. Fortunately, all capsules passed spontaneously during follow-up without mechanical obstruction. Although capsule retention did not occur among our patients, physicians should be aware of the risk for capsule retention in patients with both intestinal tuberculosis and CD.

The main strength of the present study was the exclusion of patients with suspected CD (i.e., CE was performed only in patients with established CD or intestinal tuberculosis); as such, we avoided misclassification of CE findings. An important result of this study was our finding of potential diagnostic criteria distinguishing between CD and intestinal tuberculosis in tuberculosis endemic areas. In addition, we demonstrated that CE was diagnostically accurate in patients with these two diseases. Previous studies demonstrated that CE has a higher diagnostic yield for lesions associated with CD when compared with small bowel X-rays, ileocolonoscopy, computed tomographic enterography, push enteroscopy, or magnetic resonance imaging [11,16,23,25].

Some may argue that our study population was inappropriate for the comparison of CE findings because the male-to-female ratio, age at diagnosis, and extensive small bowel involvement in CD patients. Age at presentation can be a characteristic that differentiates CD from intestinal tuberculosis [26]. A male predominance in CD has been reported in Asian countries, including Japan, Hong Kong, and Korea [27,28]. Despite the relatively short duration between symptom onset to performing CE in patients with CD (5.5 months), compared with a western study (11 months) [29], our patients exhibited extensive lesions in their small bowel. Extensive small bowel lesions in CD patients with small bowel involvement are probably another characteristic of CD in Asians or, perhaps the use of CE enables the detection of previously undetected small bowel lesions [30].

This study had several limitations, including its retrospective design, which is prone to bias from unrecognized or unmeasured factors. However, we assessed CE results in patients with confirmed intestinal tuberculosis to avoid diagnostic misclassification. Second, our findings may not apply to HIV-infected patients because all of our subjects were HIV-negative. Third, our findings require validation in populations with different prevalences of CD and intestinal tuberculosis. Finally, we enrolled only CD patients who were not undergoing non-steroidal anti-inflammatory drug (NSAID) therapy. Thus, our diagnostic criteria cannot be applied to other ulcerative lesions such as NSAID-induced ulcers.

In conclusion, we found that three parameters—umber of ulcers, number of involved segments, and the presence of aphthous ulcers—were significantly more common in patients with CD than in those with intestinal tuberculosis. Cobblestoning in the small bowel may highly favor a diagnosis of CD on CE. Our results should, nevertheless, be confirmed in a larger, prospective study.

# Acknowledgments

#### **Conflicts of interest**

No potential conflict of interest relevant to this article was reported.

#### **ORCID**

Yong Gil Kim, https://orcid.org/0000-0001-9501-6790 Kyung-Jo Kim, https://orcid.org/0000-0001-8330-4509 Young-Ki Min, https://orcid.org/0000-0003-2139-7708

#### References

- Almadi MA, Ghosh S, Aljebreen AM. Differentiating intestinal tuberculosis from Crohn's disease: a diagnostic challenge. Am J Gastroenterol 2009;104:1003–12.
- 2. Horvath KD, Whelan RL. Intestinal tuberculosis: return of an

- old disease. Am J Gastroenterol 1998;93:692-6.
- 3. Marshall JB. Tuberculosis of the gastrointestinal tract and peritoneum. Am J Gastroenterol 1993;88:989–99.
- 4. Snider DE Jr, Roper WL. The new tuberculosis. N Engl J Med 1992;326:703–5.
- Thia KT, Loftus EV Jr, Sandborn WJ, Yang SK. An update on the epidemiology of inflammatory bowel disease in Asia. Am J Gastroenterol 2008;103:3167–82.
- Yang SK, Yun S, Kim JH, Park JY, Kim HY, Kim YH, et al. Epidemiology of inflammatory bowel disease in the Songpa-Kangdong district, Seoul, Korea, 1986-2005: a KASID study. Inflamm Bowel Dis 2008;14:542–9.
- Solem CA, Loftus EV Jr, Fletcher JG, Baron TH, Gostout CJ, Petersen BT, et al. Small-bowel imaging in Crohn's disease: a prospective, blinded, 4-way comparison trial. Gastrointest Endosc 2008;68:255–66.
- 8. Lee YJ, Yang SK, Byeon JS, Myung SJ, Chang HS, Hong SS, et al. Analysis of colonoscopic findings in the differential diagnosis between intestinal tuberculosis and Crohn's disease. Endoscopy 2006;38:592–7.
- 9. Park SH, Yang SK, Yang DH, Kim KJ, Yoon SM, Choe JW, et al. Prospective randomized trial of six-month versus nine-month therapy for intestinal tuberculosis. Antimicrob Agents Chemother 2009;53:4167–71.
- Gong F, Swain P, Mills T. Wireless endoscopy. Gastrointest Endosc 2000;51:725–9.
- 11. Fireman Z, Mahajna E, Broide E, Shapiro M, Fich L, Sternberg A, et al. Diagnosing small bowel Crohn's disease with wireless capsule endoscopy. Gut 2003;52:390–2.
- Reddy DN, Sriram PV, Rao GV, Reddy DB. Capsule endoscopy appearances of small-bowel tuberculosis. Endoscopy 2003; 35:99.
- Loftus EV Jr, Silverstein MD, Sandborn WJ, Tremaine WJ, Harmsen WS, Zinsmeister AR. Crohn's disease in Olmsted County, Minnesota, 1940-1993: incidence, prevalence, and survival. Gastroenterology 1998;114:1161–8.
- Lingenfelser T, Zak J, Marks IN, Steyn E, Halkett J, Price SK. Abdominal tuberculosis: still a potentially lethal disease. Am J Gastroenterol 1993;88:744–50.
- Chung KM, Kim HS, Park SY, Lim SR, Ryang DY, Jeong HK, et al. The changes in incidence of Crohn's disease and intestinal tuberculosis in Korea. Korean J Gastroenterol 2008;52:351–8.
- Mehdizadeh S, Chen GC, Barkodar L, Enayati PJ, Pirouz S, Yadegari M, et al. Capsule endoscopy in patients with Crohn's disease: diagnostic yield and safety. Gastrointest Endosc 2010; 71:121–7.
- 17. Mow WS, Lo SK, Targan SR, Dubinsky MC, Treyzon L,

- Abreu-Martin MT, et al. Initial experience with wireless capsule enteroscopy in the diagnosis and management of inflammatory bowel disease. Clin Gastroenterol Hepatol 2004;2:31–40.
- 18. Voderholzer WA, Beinhoelzl J, Rogalla P, Murrer S, Schachschal G, Lochs H, et al. Small bowel involvement in Crohn's disease: a prospective comparison of wireless capsule endoscopy and computed tomography enteroclysis. Gut 2005;54:369–73.
- 19. Rana SS, Sharma V, Sharma R, Nada R, Gupta R, Bhasin DK. Capsule endoscopy in small bowel Crohn's disease and Tuberculosis. Trop Doct 2017;47:113–8.
- 20. Cheon JH, Kim YS, Lee IS, Chang DK, Ryu JK, Lee KJ, et al. Can we predict spontaneous capsule passage after retention? A nationwide study to evaluate the incidence and clinical outcomes of capsule retention. Endoscopy 2007;39:1046–52.
- 21. Liao Z, Gao R, Xu C, Li ZS. Indications and detection, completion, and retention rates of small-bowel capsule endoscopy: a systematic review. Gastrointest Endosc 2010;71:280–6.
- 22. Cheifetz AS, Kornbluth AA, Legnani P, Schmelkin I, Brown A, Lichtiger S, et al. The risk of retention of the capsule endoscope in patients with known or suspected Crohn's disease. Am J Gastroenterol 2006;101:2218–22.
- 23. Triester SL, Leighton JA, Leontiadis GI, Gurudu SR, Fleischer DE, Hara AK, et al. A meta-analysis of the yield of capsule endoscopy compared to other diagnostic modalities in patients with non-stricturing small bowel Crohn's disease. Am J Gastroenterol 2006;101:954–64.
- 24. Rondonotti E, Soncini M, Girelli CM, Russo A, de Franchis R; Collaborators on behalf of Aigo S, et al. Short article: Negative small-bowel cross-sectional imaging does not exclude capsule retention in high-risk patients. Eur J Gastroenterol Hepatol 2016;28:871–5.
- 25. Herrerias JM, Caunedo A, Rodriguez-Tellez M, Pellicer F, Herrerias JM Jr. Capsule endoscopy in patients with suspected Crohn's disease and negative endoscopy. Endoscopy 2003; 35:564–8.
- 26. Jayanthi V, Robinson RJ, Malathi S, Rani B, Balambal R, Chari S, et al. Does Crohn's disease need differentiation from tuberculosis? J Gastroenterol Hepatol 1996;11:183–6.
- 27. Leong RW, Lau JY, Sung JJ. The epidemiology and phenotype of Crohn's disease in the Chinese population. Inflamm Bowel Dis 2004;10:646–51.
- 28. Yang SK, Loftus EV Jr, Sandborn WJ. Epidemiology of inflammatory bowel disease in Asia. Inflamm Bowel Dis 2001;7:260–70
- Sawczenko A, Sandhu BK. Presenting features of inflammatory bowel disease in Great Britain and Ireland. Arch Dis Child 2003;88:995–1000.

**30.** Petruzziello C, Onali S, Calabrese E, Zorzi F, Ascolani M, Condino G, et al. Wireless capsule endoscopy and proximal small bowel lesions in Crohn's disease. World J Gastroenterol 2010; 16:3299–304.



## Predictive value of C-reactive protein for the diagnosis of meningitis in febrile infants under 3 months of age in the emergency department

Tae Gyoung Lee, Seung Taek Yu, Cheol Hwan So

Department of Pediatrics, Wonkwang University School of Medicine, Iksan, Korea

Received: October 30, 2019 Revised: December 9, 2019 Accepted: December 16, 2019

#### Corresponding author:

Cheol Hwan So Department of Pediatrics, Wonkwang University School of Medicine, 895 Muwang-ro, Iksan 54538, Korea

Tel: +82-63-859-1510 Fax: +82-63-853-3670 E-mail: sopedoc@gmail.com Background: Fever is a common cause of pediatric consultation in the emergency department. However, identifying the source of infection in many febrile infants is challenging because of insufficient presentation of signs and symptoms. Meningitis is a critical cause of fever in infants, and its diagnosis is confirmed invasively by lumbar puncture. This study aimed to evaluate potential laboratory markers for meningitis in febrile infants.

Methods: We retrospectively analyzed infants aged <3 months who visited the emergency department of our hospital between May 2012 and May 2017 because of fever of unknown etiology. Clinical information and laboratory data were evaluated. Receiver operating characteristic (ROC) curves were constructed.

Results: In total, 145 febrile infants aged <3 months who underwent lumbar punctures were evaluated retrospectively. The mean C-reactive protein (CRP) level was significantly higher in the meningitis group than in the non-meningitis group, whereas the mean white blood cell count or absolute neutrophil count (ANC) did not significantly differ between groups. The area under the ROC curve (AUC) for CRP was 0.779 (95% confidence interval [CI], 0.701–0.858). The AUC for the leukocyte count was 0.455 (95% CI, 0.360–0.550) and that for ANC was 0.453 (95% CI, 0.359–0.547). The CRP cut-off value of 10 mg/L was optimal for identifying possible meningitis. Conclusion: CRP has an intrinsic predictive value for meningitis in febrile infants aged <3 months.

Despite its invasiveness, a lumbar puncture may be recommended to diagnose meningitis in young, febrile infants with a CRP level >10 mg/L.

Keywords: C-reactive protein; Fever; Infants; Meningitis; Spinal puncture

#### Introduction

Fever is a common cause of patient visits in pediatric practice [1]. In many patients, the main cause of infection cannot be identified based solely on the clinician's physical examination, especially in pediatric patients [2]. When infants who visit the emergency department exhibit fever without a clear source of infection, doctors must differentially diagnose various diseases from a simple viral

infection to a serious bacterial infection [3]. The management of febrile infants aged <3 months is especially challenging because of the relatively high prevalence of serious bacterial infections such as bacteremia, meningitis, and urinary tract infection, and the lack of specific signs or symptoms to differentiate these infections from a simple viral infection [4,5]. A combination of medical history and physical and laboratory findings has been widely accepted as an approach to identify the source of infection in fe-

Copyright© 2020 Yeungnam University College of Medicine

brile patients [6].

Meningitis is one of the causes of fever, and lumbar puncture (LP) is required to determine the presence of meningitis by obtaining a cerebrospinal fluid (CSF) sample. Making a decision of when to perform an LP to differentiate meningitis from other diseases can be difficult [7]. In early-onset sepsis in the neonatal period, the American Academy of Pediatrics (AAP) recommends performing an LP in the case of positive blood culture results, if the "clinical course or laboratory data strongly suspect bacterial sepsis," or if the infant does not respond to antimicrobial therapy [8]. However, even with meningitis, young infant patients often do not present symptoms such as fever, vomiting, or headache, and blood culture examinations normally take a couple of days. Therefore, many clinicians rely on laboratory findings, including the level of C-reactive protein (CRP), an acute-phase reactant synthesized by the liver in response to tissue injury or inflammation, which is a sensitive marker for infection [9,10]. The National Institute for Health and Care Excellence (NICE) published clinical guideline 149 (CG149) that suggests considering LP if the blood culture result is positive, the patient does not respond to antimicrobial therapy, or if the patient has a CRP level > 10 mg/L [11]. Many studies have analyzed the diagnostic markers for serious bacterial infections in febrile infants, but markers indicating meningitis and the cut-off values for the diagnosis of meningitis have rarely been evaluated.

Therefore, the aim of this study was to retrospectively assess several laboratory markers, such as CRP, white blood cell (WBC) and absolute neutrophil count (ANC), as markers of meningitis in febrile infants in the emergency department and to identify predictive values using receiver operating characteristic (ROC) curves for meningitis.

#### Materials and methods

#### 1. Ethics statement

Ethical approval for this study was obtained from the Institutional Review Board of Wonkwang University Hospital (IRB No: WKUH 2019-04-045). The requirement to obtain informed consent was waived given the retrospective nature of the study.

#### 2. Data collection, study setting, and definitions

This retrospective study included all infants aged < 3 months who visited the emergency department of our hospital from May 2012 to May 2017 for fever with no clear source of infection. Electronic medical records of 610 infant patients whose history and physical examination could not reveal the source of infection and who underwent a blood test were analyzed. The exclusion criteria were

lack of blood test results and antibiotic therapy prior to the visit.

All patients underwent a full physical examination to localize the source of the fever, including the evaluation of their overall physical appearance as well as assessment of the heart, lungs, pharynges, fontanel, and ears. We collected the following clinical data from the electronic medical records: patient's age, sex, duration of fever before the hospital visit, final diagnosis, CRP level, WBC count, ANC, platelet (PLT) count, and results of the CSF analysis when LP was performed according to the ward's policy. Meningitis was defined as meningism without altered consciousness, with CSF WBC count  $\geq 5/\mu L$  in infants older than 28 days and  $\geq 30/\mu L$  in neonates [12]. Bacterial meningitis was confirmed based on the culture results of the CSF, Wellcogen (Remel Europe Ltd., Dartford, Kent, UK) bacterial antigen rapid latex agglutination test, or polymerase chain reaction (PCR) using dual priming oligonucleotide, Seeplex (MT Promedt Consulting GmbH, St. Ingbert, Germany). Viral meningitis was confirmed based on positive viral multiplex PCR results in the CSF. Aseptic meningitis was confirmed by negative bacterial growth in the CSF. For comparative analysis, we distinguished aseptic meningitis from viral meningitis. We confirmed that no bacterial pathogens were found in all investigated specimens from the aseptic or the viral meningitis groups. Undetermined fever was defined when the cause of fever was not revealed after 7 days of hospitalization. Urinary tract infection was diagnosed with pyuria (WBC > 5/high power field) and the isolation of > 100,000 colony-forming units per milliliter of a single pathogen from the urine collected in a urine bag. Cultures with more than one isolate were considered contaminated. Fever was defined as a tympanic membrane temperature of 38°C or higher.

#### 3. Statistical analysis

Continuous variables were presented as mean  $\pm$  standard deviation. Categorical variables were expressed as a number (%) using the cross analysis. The independent t-test was used when comparing the meningitis and non-meningitis group. Sensitivity, specificity, positive predictive value (PPV), negative predictive value (NPV), and area under the curves (AUCs) for laboratory biomarkers with respect to the diagnosis of meningitis were calculated and compared. Data were analyzed using IBM SPSS version 25.0 (IBM Corp., Armonk, NY, USA). A p-value < 0.05 was considered statistically significant.

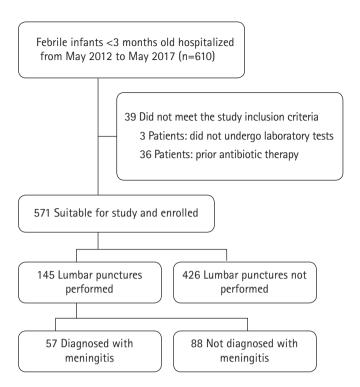
#### Results

During the study period, 610 febrile infants aged <3 months were evaluated at the emergency department or outpatient pedi-

atric department of our hospital due to fever with no clear source of infection. Of these, three patients did not undergo laboratory tests, and 36 patients were treated with antibiotic therapy prior to the visit. Thus, a total of 571 infants who met the inclusion criteria were enrolled in the study. Among the 571 patients, LP was performed in 145 patients and 57 patients were definitively diagnosed with meningitis. The meningitis group was comprised three patients with bacterial meningitis and 54 patients with aseptic or viral meningitis. Among the viral meningitis group, 50 patients were diagnosed with enteroviral meningitis, and 4 patients were diagnosed with herpes simplex virus type 2 meningitis. Moreover, 88 patients comprised the non-meningitis group (Fig. 1). Febrile illness without a source of infection was the most common final diagnosis in all studied infants, accounting for 54.3% of all diagnoses (Table 1). Urinary tract infection was the next most common diagnosis, accounting for 157 patients (27.5%). Respiratory tract infection accounted for 33 patients (5.8%). Human rhinovirus was the most common pathogen accounting for 14 patients (2.5%). Respiratory syncytial virus, parainfluenza virus, enterovirus, coronavirus, human bocavirus, and influenza virus were the pathogens detected in the respiratory tract. Gastrointestinal tract infection accounted for 14 patients (2.5%). Rotavirus was the most commonly identified pathogen and astrovirus was also detected in the stool multiplex PCR.

The demographic characteristics and laboratory findings of infants with and without meningitis were evaluated. A total of 61.4% of the non-meningitis group were male infants (n = 54), and 64.9% of the meningitis group were also male infants (n = 37). The mean age was  $40.9 \pm 23.7$  days in the non-meningitis group and  $40.6 \pm 25.3$  days in the meningitis group. The mean duration of fever at the time of visit was  $1.9 \pm 1.9$  and  $1.8 \pm 1.3$  days in the non-meningitis group and meningitis group, respectively. Moreover, no significant difference in sex, age, fever duration, and mean time required for improvement of fever was found. A statistically significant difference was found in terms of CRP level between the meningitis and non-meningitis groups (p < 0.05). The mean CRP level was  $24.32 \pm 33.66$  mg/L in the meningitis group and  $7.44 \pm 6.50$  mg/L in the non-meningitis group. Other laboratory variables showed no statistically significant differences (Table 2).

For predicting meningitis, the AUC was 0.779 for CRP (95% confidence interval [CI], 0.701–0.858), 0.455 for WBC (95% CI, 0.360–0.550), and 0.453 for ANC (95% CI, 0.359–0.547) (Fig. 2). The AUC for CRP was significantly higher than that for WBC and ANC. The ROC curve was used to select optimal cutoff values of laboratory factors for predicting whether LP is needed to detect meningitis. CRP was the only laboratory parameter found to be associated with meningitis. According to the data in



**Fig. 1.** Subject enrollment flow chart shows the number of patients in each classified group.

Table 1. Final diagnosis of febrile infants aged <3 months (n=571)

•	-
Final diagnosis	No. (%)
Undetermined fever	310 (54.3)
Urinary tract infection	157 (27.5)
Respiratory tract infection	33 (5.8)
Viral meningitis	28 (4.9)
Aseptic meningitis	26 (4.6)
Gastrointestinal tract infection	14 (2.5)
Bacterial meningitis	3 (0.5)

this study, a CRP cut-off of 10 mg/L showed both relatively high sensitivity and specificity. At that threshold, a sensitivity of 73.7%, specificity of 77.3%, and NPV of 81.9% for possible meningitis were noted in febrile infants (Table 3).

#### **Discussion**

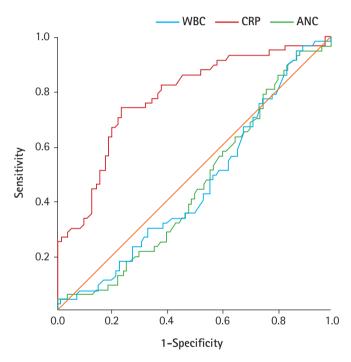
In this study, we analyzed febrile infants with an unknown source of infection who met the inclusion criteria in the study period and compared the predictive values of commonly used laboratory data to establish effective markers for predicting meningitis.

When febrile infants without a clear source of infection seek treatment in a hospital, deciding the management approach is

Table 2. Comparison of clinical and laboratory characteristics between the meningitis group and non-meningitis group

Variable	Meningitis (n = 57)	Non-meningitis (n = 88)	<i>p</i> -value
Male sex	37 (64.91)	54 (61.36)	0.699
Age (day)	40.6 ± 25.3	$40.9 \pm 23.7$	0.944
Fever duration (day)	$1.8 \pm 1.3$	1.9 ± 1.9	0.511
Fever improvement (day)	$3.0 \pm 1.4$	$2.6 \pm 2.0$	0.201
Hemoglobin (g/dL)	11.27 ± 2.43	11.14 ± 2.18	0.739
White blood cell count $\times$ 10 <sup>3</sup> (/ $\mu$ L)	$9.58 \pm 5.12$	$10.39 \pm 5.40$	0.370
Absolute neutrophil count × 10 <sup>3</sup> (/μL)	$5.04 \pm 3.74$	$5.63 \pm 3.69$	0.350
Neutrophil (%)	51.76 ± 15.73	52.58 ± 15.19	0.757
Lymphocyte (%)	$37.80 \pm 15.22$	35.39 ± 13.87	0.329
Platelet count × 10 <sup>3</sup> (/μL)	364.47 ± 126.49	344.89 ± 114.62	0.337
C-reactive protein (mg/L)	24.32 ± 33.66	$7.44 \pm 6.50$	0.001

Values are presented as number (%) or mean±standard deviation.



**Fig. 2.** Receiver operating characteristic curves for CRP (p<0.05), WBC (p=0.36), and ANC (p=0.34) for predicting meningitis shows that CRP has the most valuable predictive value indicating meningitis compared to WBC and ANC. CRP, C-reactive protein; WBC, white blood cell count; ANC, absolute neutrophil count.

quite challenging for clinicians. Particularly, febrile infants aged <3 months are frequently evaluated for the risk of invasive bacterial infections such as bacteremia or bacterial meningitis in the pediatric department [13,14]. The probability of serious bacterial infection without a definitive etiology is reported to be approximately 12% in the neonatal period and up to 9% between 1 and 3 months of age [15]. Diagnostic tests for differentiating severe bacterial infections from viral infections have long been the focus of

**Table 3.** C-reactive protein decision thresholds as an indicating marker of meningitis

Threshold (mg/L)	Sensitivity (%)	Specificity (%)	PPV (%)	NPV (%)
5	86.0	47.7	51.6	84.0
10	73.7	77.3	67.7	81.9
15	50.9	84.1	67.4	72.5
20	31.6	90.9	69.2	67.2

PPV, positive predictive value; NPV, negative predictive value.

several investigations [16]. Chest radiography has been used for diagnosing pneumonia. Urine and CSF samples have been used for the diagnosis of urinary tract infection and meningitis, respectively [17]. However, deciding the timing of LP for CSF sampling has been challenging [7]. For neonates with early-onset sepsis, the AAP recommends performing LP if the blood culture result is positive with clinical or laboratory findings strongly indicating bacterial sepsis or if the patients do not respond to antibiotic therapy [8]. Gajdos et al. [18] analyzed predictive factors of bacterial infection in febrile infants aged < 3 months and found that only an elevation in WBC with > 50% of neutrophils and an elevation in CRP levels > 20 mg/L could predict serious bacterial infection, with a negative predictive value of 93%. Pulliam et al. [1] demonstrated that CRP level was a better diagnostic tool than WBC and ANC for predicting serious bacterial infection in a group of febrile children aged between 1 and 36 months.

During infection or inflammation, the plasma pro-calcitonin (PCT) concentration is known to both increase and return to normal concentration more rapidly than the CRP level [19]. The PCT level was also observed to increase faster than the CRP level 6 hours after the onset of severe infection or inflammation [20]. Therefore, the PCT test has been considered a useful tool for early diagnosis of infection [21]. In other studies, PCT was found to be

a useful biomarker to distinguish between bacterial and viral meningitis [22]. Moreover, in Korea, several published studies have focused on the value of laboratory markers in discriminating serious bacterial infections, and Hur et al. [23] studied the diagnostic value of PCT and CRP simultaneously using blood samples from 1,270 patients with blood culture-positive sepsis. According to their report, the diagnostic utility of the PCT was better than that of CRP. However, in the case of diagnosing neonatal bacterial infection, the PCT test was found to be more expensive, with similar or better sensitivity than CRP and acceptable specificity [24]. Kim et al. [25] also reported that in febrile infants aged  $\leq 6$ months, there is no diagnostic value of measuring serum PCT concentration for determining bacterial infection. Since a PCT assay is more expensive than CRP level analysis and is not readily available in all facilities, only a few infants are analyzed for changes in the PCT level. In contrast, CRP can be determined in less than an hour using a small amount of blood and the CRP level test is not cost intensive. Hence, we determined a cut-off level for CRP for better diagnostic accuracy and ease of access to all patients.

Sturgeon et al. [7] suggested that it does not appear prudent to assign CRP cut-off values for consideration in LP for neonates because of its low sensitivity and specificity. They emphasized the importance of a multi-faceted decision-making process based on clinical assessment, microbiology results, as well as any blood test findings such as CRP level [7].

In this study, sex and age at diagnosis in the meningitis group did not significantly differ from those in the non-meningitis group. The main finding of this retrospective study was that CRP is a relatively valuable laboratory factor in the assessment of meningitis in febrile infants aged < 3 months. As shown in Fig. 2, various cut-off levels of CRP had better sensitivity, specificity, PPV, and NPV than those of WBC or ANC. Our data also showed that different cut-off values of CRP levels had different diagnostic values (Table 3). We found that with assuming a cut-off CRP level of 10 mg/L, the sensitivity and specificity for predicting meningitis were both > 70%, with a relatively high NPV > 80%.

This study has some limitations that need to be considered while interpreting the results. First, this study was conducted using medical records from a single hospital; thus, our study population does not represent the whole population of infants in Korea. Second, we collected urine samples using urine bags rather than catheterization or bladder puncture, which could have increased the likelihood of contamination. Finally, a comparison between the bacterial meningitis group and the aseptic meningitis group could not be performed because there were only 3 cases of bacterial meningitis in this study cohort. Even with these limitations, this comparative analysis of laboratory markers for predicting

meningitis in infants is significant in terms of its contribution to the literature.

In summary, this study showed that in the evaluation of young, febrile infants in the emergency department, CRP was a stronger independent predictor for meningitis than WBC or ANC. We suggest the use of CRP levels as a part of the evaluation for every febrile infant aged < 3 months. CRP levels > 10 mg/L suggest the presence of meningitis in febrile infants with greater accuracy. However, clinicians should keep in mind that a single laboratory marker indicates only the probability but never the certainty of the presence or absence of meningitis.

#### Acknowledgments

#### **Conflicts of interest**

No potential conflict of interest relevant to this article was reported.

#### **ORCID**

Tae Gyoung Lee, https://orcid.org/0000-0002-5080-2840 Seung Taek Yu, https://orcid.org/0000-0001-9744-5548 Cheol Hwan So, https://orcid.org/0000-0003-1759-0003

- 1. Pulliam PN, Attia MW, Cronan KM. C-reactive protein in febrile children 1 to 36 months of age with clinically undetectable serious bacterial infection. Paediatrics 2001;108:1275–9.
- Olaciregui I, Hernandez U, Munoz JA, Emparanza JI, Landa JJ. Markers that predict serious bacterial infection in infants under 3 months of age presenting with fever of unknown origin. Arch Dis Child 2009;94:501–5.
- 3. Van den Bruel A, Thompson MJ, Haj-Hassan T, Stevens R, Moll H. Diagnostic value of laboratory tests in identifying serious infections in febrile children: systemic review. BMJ 2011; 342:d3082.
- 4. Bilavsky E, Yarden-Bilavsky H, Ashkenazi S, Amir J. C-reactive protein as a marker of serious bacterial infections in hospitalized febrile infants. Acta Paediatr 2009;98:1776–80.
- Baraff LJ. Outpatient management of fever in selected infants. N Engl J Med 1994;330:938–9.
- Dagan R, Powell KR, Hall CB, Menegus MA. Identification of infants unlikely to have serious bacterial infection although hospitalized for suspected sepsis. J Pediatr 1985;107:855–60.
- 7. Sturgeon JP, Zanetti B, Lindo D. C-reactive protein (CRP) levels in neonatal meningitis in England: an analysis of national variations in CRP cut-offs for lumbar puncture. BMC Pediatrics

- 2018;18:380.
- 8. Polin RA. Management of neonates with suspected or proven early-onset bacterial sepsis. Pediatrics 2012;129:1006–15.
- 9. Jaye DL, Waites KB. Clinical applications of C-reactive protein in pediatrics. Pediatr Infect Dis J 1997;16:735–46.
- 10. Du Clos TW. Function of C-reactive protein. Ann Med 2000; 32:274–8.
- National Institute for Health and Clinical Excellence (NICE).
   Neonatal infection (early onset): antibiotics for prevention and treatment. Clinical guideline [CG149] [Internet]. Manchester:
   NICE; 2012 [cited 2019 Dec 14]. http://www.nice.org.uk/guidance/cg149/
- 12. Kelly C, Sohal A, Michael BD, Riordan A, Solomon T, Kneen R, et al. Suboptimal management of central nervous system infections in children: a multi-centre retrospective study. BMC Pediatr 2012;12:145.
- Milcent K, Faesch S, Gras-Le Guen C, Dubos F, Poulalhon C, Badier I, et al. Use of procalcitonin assays to predict serious bacterial infection in young febrile infants. JAMA Pediatr 2016; 170:62–9.
- 14. Woll C, Neuman MI, Aronson PL. Management of the febrile young infant: update for the 21st century. Pediatr Emerg Care 2017;33:748–53.
- Maniaci V, Dauber A, Weiss S, Nylen E, Becker KL, Bachur R. Procalcitonin in young febrile infants for the detection of serious bacterial infections. Pediatrics 2008;122:701–10.
- **16.** Khilnani P, Deopujari S, Carcillo J. Recent advances in sepsis and septic shock. Indian J Pediatr 2008;75:821–30.
- 17. Manzano S, Bailey B, Girodias JB, Galetto-Lacour A, Cousineau J, Delvin E. Impact of procalcitonin on the management of children aged 1 to 36 months presenting with fever without source: a randomized controlled trial. Am J Emerg Med 2010;28:647–53.

- 18. Gajdos V, Foix L'Helias L, Mollet-Boudjemline A, Perreaux F, Trioche P, Labrune P. Factors predicting serious bacterial infections in febrile infants less than three months old: multivariate analysis. Arch Pediatr 2005;12:397–403.
- 19. Schroeder S, Hochreiter M, Koehler T, Schweiger AM, Bein B, Keck FS, et al. Procalcitonin (PCT)-guided algorithm reduces length of antibiotic treatment in surgical intensive care patients with severe sepsis: results of a prospective randomized study. Langenbecks Arch Surg 2009;394:221–6.
- 20. Rey C, Los Arcos M, Concha A, Medina A, Prieto S, Martinez P, et al. Procalcitonin and C-reactive protein as markers of systemic inflammatory response syndrome severity in critically ill children. Intensive Care Med 2007;33:477–84.
- 21. Konstantinidis T, Cassimos D, Gioka T, Tsigalou C, Parasidis T, Alexandropoulou I, et al. Can procalcitonin in cerebrospinal fluid be a diagnostic tool for meningitis. J Clin Lab Anal 2015; 29:169–74.
- **22.** Prasad R, Kapoor R, Mishra OP, Srivastava R, Kant Singh U. Serum procalcitonin in septic meningitis. Indian J Pediatr 2013; 80:365–70.
- 23. Hur M, Moon HW, Yun YM, Kim KH, Kim HS, Lee KM. Comparison of diagnostic utility between procalcitonin and C-reactive protein for the patients with blood culture-positive sepsis. Korean J Lab Med 2009;29:529–35.
- 24. Kim EK, Lee BS, Lee JA, Jo HS, Park JD, Kim BI, et al. Clinical availability of serum procalcitonin level in the diagnosis of neonatal bacterial infection. J Korean Soc Neonatol 2001;8:211–21.
- 25. Kim NH, Kim JH, Lee TJ. Diagnostic value of serum procalcitonin in febrile infants under 6 months of age for the detection of bacterial infections. Korean J Pediatr Infect Dis 2009;16: 142–9.



# Does oral doxycycline treatment affect eradication of urine vancomycin-resistant *Enterococcus*? A tertiary hospital study

Yoonjung Kim, Sohyun Bae, Soyoon Hwang, Ki Tae Kwon, Hyun-Ha Chang, Su-Jeong Kim, Han-Ki Park, Jong-Myung Lee, Shin-Woo Kim

Department of Internal Medicine, School of Medicine, Kyungpook National University, Kyungpook National University Hospital, Daegu, Korea

Received: December 12, 2019 Revised: January 13, 2020 Accepted: January 20, 2020

#### Corresponding author:

Shin-Woo Kim Department of Internal Medicine, School of Medicine, Kyungpook National University, Kyungpook National University Hospital, 130 Dongdeok-ro, Jung-gu, Daegu 41944, Korea

Tel: +82-53-200-6525 Fax: +82-53-424-5542 E-mail: ksw2kms@knu.ac.kr Background: Vancomycin-resistant *Enterococcus* (VRE) has become more common in nosocomial infections, especially in urine samples. However, until now, no treatment regimen has been proven to effectively eradicate urine VRE colonization. Therefore, to evaluate the efficacy of doxycycline in eradicating urine VRE and shortening VRE isolation period, we compared VRE colony detection period between doxycycline-treated and untreated patients.

Methods: A retrospective cohort study of 83 patients with VRE colonization in urine cultures was conducted at a tertiary academic hospital from January 2011 to February 2018. Kaplan-Meier survival analysis was used to evaluate eradication rates in the treatment and non-treatment groups. Factors affecting urine VRE colonization persistence were analyzed by multiple logistic regression analysis.

Results: The overall rate of VRE eradication during the entire hospital stay was higher in the doxycycline treatment group (90.5%) than in the non-treatment group (58.1%, p=0.014). Survival analysis showed that the 5-, 10-, and 20-day cumulative eradication rates were 78.3%, 100%, and 100% in the doxycycline treatment group, and 18.5%, 45.7%, and 67.8% in the non-treatment group, respectively, thereby indicating that eradication rates were higher in the doxycycline treatment group than in the non-treatment group (p<0.001). Only doxycycline treatment was shown to affect urine VRE colonization persistence in multivariate logistic regression analysis. Conclusion: Doxycycline treatment enhanced the eradication rate of urine VRE colonization and appeared to be useful in shortening VRE isolation period.

Keywords: Affect; Doxycycline; Tetracycline; Vancomycin-resistant Enterococci

#### Introduction

Vancomycin-resistant *Enterococcus* (VRE) has emerged as an important nosocomial pathogen worldwide. VRE infections have been shown to account for approximately 30% of total enterococcal infections at hospitals [1], and nosocomial VRE infections can

significantly increase hospital costs [2]. Antibiotic guidelines and restrictions help reduce the selective pressure that allows VRE to flourish in the gastrointestinal tract, but they are still difficult to implement, especially in severely ill patients in which empiric antibiotic use is common and needs to be continued [3].

Currently, the demand for an isolation facility in South Korea is

Copyright© 2020 Yeungnam University College of Medicine

increasing owing to the emergence of VRE and carbapenem-resistant Enterobacteriaceae. This problem is not only limited to South Korea; it is also considered a significant economic burden in other countries [2,4]. Prolonged asymptomatic carriage of VRE in the gastrointestinal tract and lack of an effective decolonization regimen perpetuate the endemicity of VRE in healthcare settings [5]. When VRE is detected in any cultures, hospitals should isolate and quarantine patients until three consecutive negative VRE surveillance cultures are confirmed. However, the management of beds is a challenge at hospitals with limited quarantine space. It has been known that reduction in colonization rate may translate to reduced infection rates [6]. Several pharmacological treatments for VRE eradication in the gastrointestinal tract have been attempted, as spontaneous decolonization occurs infrequently. Among the treatments, a combined treatment with doxycycline showed 100% gastrointestinal VRE decolonization [7]. To verify whether eradication of urine VRE can reduce hospital isolation period, we investigated the efficacy of oral doxycycline treatment in eradicating urine VRE colonization.

#### Materials and methods

#### 1. Ethics statement

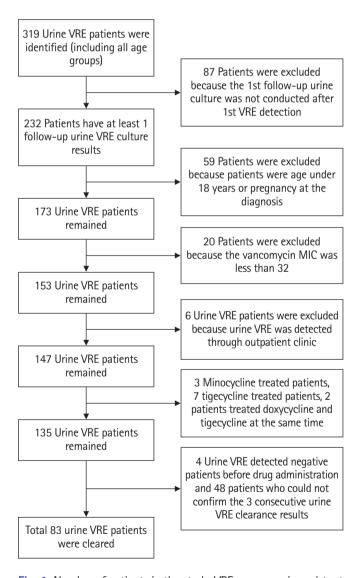
The Institutional Review Board of Kyungpook National University Hospital approved the study protocol (IRB No: 2018-08-028).

#### 2. Identification of subjects and data collection

A retrospective analysis was performed at Kyungpook National University Hospital, a 920-bed teaching hospital, between January 2011 and February 2018. All medical records were reviewed for patients who were subjected to a urine culture test. The medical records were reviewed from the date of the initial VRE isolation to the clearance of colonization or to the last urine culture test during the same hospital admission period. A total of 319 patients were found to be VRE-positive during the admission period. Among these, 87 patients were excluded because the first urine culture follow-up was not conducted. Among the remaining 232 patients, we excluded 59 patients who were under 8 years of age or pregnant at diagnosis, and another 20 patients who did not meet the VRE minimum inhibitory concentration (MIC) diagnostic criteria (MIC,  $< 32 \mu g/mL$ ). From the remaining total of 153 VRE-positive patients, we excluded six patients positive for urine VRE colonization at outpatient clinics, three patients treated with minocycline, seven patients treated with tigecycline, and two patients receiving concomitant doxycycline and tigecycline treatment. Four patients who were confirmed to be VRE-negative before drug administration and 42 patients who were not confirmed

to have VRE clearance after three consecutive cultures were also excluded.

A total of 83 patients were eligible for this study. The number of study participants is shown (Fig. 1). We evaluated VRE eradication rate following doxycycline treatment. Doxycycline (100 mg) was administered orally every 12 hours according to the current standardized treatment dosage. Doxycycline was randomly administered to patients according to the clinical physician's decision after urine VRE identification. The duration of doxycycline treatment was also determined by the physician. In the doxycycline treatment group, the mean duration of drug administration was 3 days (range, 2–5 days). The mean duration from urine culture collection to obtaining of VRE results was 5 days (range, 4–6 days). The following information was collected:



**Fig. 1.** Number of patients in the study. VRE, vancomycin-resistant *Enterococcus*; MIC, minimum inhibitory concentration.

age at admission; sex; Enterococcus species; MIC; department by which urine VRE was detected; infection location; presence of indwelling urinary catheter, double J catheter, and percutaneous drainage (PCD) or percutaneous nephrostomy (PCN) catheter; nearby urinary symptoms when urine VRE was detected; presence of pyuria; death during admission period; presence of bacteremia before urine VRE detection; VRE bacteremia after urine VRE detection; VRE recurrence event; underlying diseases, including hemato-oncologic malignancy, diabetes mellitus (DM), chronic kidney disease with hemodialysis or peritoneal dialysis, cerebrovascular accident (CVA), and degenerative brain diseases (dementia or Parkinson's disease) (Table 1); and information of antibiotics from the initial collection date of VRE-containing urine culture to the confirmation date of urine VRE eradication or the last date of continued urine VRE confirmation (Table 2). Antibiotics administered at least over 2 days were included in the antibiotic use category.

#### 3. Definitions

Sampling was conducted at least once a week, with a median interval of 4 days (range, 3–5 days). The date of urine sample collection was used as the first urine VRE detection date. If the urine culture was positive for vancomycin-sensitive *Enterococcus* or any bacteria or fungi other than VRE, it was considered negative. If VRE was detected after the culture was verified to be VRE-negative at least one time during the same admission period, it was indicative of VRE recurrence. The presence of  $\geq$  10 white blood cells per high-power field was indicative of pyuria. The urogenital abnormality group included patients who showed the presence of PCD, PCN, or double J catheter owing to obstructive hydronephrosis.

#### 4. Statistical analysis

All data are presented as median and range. Comparisons between groups were performed using the Kaplan-Meier survival analysis and chi-square test. Factors affecting urine VRE colonization persistence were determined using univariate and multivariate logistic regression analyses. Although the *p*-value (<0.2) was not significant in univariate analysis, clinical factors that could affect VRE persistence were considered simultaneously. In the final regression model, potential confounding variables were simultaneously entered. For all analyses, a *p*-value less than 0.05 was considered statistically significant. Statistical analyses were performed using R statistics version 3.1 (The R Foundation; https://www.r-project.org).

#### Results

#### 1. Demographic and clinical characteristics of urine VREpositive patients

Among 83 patients with a median age of 72 years (interquartile range, 60–79 years), 22 were males (26.5%) and 61 were females (73.5%). Twenty-one patients (25.3%) were included in the doxycycline treatment group. During the same admission period, urine VRE eradication was observed in 55 patients (66.3%), whereas VRE colonization continued in 28 patients (33.7%). The mean duration of urine VRE eradication was 8 days (range, 6–10.5 days). However, after doxycycline treatment, VRE colonization was lower in the treatment group than in the non-treatment group. Among the 55 urine VRE-eradicated patients, 19 patients (90.5%) were in the treatment group and 36 patients (58.1%) in the non-treatment group. Eradication rate was significantly higher in the doxycycline treatment group than in the non-treatment group (p = 0.014).

E. faecium was identified in 81 cases (97.6%) and E. faecalis was identified in two cases (2.4%). The predominantly identified Enterococcus species was E. faecium. Tetracycline-resistant Enterococcus species were found in 15 patients (18.1% of the total enrolled patients): one patient in the doxycycline treatment group (4.8%) and 14 patients in the non-treatment group (22.6%, p = 0.132). The identified Enterococcus species were all susceptible to tigecycline.

Among the total 83 patients, urine VRE was identified in 96.4% and 3.6% of the patients in the general ward and intensive care unit (ICU), respectively (p = 0.726). Pyuria during hospitalization was observed in eight patients (38.1%) in the doxycycline treatment group and nine patients (14.5%) in the non-treatment group. Statistical significance was confirmed (p = 0.045).

There were no significant differences between the treatment and non-treatment groups in terms of age, sex, species, infected locations (ward or ICU), underlying diseases, death during hospitalization, VRE recurrence during same admission period, status of hemodialysis, presence or absence dysuria (p = 0.565), presence or absence of Foley catheter (p = 1.000), and presence or absence of PCD or PCN (p = 0.207). During the time from the first urine VRE culture collection to the confirmation of urine VRE-negative result, the use rate of antibiotics other than tetracycline and linezolid was 82.3% (51/62) in the non-treatment group and 71.4% (15/21) in the doxycycline treatment group; however, there was no significant difference between the two groups. In addition, no significant difference in the use of carbapenems, glycopeptides, piperacillin/tazobactam, third-generation cephalosporins, fluoroquinolones, metronidazole, and ampicillin/

Table 1. Demographic and clinical characteristics of urine VRE-positive patients

Characteristic	Doxycycline treatment $(n = 21)$	Non-treatment (n = 62)	Total (n = 83)	<i>p</i> -value
Age (yr)	73 (63–79)	71.5 (59–79)	72 (60–79)	0.814
Sex				0.970
Male	5 (23.8)	17 (27.4)	22 (26.5)	
Female	16 (76.2)	45 (72.6)	61 (73.5)	
Eradication				0.014
No	2 (9.5)	26 (41.9)	28 (33.7)	
Yes	19 (90.5)	36 (58.1)	55 (66.3)	
VRE recurrence				0.452
No	17 (81.0)	56 (90.3)	73 (88.0)	
Yes	4 (19.0)	6 (9.7)	10 (12.0)	
Total admission days	52.0 (25.0–79.0)	41.5 (24.0–62.0)	43.0 (24.5–68.0)	0.274
Admission days after VRE detection	31.0 (19.0–44.0)	23.5 (12.0–42.0)	26.0 (14.0–42.0)	0.175
Culture follow-up days after VRE detection	4 (3–5)	4 (3–5)	4 (3–5)	0.548
Species	. (5 -)	. (5 - 5)	. (5 5)	0.992
Enterococcus faecalis	0	2 (3.2)	2 (2.4)	0.002
Enterococcus faecium	21 (100)	60 (96.8)	81 (97.6)	
Fetracycline (MIC)	21 (100)	00 (00.0)	01 (07.0)	0.132
R (≥16)	1 (4.8)	14 (22.6)	15 (18.1)	0.132
S (≤2)	20 (95.2)	48 (77.4)	68 (81.9)	
igecycline (MIC)	20 (33.2)	40 (77.4)	00 (01.3)	NA
R (≥4)	0	0	0	IVA
	21 (100)	60 (100)		
S (≤0.25)	21 (100)	60 (100)	81 (100)	1 000
ndwelling catheter at diagnosis	0 (40.0)	00 (44.0)	25 (42.2)	1.000
No	9 (42.9)	26 (41.9)	35 (42.2)	
Yes	12 (57.1)	36 (58.1)	48 (57.8)	0.007
Jrogenital abnormality <sup>a)</sup>	17 (01.0)	50 (00 5)	75 (00.4)	0.207
No	17 (81.0)	58 (93.5)	75 (90.4)	
Yes	4 (19.0)	4 (6.5)	8 (9.6)	
Pyuria		, ,	, ,	0.045
No	13 (61.9)	53 (85.5)	66 (79.5)	
Yes	8 (38.1)	9 (14.5)	17 (20.5)	
Dysuria				0.565
No	19 (90.5)	60 (96.8)	79 (95.2)	
Yes	2 (9.5)	2 (3.2)	4 (4.8)	
Hematologic malignancy				0.528
No	20 (95.2)	54 (87.1)	74 (89.2)	
Yes	1 (4.8)	8 (12.9)	9 (10.8)	
Solid tumor				1.000
No	18 (85.7)	55 (88.7)	73 (88.0)	
Yes	3 (14.3)	7 (11.3)	10 (12.0)	
Diabetes mellitus				0.252
No	11 (52.4)	43 (69.4)	54 (65.1)	
Yes	10 (47.6)	19 (30.6)	29 (34.9)	
Chronic kidney disease (HD, PD)				0.491
No	14 (66.7)	48 (77.4)	62 (74.7)	
Yes	7 (33.3)	14 (22.6)	21 (25.3)	
Cerebrovascular accident	, <i>,</i>	• • •	• -/	1.000
No	15 (71.4)	45 (72.6)	60 (72.3)	
Yes	6 (28.6)	17 (27.4)	23 (27.7)	
Degenerative brain disease	0 (20.0)	(=::1)	(,,,	1.000
No	20 (95.2)	58 (93.5)	78 (94.0)	1.000
Yes	1 (4.8)	4 (6.5)	5 (6.0)	

Univariate analysis following doxycycline administration. Values are presented as median (interquartile range), number (%) or mean (range). VRE, vancomycin-resistant *Enterococcus*; MIC, minimum inhibitory concentration; R, resistant; S, sensitive; HD, hemodialysis; PD, peritoneal dialysis; NA, not available.

a) Urogenital abnormality group included patients who showed the presence of percutaneous drainage, percutaneous nephrostomy, or double J catheter

owing to obstructive hydronephrosis.

Table 2. Comparison of antibiotic use between doxycycline treatment and non-treatment groups

Characteristic	Doxycycline treatment (n = 21)	Non-treatment $(n = 62)$	Total (n = 83)	<i>p</i> -value
Antibiotic use				0.453
Yes	15 (71.4)	51 (82.3)	66 (79.5)	
No	6 (28.6)	11 (17.7)	17 (20.5)	
Carbapenems				1.000
Yes	5 (23.8)	16 (25.8)	21 (25.3)	
No	16 (76.2)	46 (74.2)	62 (74.7)	
Glycopeptides				1.000
Yes	2 (9.5)	7 (11.3)	9 (10.8)	
No	19 (90.5)	55 (88.7)	74 (89.2)	
Piperacillin/tazobactam				0.120
Yes	3 (14.3)	22 (35.5)	25 (30.1)	
No	18 (85.7)	40 (64.5)	58 (69.9)	
Third-generation cephalosporins				0.080
Yes	1 (4.8)	16 (25.8)	17 (20.5)	
No	20 (95.2)	46 (74.2)	66 (79.5)	
Fluoroquinolones <sup>a)</sup>				1.000
Yes	4 (19.0)	12 (19.4)	16 (19.3)	
No	17 (81.0)	50 (80.6)	67 (80.7)	
Metronidazole				1.000
Yes	3 (14.3)	7 (11.3)	10 (12.0)	
No	18 (85.7)	55 (88.7)	73 (88.0)	
Ampicillin/sulbactam				0.338
Yes	3 (14.3)	3 (4.8)	6 (7.2)	
No	18 (85.7)	59 (95.2)	77 (92.8)	

Values are presented as number (%).

sulbactam, as well as urine in VRE detection department was observed between the treatment and non-treatment groups. However, urine VRE colonization was the most commonly detected at the neurosurgery department (13/83, 15.7%), followed by the nephrology (11/83, 13.3%), infectious diseases (10/83, 12%), general surgery (9/83, 10.8%), and hemato-oncology (8/83, 9.6%) departments (Supplementary Table 1).

## 2. Effectiveness of doxycycline treatment in urine VRE-positive patients

The cumulative eradication rates at 5, 10, and 20 days after VRE isolation were determined by the Kaplan-Meier survival curves. Based on the survival period from drug administration day to confirmed eradication day or to the last detection day of continued VRE, the survival of VRE was found to be statistically different between the treatment and non-treatment groups (p < 0.001) (Fig. 2). Considering the natural VRE clearance effect due to time lag, we measured the average time (5 days) taken from the urine culture test to the identification of urine VRE colonization. The

survival of VRE was found to be significantly different between the two groups (p = 0.024). The results showed that the 5-, 10-, and 20-day cumulative eradication rates were 78.3%, 100%, and 100% in the doxycycline treatment group, and 18.5%, 45.7%, and 67.8% in the non-treatment group, respectively, thereby indicating that eradication rates were higher in the treatment group than in the non-treatment group.

Mortality due to VRE infection was not observed in both groups. In the non-treatment group, VRE bacteremia was found in one patient (5%), whereas in the doxycycline treatment group, VRE bacteremia was not detected in any patient. Statistical significance was not confirmed between these two groups (p = 1.000) (Table 3).

### **3. Factors associated with colonization persistence of VRE** in urine

Based on the above results, factors affecting the colonization persistence of VRE in urine, including underlying diseases depending on the VRE detection department were analyzed. Results

<sup>&</sup>lt;sup>a)</sup>Fluoroquinolones include ciprofloxacin and levofloxacin.

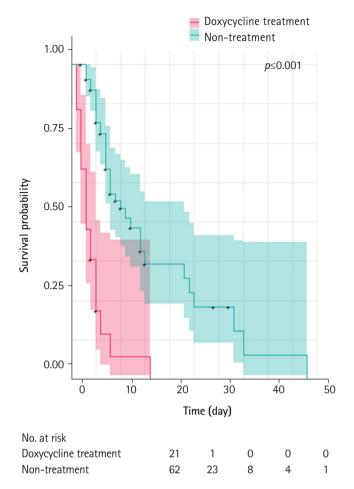


Fig. 2. Survival period from drug administration day to confirmed vancomycin-resistant *Enterococcus* eradication day (p<0.001).

showed that the presence of pyuria; indwelling catheter (Foley catheter), PCD, PCN, or double J catheter; bacteremia during admission period; DM; and CVA had no significant effect on urine VRE colonization persistence. Multivariate analysis with p-values less than 0.5 revealed that patients over the age of 80 years (odds ratio [OR], 2.42; confidence interval [CI], 0.74–8.25) and patients with underlying solid tumors (OR, 2.46; CI, 0.53–12.25) or hematologic disease (OR, 1.76; CI, 0.36–8.31) showed relatively high odds ratio, although there was no statistical significance. Only the non-treatment group showed an OR of 6.04 (CI, 1.46–41.99), and statistical significance was confirmed (p=0.028) (Table 4).

#### Discussion

This was the first study to show that oral doxycycline treatment affected urine VRE colonization. In our study, oral doxycycline treatment increased the overall eradication rate of urine VRE and enhanced the eradication process. In particular, taking into ac-

count the possibility of natural VRE decolonization, our results showed that doxycycline increased the eradication rate regardless of the other antibiotics administered during the same period.

Preservation of antibiotic effectiveness and prevention of adverse effects associated with unnecessary antibiotic use are of utmost importance in all healthcare systems [8]. However, with the emergence of multidrug-resistant pathogens, there are difficulties in the selection of suitable antibiotics.

Eradication of VRE colonization remains a great challenge for infection-control professionals. To reduce or eradicate VRE colonization, various approaches, such as pharmacological treatments, use of bacteriophages, and fecal transplantation, have been previously assessed. However, there are several limitations to the clinical commercialization of these treatment options, and additional studies are needed.

Doxycycline is a bacteriostatic agent that reversibly binds to ribosomal units and inhibits bacterial protein synthesis. Approximately 90%–100% of this drug is absorbed after oral administration [9]. Doxycycline concentration is the highest in the liver, kidney, and digestive tract, which are excretory organs. Doxycycline is eliminated unchanged via both the renal and biliary routes. Approximately 35%–60% of this drug is excreted via urine and the remainder via feces [10]. Peak levels of doxycycline are reached at approximately 2–4 hours after administration. Its plasma half-life is 18–22 hours in adults with normal renal function, and 20–30 hours in patients with severe renal impairment [11].

In the past years, decolonization of the gastrointestinal tract has been investigated as a method for the prevention of VRE infection in vulnerable patient groups. Various oral antimicrobial regimens have been evaluated for gastrointestinal VRE decolonization. Single agents and combinations of several antimicrobial agents have been used [3]. In one prospective observational cohort study, patients were treated with bacitracin solution (75,000 units daily) and doxycycline capsules (100 mg daily) for 14 days. At the end of the 14-day treatment period, all 15 treated patients (100%) showed stool VRE clearance, with only eight of the untreated patients (33%) were VRE-free. However, there was no difference in the frequency of intermittent or persistent VRE colonization at 4 months between the two groups, suggesting that oral bacitracin and doxycycline treatments were not effective in reducing the carriage of VRE beyond the 2-week interval and did not exert a longterm effect on VRE colonization [7]. In our study, 100 mg doxycycline was administered orally twice a day, which was double the dose administered in previous studies. In addition, the median time for urine VRE eradication was identified to be 8 days, which was shorter compared with that in former rectal swab VRE studies. Moreover, we could not identify the persistence or eradication

Table 3. Comparison between mortality rates and bacteremia state in urine VRE patients

Characteristic	Doxycycline treatment $(n = 21)$	Non-treatment $(n = 62)$	Total (n = 83)	<i>p</i> -value
Infection location				0.726
Ward	21 (100)	59 (95.2)	80 (96.4)	
ICU	0	3 (4.8)	3 (3.6)	
VRE detection department <sup>a)</sup>				1.000
Surgery <sup>b)</sup>	7 (33.3)	21 (33.9)	28 (33.7)	
Non-surgery <sup>c)</sup>	14 (66.7)	41 (66.1)	55 (66.3)	
In-hospital mortality				1.000
No	19 (90.5)	56 (91.9)	75 (90.4)	
Yes	2 (9.5)	6 (9.7)	8 (9.6)	
Mortality within 6 months after urine VRE detection				1.000
No	17 (81.0)	52 (83.9)	69 (83.1)	
Yes	4 (19.0)	10 (16.1)	14 (16.9)	
Mortality due to VRE infection				NA
No	21 (100)	62 (100)	83 (100)	
Yes	0	0	0	
VRE bacteremia				1.000
Yes	0	1 (1.6)	1 (1.2)	
No	21 (100)	61 (98.4)	82 (98.8)	
Bacteremia <sup>d)</sup> during admission				0.191
Yes	3 (14.3)	20 (32.3)	23 (27.7)	
No	18 (85.7)	42 (67.7)	60 (72.3)	
Bacteremia <sup>d)</sup> identified after urine VRE detection				0.361
Yes	1 (4.8)	6 (9.7)	7 (8.4)	
No	20 (95.2)	52 (83.9)	72 (86.7)	
Same time	0	4 (6.5)	4 (4.8)	

Values are presented as number (%).

Table 4. Univariate and multivariate logistic regression analyses of risk factors for urine VRE colonization persistency

	Urine VRE colonization (n = 83)				
Risk factor	Univariate OR		Multivariate OR		
	95% CI	<i>p</i> -value	95% CI	<i>p</i> -value	
Age (>80 yr)	2.42 (0.83-7.15)	0.105	2.42 (0.74-8.25)	0.1463	
Doxycycline non-treatment	6.86 (1.78-45.45)	0.014	6.04 (1.46-41.99)	0.0279	
Presence of pyuria	0.54 (0.14–1.72)	0.323	-	-	
Presence of indwelling catheter (Foley catheter)	0.77 (0.31–1.94)	0.575	-	-	
Presence of PCD, PCN, or double J catheter	0.25 (0.01-1.54)	0.211	-	-	
Bacteremia <sup>a)</sup> during admission period	0.94 (0.35-2.67)	0.901	-	-	
Solid tumor	2.17 (0.56-8.55)	0.254	2.46 (0.53-12.25)	0.2496	
Hematologic malignancy	1.67 (0.38-6.86)	0.475	1.76 (0.36-8.31)	0.4692	
Diabetes mellitus	0.83 (0.31-2.15)	0.703	-	-	
Chronic kidney disease (HD, PD)	0.77 (0.28–2.17)	0.625	-	-	
Cerebrovascular accident	0.81 (0.28-2.23)	0.694	-	-	

VRE, vancomycin-resistant Enterococcus; OR, odds ratio; CI, confidence interval; PCD, percutaneous drainage catheter; PCN, percutaneous nephrostomy catheter; HD, hemodialysis; PD, peritoneal dialysis.

VRE, vancomycin-resistant *Enterococcus*; ICU, intensive care unit.

<sup>a)</sup>Supplementary Table 1. <sup>b)</sup>Surgery departments include colorectal surgery, urology, plastic surgery, neurosurgery, general surgery, breast and thyroid surgery, and vascular surgery departments. ONnon-surgery departments include endocrinology, rheumatology, gastroenterology, cardiology, nephrology, infection, emergency, rehabilitation, and neurology departments. <sup>d</sup>Bacteremia regardless of bacterial species, including VRE.

alBacteremia regardless of bacterial species, including VRE. Results from the finally selected model were presented in multivariate OR column.

of gastrointestinal VRE. Because our study was conducted retrospectively, a rectal swab culture was not routinely performed during the study period. However, our study presented the possibility of reducing the propagation of surrounding contaminations caused by urine VRE.

It is challenging to assess the clinical significance of VRE in routine cultures or to differentiate colonization from infection, especially when VRE is detected in urine as part of a polymicrobial infection, as it is a colonizer of the genitourinary tract and often results in asymptomatic bacteriuria [12]. A previous retrospective study showed that most patients with VRE bacteriuria were classified as colonization and asymptomatic bacteriuria, and only 25% of patients with VRE bacteriuria required antibiotic therapy. Moreover, among urine VRE strains, E. faecium was identified in the urine culture of 99 patients (68.8%), whereas E. faecalis was identified in 45 patients (31.2%) [13]. In our study, E. faecium was identified in the urine culture of 81 patients (97.6%), whereas E. faecalis was identified only in two patients (2.4%). Pyuria was identified in 17 patients (20.5%). The accuracy of this result might have been affected by previous use of other antibiotics. However, most of the patients did not have urinary symptoms, indicating that the risk of VRE urinary tract infection (UTI) is low, and most of the urine VRE-positive patients in hospitals can be considered positive for VRE colonization.

When VRE is detected in urine culture samples, if there are no signs of UTI; thus, waiting for natural decolonization or discontinuation of antibiotics within a short time can be considered. However, in certain clinical situations, such as when the cause of the infection is unclear, when persistent long-term antibiotic treatment is required, or when VRE is continuously identified in severely ill patients with immunocompromised diseases in a hospital setting, the need for antibiotic administration might arise. Environmental contamination can increase the risk of VRE acquisition [14]. VRE can be transmitted from patient to patient any time; therefore, careful contact precautions must be taken. Our study showed that doxycycline treatment for urine VRE colonization was effective in shortening the urine VRE isolation period; however, considering the natural urine VRE decolonization period, decolonization treatment must be administered to carefully selected patients who need it.

A previous study showed that 4% (31/768) of all VRE-colonized patients developed VRE blood stream infection (BSI), and the independent risk factors for death included immunosuppression and VRE BSI [15]. VRE infections tend to occur in more debilitated or seriously ill hospitalized patients. Mortality rate in patients with VRE BSI can reach up to 70% [16-18]. In our study, VRE BSI was detected in 0.012% of the patients (1/83). VRE BSI

was not detected in the doxycycline treatment group, but was detected in one patient with myelodysplastic syndrome who did not receive doxycycline treatment. Furthermore, no deaths were observed in all patients regardless of doxycycline administration. However, previous studies have reported that patients with neutropenia, organ transplants, dialysis, or hematologic malignancy are at a high risk of experiencing prolonged bacteremia or death due to VRE infection [19,20]. Doxycycline treatment for urine VRE decolonization might have protective effects against severe bacteremia in certain high-risk patients, but further prospective studies are needed for verification. According to a recent study, stool VRE colonization appears to be an independent risk factor for Clostridioides difficile infection recurrence [21]. Therefore, decolonization of the gastrointestinal tract, the primary reservoir for VRE, might be useful in certain immunocompromised patients with hematologic diseases or those who have undergone organ transplantation. In our study, a rectal swab study was not performed. However, if urine VRE is detected in patients positive for rectal swab VRE, doxycycline treatment can be considered, as doxycycline is also excreted via feces.

As our results did not allow us to conclude whether doxycycline treatment prevented sepsis development, further prospective studies with a larger number of patients should be performed to validate this relationship and the pathophysiology between VRE eradication and doxycycline. We hypothesize that, by reducing urine VRE colonization, doxycycline treatment may reduce the number of deaths due to VRE bacteremia.

Cephalosporin antibiotics have become a major part of the antibiotic formulary of hospitals, and are prescribed for various infections, including UTI. Prior use of cephalosporin antibiotics is a major risk factor for enterococcal infections [22,23]. The most common infections caused by VRE are UTIs, bacteremia, and wound infections [24]. Risk factors for VRE acquisition include colonization pressure, use of antimicrobials, old age, diabetes, installation of a urinary catheter, severe illness (especially end-stage renal diseases requiring dialysis), cancer, and previous transplants [25]. In our study, once urine VRE was acquired, among the modifiable factors, Foley catheter change did not lower urine VRE persistence rate, whereas doxycycline administration decreased VRE colonization and persistency rate. Hence, for patients requiring continuous immunosuppressive therapy and continued use of restriction antibiotics, urine VRE can be considered as a therapeutic target. Current guidelines regarding asymptomatic bacteriuria or VRE-associated urinary colonization are observation without any pharmacological treatment. Specific management guidelines for urine VRE for various population groups have not been published. This study provided information that might help elucidate

the pharmacological treatments needed for certain patient groups.

However, this study had several limitations. First, this was a single-center study with a relatively small study population. Second, as this was a retrospective study, we could not perform rectal swab cultures. When urine VRE was detected, stool samples were not collected at the same time. Hence, further studies are required for simultaneous stool and urine VRE screening. Third, the follow-up urine culture time was not consistent. We followed the revised guidelines of the Korea Centers for Disease Control and Prevention on VRE management [26]. According to the guidelines, culture follow-up intervals range from 3 days to 1 week, but in our study, culture follow-up time showed an irregular tendency. If the culture follow-up interval is long, there may be a difference in VRE eradication rate due to the longer duration taken to obtain negative culture results. However, no significant difference in the average culture interval was observed between the doxycycline treatment and non-treatment groups. Additional prospective studies are needed to further validate our results.

The current VRE isolation criterion is the confirmation of three consecutive negative VRE surveillance cultures conducted at least once a week. To date, VRE decolonization studies are usually conducted at 1-week intervals. However, in a typical clinical setting where isolation rooms are limited, culture trials are often implemented at least twice a week on average after VRE confirmation. Here, we established a model that mimicked an actual clinical setting. Our study included severely ill patients who were subjected to continued antibiotic use, and our results showed that additional doxycycline treatment significantly increased urine VRE eradication rate.

In conclusion, doxycycline treatment for urine VRE colonization appeared to be useful in shortening the urine VRE isolation period, reducing the risk for further nosocomial spread of VRE, and lowering the need for prolonged isolation. Achieving a high eradication rate can shift the treatment paradigm and offer clinicians an alternative to the traditional anti-VRE agents for the management of urine VRE colonization. Moreover, identifying appropriate antibiotic therapies for urine VRE colonization might play an important role in improving antimicrobial stewardship.

#### Acknowledgments

#### **Conflicts of interest**

No potential conflict of interest relevant to this article was reported.

#### **Author contributions**

Conceptualization: YK, HHC, KTK, SWK; Data curation: YK,

SB, SH, SJK, HKP, JML; Formal analysis: YK, KTK, SWK; Methodology: YK, KTK, SWK; Project administration: YK, HHC, SJK, HKP, JML, SWK; Visualization: YK, KTK, SWK; Writing–original draft: YK; Writing–review & editing: YK, HHC, KTK, SB, SH, SJK, HKP, JML, SWK

#### **ORCID**

Yoonjung Kim, https://orcid.org/0000-0002-7454-4014
Sohyun Bae, https://orcid.org/0000-0002-0206-7108
Soyoon Hwang, https://orcid.org/0000-0003-3618-174X
Ki Tae Kwon, https://orcid.org/0000-0003-4666-0672
Hyun-Ha Chang, https://orcid.org/0000-0002-9405-2121
Su-Jeong Kim, https://orcid.org/0000-0002-2494-9216
Han-Ki Park, https://orcid.org/0000-0002-5460-9917
Jong-Myung Lee, https://orcid.org/0000-0001-7019-6051
Shin-Woo Kim, https://orcid.org/0000-0002-3755-8249

#### Supplementary materials

Supplementary Table 1. Distribution of departments in urine VRE diagnosis

- Faron ML, Ledeboer NA, Buchan BW. Resistance mechanisms, epidemiology, and approaches to screening for vancomycin-resistant enterococcus in the health care setting. J Clin Microbiol 2016;54:2436–47.
- Puchter L, Chaberny IF, Schwab F, Vonberg RP, Bange FC, Ebadi E. Economic burden of nosocomial infections caused by vancomycin-resistant enterococci. Antimicrob Resist Infect Control 2018;7:1.
- 3. Kauffman CA. Therapeutic and preventative options for the management of vancomycin-resistant enterococcal infections. J Antimicrob Chemother 2003;51(Suppl 3):iii23–30.
- 4. Lloyd-Smith P, Younger J, Lloyd-Smith E, Green H, Leung V, Romney MG. Economic analysis of vancomycin-resistant enterococci at a Canadian hospital: assessing attributable cost and length of stay. J Hosp Infect 2013;85:54–9.
- Shenoy ES, Paras ML, Noubary F, Walensky RP, Hooper DC. Natural history of colonization with methicillin-resistant Staphylococcus aureus (MRSA) and vancomycin-resistant Enterococcus (VRE): a systematic review. BMC Infect Dis 2014;14: 177.
- 6. Pereira GH, Muller PR, Zanella RC, de Jesus Castro Lima M, Torchio DS, Levin AS. Outbreak of vancomycin-resistant enterococci in a tertiary hospital: the lack of effect of measures di-

- rected mainly by surveillance cultures and differences in response between Enterococcus faecium and Enterococcus faecalis. Am J Infect Control 2010;38:406–9.
- 7. Weinstein MR, Dedier H, Brunton J, Campbell I, Conly JM. Lack of efficacy of oral bacitracin plus doxycycline for the eradication of stool colonization with vancomycin-resistant Enterococcus faecium. Clin Infect Dis 1999;29:361–6.
- **8.** Trautner BW, Grigoryan L, Petersen NJ, Hysong S, Cadena J, Patterson JE, et al. Effectiveness of an antimicrobial stewardship approach for urinary catheter-associated asymptomatic bacteriuria. JAMA Intern Med 2015;175:1120–7.
- **9.** Chopra I, Roberts M. Tetracycline antibiotics: mode of action, applications, molecular biology, and epidemiology of bacterial resistance. Microbiol Mol Biol Rev 2001;65:232–60.
- Agwuh KN, MacGowan A. Pharmacokinetics and pharmacodynamics of the tetracyclines including glycylcyclines. J Antimicrob Chemother 2006;58:256–65.
- 11. Holmes NE, Charles PG. Safety and efficacy review of doxycycline. Clin Med Ther 2009;1:471–82.
- 12. Gupta K, Bhadelia N. Management of urinary tract infections from multidrug-resistant organisms. Infect Dis Clin North Am 2014;28:49–59.
- 13. Wong AH, Wenzel RP, Edmond MB. Epidemiology of bacteriuria caused by vancomycin-resistant enterococci: a retrospective study. Am J Infect Control 2000;28:277–81.
- 14. Drees M, Snydman DR, Schmid CH, Barefoot L, Hansjosten K, Vue PM, et al. Prior environmental contamination increases the risk of acquisition of vancomycin-resistant enterococci. Clin Infect Dis 2008;46:678–85.
- Olivier CN, Blake RK, Steed LL, Salgado CD. Risk of vancomycin-resistant Enterococcus (VRE) bloodstream infection among patients colonized with VRE. Infect Control Hosp Epidemiol 2008;29:404–9.
- **16.** Edmond MB, Ober JF, Dawson JD, Weinbaum DL, Wenzel RP. Vancomycin-resistant enterococcal bacteremia: natural history

- and attributable mortality. Clin Infect Dis 1996;23:1234-9.
- Edmond MB, Ober JF, Weinbaum DL, Pfaller MA, Hwang T, Sanford MD, et al. Vancomycin-resistant Enterococcus faecium bacteremia: risk factors for infection. Clin Infect Dis 1995; 20:1126–33.
- Tornieporth NG, Roberts RB, John J, Hafner A, Riley LW. Risk factors associated with vancomycin-resistant Enterococcus faecium infection or colonization in 145 matched case patients and control patients. Clin Infect Dis 1996;23:767–72.
- 19. Montecalvo MA, Shay DK, Patel P, Tacsa L, Maloney SA, Jarvis WR, et al. Bloodstream infections with vancomycin-resistant enterococci. Arch Intern Med 1996;156:1458–62.
- 20. Weinstock DM, Conlon M, Iovino C, Aubrey T, Gudiol C, Riedel E, et al. Colonization, bloodstream infection, and mortality caused by vancomycin-resistant enterococcus early after allogeneic hematopoietic stem cell transplant. Biol Blood Marrow Transplant 2007;13:615–21.
- Choi HK, Kim KH, Lee SH, Lee SJ. Risk factors for recurrence of Clostridium difficile infection: effect of vancomycin-resistant enterococci colonization. J Korean Med Sci 2011;26:859–64.
- 22. Dancer SJ. The problem with cephalosporins. J Antimicrob Chemother 2001;48:463–78.
- Shepard BD, Gilmore MS. Antibiotic-resistant enterococci: the mechanisms and dynamics of drug introduction and resistance. Microbes Infect 2002;4:215–24.
- 24. Centers for Disease Control and Prevention. Antibiotic resistance threats in the United States, 2013. Atlanta (GA): Centers for Disease Control and Prevention; 2013.
- 25. Sohn KM, Peck KR, Joo EJ, Ha YE, Kang CI, Chung DR, et al. Duration of colonization and risk factors for prolonged carriage of vancomycin-resistant enterococci after discharge from the hospital. Int J Infect Dis 2013;17:e240–6.
- 26. Korea Centers for Disease Control and Prevention. Healthcare associated disease control management guideline. Cheongju (KR): Korea Centers for Disease Control and Prevention; 2016.



## Fatal progressive right heart failure in a pancreatic cancer patient

#### Jeong Tae Byoun, Jae Young Cho

Department of Cardiology, Wonkwang University Hospital, Iksan, Korea

Received: July 28, 2019 Revised: August 28, 2019 Accepted: September 10, 2019

#### Corresponding author:

Jae Young Cho Department of Cardiology, Wonkwang University Hospital, 895 Muwang-ro, Iksan 54538, Korea Tel: +82-63-859-2512

Fax: +82-63-852-8480 E-mail: librato46@gmail.com Pulmonary tumor thrombotic microangiopathy (PTTM) is a rare but fatal complication of cancer and causes pulmonary hypertension and acute/subacute right heart failure. PTTM is most commonly associated with gastric cancer and more rarely associated with pancreatic cancer. We report a case of progressive right heart failure associated with clinically diagnosed pancreatic cancer, suggesting PTTM.

Keywords: Pancreatic cancer; Pulmonary hypertension; Right sided heart failure; Thrombotic microangiopathy

#### Introduction

Pulmonary tumor thrombotic microangiopathy (PTTM) is a rare but fatal complication of cancer [1]. PTTM appears to be caused by unexplained pulmonary hypertension (PH) and acute/sub-acute right heart failure. PTTM is histo-pathologically diagnosed by pulmonary vascular tumor microembolic nests with activated coagulation and obliterative intimal proliferation [2]. Almost all cases of PTTM, die within a few days or weeks of the onset of dyspnea. Hence, until recently, antemortem diagnosis has been very difficult [3]. In this study, we report a case of clinically diagnosed PTTM complicated with pancreatic cancer.

#### Case

This study was approved by the Institutional Review Board of Wonkwang University Hospital (IRB No: 2020-03-041).

A 72-year-old female was referred to our hospital with unresolved dyspnea. She had a history of hypertension, diabetes, asth-

ma, and cerebral infarction. Two weeks before admission, dyspnea and cough developed. She was admitted to the local hospital for 10 days and was on antibiotics, including a third generation cephalosporin (ceftriaxone) and carbapenem (meropenem), resulting from suspected pneumonia.

At admission, she had resting dyspnea. Her body temperature was 37.1°C, heart rate 108 beats/min, blood pressure 140/80 mmHg, respiratory rate 24 breaths/min, and oxygen saturation 84% while receiving oxygen via a nasal cannula at a rate of 5 L/min. Physical examination was notable for crackles without wheezing in the lungs and pitting edema in both lower legs. Arterial blood gas analysis showed pH 7.33, PCO<sub>2</sub> 52 mmHg, PO<sub>2</sub> 56 mmHg, HCO<sub>3</sub> 20.6 mM/L, and O<sub>2</sub> saturation 84.6%. Laboratory tests revealed the following values: white blood cell count 8,840/  $\mu$ L, hemoglobin 11.2 g/ $\mu$ L, platelet count 393 K/ $\mu$ L, total bilirubin 0.17 mg/dL, aspartate aminotransferase 20 IU/L, alanine aminotransferase 14 IU/L, alkaline phosphatase 97 IU/L (range, 30–120 IU/L), gamma glutamyl transferase 46 IU/L (range, 5–39 IU/L), blood urea nitrogen 29.9 mg/dL, creatinine 0.69 mg/dL,

Copyright© 2020 Yeungnam University College of Medicine

C-reactive protein 27.57 mg/L (range, 0–5 mg/L), activated partial thrombin time 25.8 sec (range, 23–35 sec), and international normalized ratio 1.15. The level of brain natriuretic peptide and D-dimer were 1,921 pg/mL (range, 0–150 pg/mL) and 5.8  $\mu g/$ mL (range, 0–0.5  $\mu g/mL$ ), respectively; other laboratory results were unremarkable. Electrocardiogram showed sinus tachycardia at a rate of 110 beats/min, T wave inversion in V1-4, poor R-wave progression, and rightward axis deviation, known as 'right ventricle (RV) strain.'

Transthoracic echocardiography (TTE) (Fig. 1) showed RV dilatation with reduced systolic function, moderate tricuspid valve regurgitation (proximal isovelocity surface area radius, 5.1 mm; jet area, 7.5 cm<sup>2</sup>), left ventricle septal flattening during the systolic phase, inferior vena cava plethora, and moderate PH (pulmonary artery systolic pressure [PASP], 65.7 mmHg; mean pulmonary arterial pressure, 44 mmHg) without obvious atrial or ventricular septal defect. Left ventricular systolic function was preserved with an ejection fraction of 71%. Contrast-enhanced chest computed tomography (CT) with pulmonary arteriography was performed. CT showed the presence of multiple small consolidative lesions in both lung fields without evidence of pulmonary thromboembolism. A pulmonary function test showed moderate obstructive lung defect with good response to bronchodilator (forced expiratory volume in 1 sec [FEV<sub>1</sub>]/forced vital capacity [FVC] 78%, pre-FEV₁ 0.79 L, 43%→post-FEV₁ 0.99 L, 58%). A 15% decrease in the diffusing capacity of the lung for carbon monoxide was noted.

Given these findings, right-sided heart failure originating from untreated asthma and acute decompensation caused by community-acquired pneumonia was suggested as the initial diagnosis. However, despite antibiotics and proper diuretic-based heart failure therapy, her dyspnea gradually worsened. On the 12th hospital day (HD), oxygen was supplied using a high flow nasal cannula (FiO $_2$ , 0.4; flow, 40 L/min), and oxygen saturation was maintained within the range of 90%–95%.

On the 14th HD, contrast-enhanced whole-body CT and ventilation/perfusion lung scans were performed to determine the cause of clinical deterioration. Chest CT showed numerous centrilobular nodules with "tree-in-bud" sign and pleural- enhancing nodules (Fig. 2A). Abdominopelvic CT showed a 3-cm less enhancing mass with ill-defined margins located at the pancreatic head and neck region, in addition to upstream pancreatic duct dilatation (Fig. 2B). A ventilation lung scan showed no significant decreased activity while a perfusion lung scan revealed multifocal peripherally distributed small perfusion defects in the bilateral lung fields (Fig. 3). Moreover, serum carbohydrate antigen 19-9 level was elevated 77.9 U/mL (range, 0-35 U/mL); therefore, an endoscopic ultrasonographic-guided biopsy was needed for histopathological confirmation of pancreatic cancer. However, it was impossible to obtain the biopsy due to the unbearable severe dyspnea and opposition of the patient's family. <sup>18</sup>F-2-fluoro-2-deoxy-D-glucose-positron emission tomography integrated with computed tomography (FDG-PET/CT) was performed for diagnosis and staging of pancreatic cancer after discussion with the Pancreatobiliary Department (Fig. 4). This test showed an intensive hypermetabolic mass at the pancreatic head and neck portion with multiple common hepatic, portocaval, aortocaval, hepatoduodenal, para-aortic, left common iliac, mediastinum, right lower paratracheal, and right interlobar lymph node metastases in addition to numerous tiny bilateral lung and both pleural metastases.

According to these clinical and radiologic findings, PTTM sec-

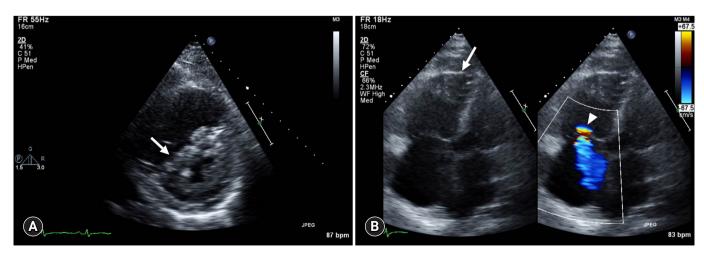


Fig. 1. Transthoracic echocardiography. (A) Parasternal short-axis view shows LV systolic septal flattening (arrow) with RV enlargement. (B) Apical 4 chamber view with color Doppler of the tricuspid valve shows RV enlargement (arrow) and moderate tricuspid regurgitation (arrowhead). LV, left ventricle; RV, right ventricle.

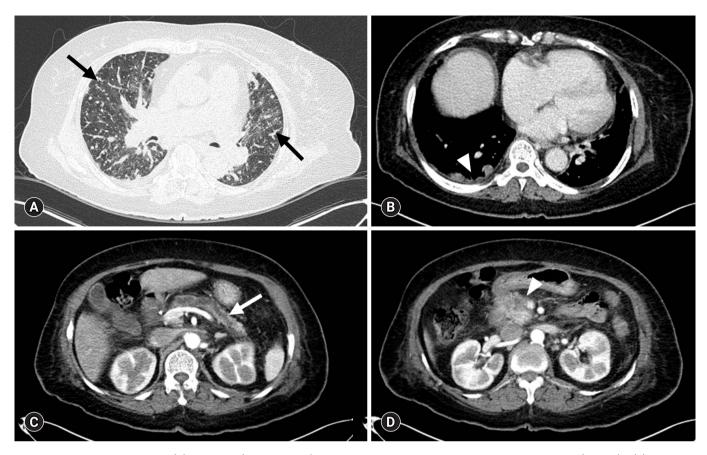


Fig. 2. Contrast-enhanced CT. (A) Chest CT (lung window) with centrilobular nodules with "tree-in-bud" sign (arrows). (B) Chest CT (mediastinal window) with pleural-enhancing nodules (arrowhead). (C) Abdominopelvic CT show upstream pancreatic duct dilatation (arrow). (D) Abdominopelvic CT with pancreatic head neoplasm (arrowhead). CT, computed tomography.

ondary to advanced pancreatic cancer was suggested as the final diagnosis. The clinical stage was TxN2M1, stage IV according to the 8th edition of the American Joint Committee on Cancer staging system [4]. Since the disease continuously progressed to multi-organ failure, including respiratory failure and acute kidney injury, only supportive care could be provided, and the patient died on the 27th day after diagnosis.

#### Discussion

PTTM, a rare complication associated with cancer, which can cause PH and right heart failure, was first described in 1990 by von Herbay et al. [1]. PTTM is histopathologically characterized by the presence of pulmonary vascular tumor microembolic nests with evidence of activation of the coagulation cascade, obstructive fibrointimal proliferation, and ultimately PH [2]. The most common primary malignancy associated with PTTM is gastric cancer, especially histologically mucinous, signet ring cells, and poorly differentiated subtypes [3]. It is known to be associated with a variety of carcinomas such as lung, breast, ovarian, and bladder can-

cers, in that order [5,6]. However, cases with pancreatic cancer are relatively rare. Including our case, there have only been four reported cases associated with pancreatic cancer [6].

Clinical manifestations of PTTM are vague and may include a dry cough, dyspnea, and hypoxemia which are common symptoms in various cardiopulmonary diseases. Clinical suspicion is crucial because these can interfere with accurate diagnosis of PTTM. Most cases of PTTM were diagnosed postmortem with ~79% of reported PTTM due to rapidly aggravating clinical course and fatal prognosis. PTTM tends to be under-diagnosed as most patients' families do not request an autopsy [7].

In laboratory tests, elevations of D-dimer, anemia, thrombocytopenia, and elevation of serum lactate dehydrogenase are the most common elevations but are non-specific [7]. In radiologic studies, centrilobular nodularity, ground glass opacity, and interlobular septal thickening have been reported in high resolution CT as in this case, but these findings may be seen in other lung diseases such as interstitial pneumonia [2]. On lung perfusion scans, perfusion defects distributed bilaterally may be observed unlike pulmonary thromboembolism which is limited by the vas-

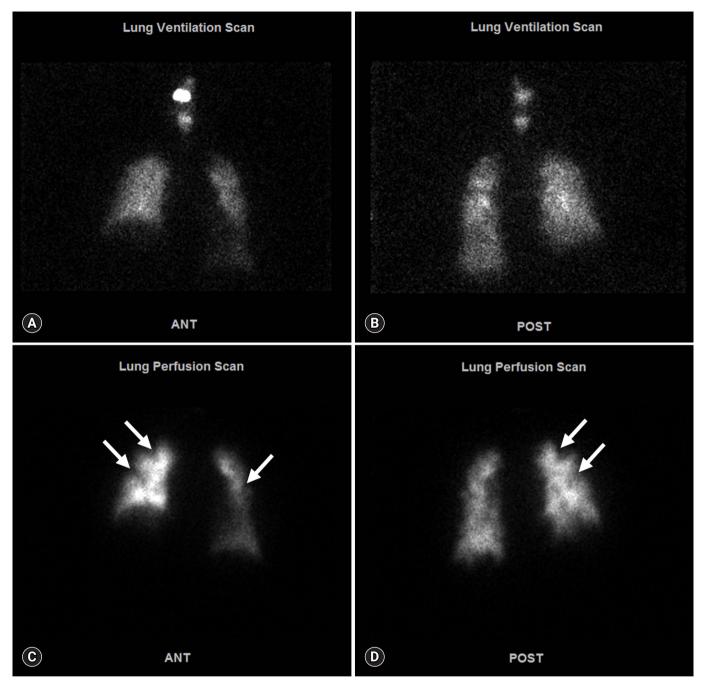
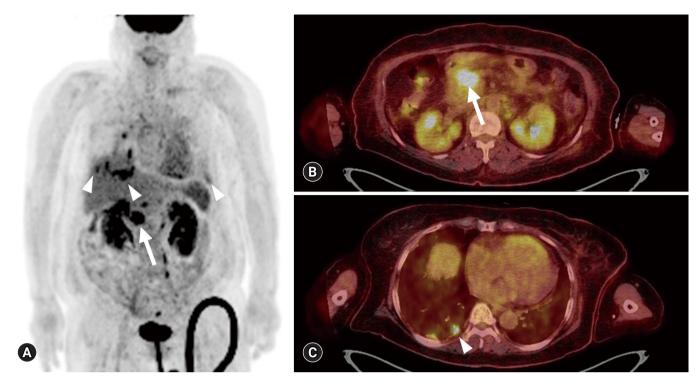


Fig. 3. Ventilation/perfusion lung scan. Anterior view (A) and posterior view (B) of ventilation lung scan present no significant decreased ventilation activity. Anterior view (C) and posterior view (D) of perfusion lung scan show multifocal peripherally distributed small perfusion defects in bilateral lung fields (arrows).

cular distribution. FDG-PET/CT can be effective for the diagnosis of primary malignancy. In PTTM, hypermetabolism of the area which can be confirmed as PTTM via biopsy was observed in FDG-PET/CT, but negative findings may be seen in small lesions or some subtypes such as the signet ring cell type [2]. TTE should be performed as soon as possible to determine the extent of PH and RV dysfunction; however, there is no evidence based

on TTE to distinguish PTTM from other causes of PH. In the present case, the patient was also initially misdiagnosed with pneumonia accompanied by cor pulmonale which delayed PTTM diagnosis since there were no clinical indications of malignancy. Although contrast-enhanced whole-body CT and ventilation/perfusion lung scans were done almost concurrently and malignancy in addition to PTTM was diagnosed in a short inter-



**Fig. 4.** <sup>18</sup>F-2-fluoro-2-deoxy-D-glucose-positron emission tomography integrated with computed tomography. Maximum intensity projection image (A) and fusion axial views (B, C) show a hypermetabolic mass at the pancreatic head and neck region (arrow) with systemic lymph nodes, bilateral lung and both pleural metastases (arrowhead).

val, this case identified the need for the thorough evaluation of PH including cancer. This is especially important if a patient with high PASP presents with progressive dyspnea. Prompt diagnosis of the systemic malignancy underlying PTTM should be done so at least it provides an opportunity for treatment with appropriate chemotherapy.

CT-guided lung biopsy, video-assisted thoracoscopic surgery, or bronchoscopy is necessary for histological confirmation of PTTM diagnosis because of the diagnostic limitations of these noninvasive tests. However, the risk of surgery or procedure is very high if accompanied by advanced PH and right heart failure. Alternatively, cytological examination of aspirated blood using a wedged pulmonary artery catheter with a sensitivity of 80%–88% and specificity of 82%–94% can be done. Additionally, if the TTE results are ambiguous, right heart catheterization may be useful for a more accurate assessment of PH [2,7].

To date, all cases reported as PTTM have died, and the average duration from symptom onset to death has been reported to be about 9.5 weeks. Furthermore, the mean duration of death after dyspnea was reported to be 16.2 days. Once the dyspnea occurs, the patient appears to experience rapid deterioration [7,8]. Various therapeutic methods including medical and oxygen therapy have been attempted, but no consensus has been established. It is

thought that chemotherapy for primary malignancy reduces fibrointimal proliferation by reducing the number of cancer cells [2]. Thrombolysis is known to have no benefit. Anticoagulation appears to improve prognosis, but the evidence for its efficacy is weak [7]. There is no evidence that endothelin receptor antagonists, such as bosentan and ambrisentan, reduce pulmonary vascular remodeling but can be used to reduce pulmonary vasoconstriction [3]. Dexamethasone has been reported to improve the natural course in a few cases in combination with anticoagulation and chemotherapy [3]. Imatinib, a tyrosine kinase inhibitor that blocks the phosphorylation of the platelet-derived growth factor receptor, may be beneficial for survival. Bevacizumab, a vascular endothelial growth factor inhibitor, may be more effective when combined with imatinib than when used alone [2]. However, since most of these treatments are based on case reports, systematic research will be needed in the future.

In a patient presenting with progressive right heart failure of unknown origin, PTTM should be considered regardless of the presence or absence of known cancer upon high index of suspicion. Although prognosis remains very poor, prompt antemortem diagnosis of PTTM will allow specific and aggressive therapies, such as chemotherapy, to be used and may improve the prognosis in limited cases.

#### Acknowledgments

#### **Conflicts of interest**

No potential conflict of interest relevant to this article was reported.

#### **Funding**

This study was supported by Wonkwang University in 2019.

#### **ORCID**

Jeong Tae Byoun, https://orcid.org/0000-0002-0407-2568 Jae Young Cho, https://orcid.org/0000-0001-7972-6223

- 1. von Herbay A, Illes A, Waldherr R, Otto HF. Pulmonary tumor thrombotic microangiopathy with pulmonary hypertension. Cancer 1990;66:587–92.
- **2.** Price LC, Seckl MJ, Dorfmüller P, Wort SJ. Tumoral pulmonary hypertension. Eur Respir Rev 2019;28:pii: 180065.

- 3. Price LC, Wells AU, Wort SJ. Pulmonary tumour thrombotic microangiopathy. Curr Opin Pulm Med 2016;22:421–8.
- 4. Chun YS, Pawlik TM, Vauthey JN. 8th edition of the AJCC cancer staging manual: pancreas and hepatobiliary cancers. Ann Surg Oncol 2018;25:845–7.
- 5. Fujishiro T, Shuto K, Shiratori T, Kono T, Akutsu Y, Uesato M, et al. A case report of pulmonary tumor thrombotic microangiopathy (PTTM) caused by esophageal squamous cell carcinoma. Esophagus 2013;10:247–51.
- Patrignani A, Purcaro A, Calcagnoli F, Mandolesi A, Bearzi I, Ciampani N. Pulmonary tumor thrombotic microangiopathy: the challenge of the antemortem diagnosis. J Cardiovasc Med (Hagerstown) 2014;15:828–33.
- 7. Godbole RH, Saggar R, Kamangar N. Pulmonary tumor thrombotic microangiopathy: a systematic review. Pulm Circ 2019;9: 2045894019851000.
- 8. Uruga H, Fujii T, Kurosaki A, Hanada S, Takaya H, Miyamoto A, et al. Pulmonary tumor thrombotic microangiopathy: a clinical analysis of 30 autopsy cases. Intern Med 2013;52:1317–23.

elSSN 2384-0293 Yeungnam Univ J Med 2020;37(2):128-132 https://doi.org/10.12701/yujm.2019.00367

## Extramedullary tanycytic ependymoma of the lumbar spinal cord

#### Dong Ja Kim<sup>1</sup>, Man-Hoon Han<sup>2</sup>, SangHan Lee<sup>1</sup>

<sup>1</sup>Department of Forensic Medicine, School of Medicine, Kyungpook National University, Daegu, Korea

Received: September 24, 2019 Revised: October 29, 2019 Accepted: November 4, 2019

#### Corresponding author:

SangHan Lee
Department of Forensic Medicine,
School of Medicine, Kyungpook
National University, 130, Dongdeokro, Jung-gu, Daegu 41944, Korea
Tel: +82-53-420-4885

Fax: +82-53-422-4712 E-mail: sanghan1@knu.ac.kr Tanycytic ependymoma is a rare variant of ependymoma that commonly affects the cervical and thoracic spinal cord. It usually arises as intramedullary lesions, and extramedullary cases are extremely rare. We report a case of a 44-year-old woman who was diagnosed with tanycytic ependymoma in her lumbar spine at level 2-3. The tumor mass developed in an intradural extramedullary location. Histopathologically, tanycytic ependymoma can be misdiagnosed as schwannoma or pilocytic astrocytoma. Immunohistochemical findings such as strong positivity for glial fibrillary acidic protein, perinuclear dot-like positive patterns for epithelial membrane antigen, and focal positivity for \$100 protein are helpful in diagnosing tanycytic ependymoma. It is important to be aware of this rare tumor to ensure appropriate patient management and accurate prognosis.

Keywords: Ependymoma; Glial fibrillary acidic protein; Lumbar vertebrae; Spinal cord neoplasms

#### Introduction

Tanycytic ependymoma is a histologically distinct rare subtype of ependymoma and is recognized as a grade II tumor in the latest World Health Organization classification in 2016 [1]. This tumor is usually found in the cervical and thoracic spine as an intramedullary mass but can also rarely present as an extramedullary mass in the lower spine [2]. Diagnosis in this location is difficult because the pathologic features resemble the findings of schwannoma and pilocytic astrocytoma. We present a case of tanycytic ependymoma in the lumbar spine and a brief literature review.

#### Case

All authors declare that written informed consent was obtained from the patient for publication of this case report and accompanying images.

A 44-year-old woman with complaints of a 4-year history of progressively worsening low back pain and sensory loss in her lower extremities visited our neurosurgery clinic. Four years prior, she had visited another hospital during which magnetic resonance imaging (MRI) revealed a 6-mm-sized intradural extramedullary mass in her lumbar spinal cord at level 2-3 (L2-3). The well-demarcated solid mass showed high signal intensity on T1-weighted imaging and low-to-intermediate signal intensity on T2-weighted imaging (Fig. 1). Surgery was recommended, but the patient refused. She had been intermittently treated with conservative therapy. However, recently, the pain in her low back, right buttock, and posterior aspect of her calf had aggravated. No muscle weakness or movement impairment was observed. Follow-up MRI showed that the mass had increased to 10 mm at L2-3. Her past medical history was unremarkable. The results of laboratory tests, including complete blood counts and liver and renal function tests, were within normal ranges. Under the preoperative diagno-

Copyright© 2020 Yeungnam University College of Medicine

<sup>&</sup>lt;sup>2</sup>Department of Pathology, Kyungpook National University Hospital, Daegu, Korea

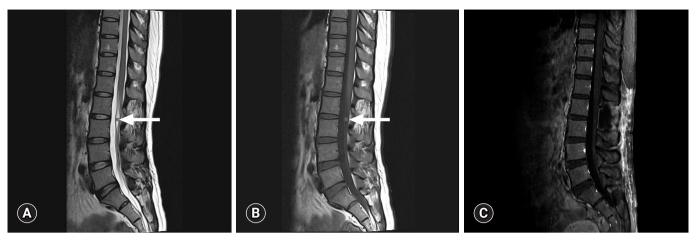
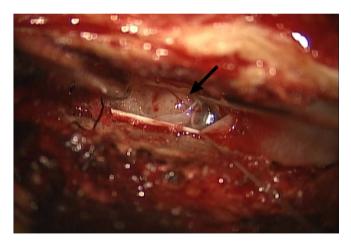


Fig. 1. Magnetic resonance imaging (MRI) findings. (A) Sagittal T2-weighted MR image of the lumbar spine showing a well-demarcated low-to-intermediate signal mass at lumbar level 2-3 spine (arrow). (B) Sagittal T1-weighted image showing high signal intensity (arrow). (C) No evidence of recurrence is visible in follow-up T1-weighted enhanced MRI.



**Fig. 2.** Intraoperative findings. A well-demarcated tumor mass is visible upon opening of the dura (arrow). The nerve root is close to the mass but can be separated from the tumor surface.

sis of schwannoma, the patient underwent L2 hemilaminectomy. The opening of the dura revealed a well-demarcated tumor mass at the L2-3 spinal cord, which was not attached to the nerve root (Fig. 2). The gross total excision of the mass was performed.

Histopathologic analysis of the tumor mass revealed a non-encapsulated cellular neoplasm comprising short, vaguely intertwining fascicles of spindle cells. The tumor cells had oval or elongated nuclei with rich fibrillary processes resembling pilocytic astrocytoma. The nuclei displayed speckled chromatin and mitotic activity was inconspicuous. Hyalinized blood vessels and occasional perivascular pseudorosettes of elongated tumor cells were also observed (Fig. 3). However, true ependymal rosettes were absent. These histopathologic features resulted in a differential diagnosis

including schwannoma, pilocytic astrocytoma, or other spindle cell neoplasm of the spinal cord. Immunohistochemical analyses, including those for glial fibrillary acidic protein (GFAP), vimentin, epithelial membrane antigen (EMA), S100 protein, CD34, and calretinin, showed tumor cells diffusely positive for GFAP and vimentin. S100 protein was focally positive, and EMA showed positive perinuclear dot-like or ring-like patterns consistent with ependymal differentiation (Fig. 4). The tumor cells were negative for CD34 and calretinin. Thus, a definitive diagnosis of tanycytic ependymoma was established. The postoperative results were uneventful, and the patient's symptoms improved.

#### Discussion

Ependymomas of the spinal cord usually arise within the cervicothoracic segment and are the most common intramedullary neoplasms of adulthood. The typical histopathologic features include a dense meshwork of fibrillary cytoplasmic processes forming perivascular pseudorosettes. Tanycytic ependymoma exhibits distinctive histologic features and was initially described by Friede and Pollak [3] in 1978. The term "tanycytic" refers to the spindle elongated cell morphology and the origin of the tumor cells from tanycytes, which are special and unique ependymal cells. Tanycytes are most commonly located in the wall of the third ventricle, in the circumventricular organs, and in the spinal cord [4]. In the spinal cord, they surround the spinal canal and radiate toward the grey matter. They are considered to participate in the communication between the cerebrospinal fluid, brain parenchyma, and vasculature [5].

Tanycytic ependymomas are commonly found in the cervical

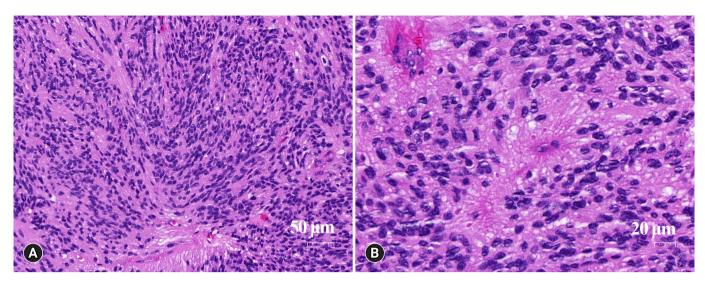
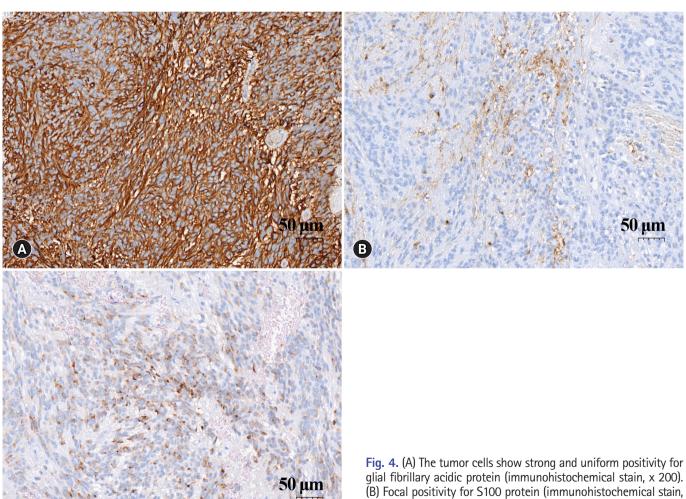


Fig. 3. Microscopic features. (A) Histopathologic analysis showing a moderately cellular neoplasm composed of short fascicles of elongated cells. The tumor cells have bland nuclei with no mitotic figures. The elongated cells are rich fibrillary processes (hemtoxylin and eosin stain, x200). (B) Hyalinized blood vessels and pseudorosettes are seen (hemtoxylin and eosin stain, x400).



glial fibrillary acidic protein (immunohistochemical stain, x 200). (B) Focal positivity for S100 protein (immunohistochemical stain, x200). (C) Epithelial membrane antigen staining showing perinuclear dot-like or ring-like patterns (immunohistochemical stain, x200).

and thoracic spinal cord [2,6]. Tumors arising in the lumbar or thoracolumbar regions are very rare [2,4,7-9]. Extramedullary tanycytic ependymomas in the filum terminale are rarely reported [7-9]. According to the 40 cases of spinal cord tanycytic ependymoma reported by Tao et al. [2], only one and four cases were lumbar lesion and in extramedullary locations, respectively. Extramedullary tumors were found in the lower thoracic or lumbar spine. Tanycytic ependymoma accounted for approximately 1% of spinal cord tumors (40 of an estimated 4,000) and one patient had tumor recurrence after surgery. Thus, patients are usually expected to show long-term survival with a low rate of recurrence. Rare cases of tanycytic ependymoma associated with multiple endocrine neoplasia type 1 or neurofibromatosis type 2 have been also reported [7,10,11]. We found only one published case of tanycytic ependymoma in Korea in a Medline search of the English literature [10]. In that case, the patient had neurofibromatosis type 2 and was diagnosed with tanycytic ependymoma of the cervical spine.

The differential diagnosis on radiologic imaging features included schwannoma, neurofibroma, or myxopapillary ependymoma. In this case, the preoperative diagnosis was schwannoma. However, schwannoma may demonstrate more heterogeneous T2 signal hyperintensity. Most of the MRI features in previously reported cases of tanycytic ependymoma were T1-isointense and T2-hyperintense; however, the findings can be variable and non-specific. Therefore, the radiologic diagnosis of tanycytic ependymoma remains challenging. While tanycytic ependymoma is typically solid, cystic components were reported in half of the cases [12].

The histologic features show fascicles of spindle fibrillary tumor cells with low to moderate cellularity that can be misinterpreted as schwannoma, pilocytic astrocytoma, meningioma, or neurofibroma [2,6,12,13]. Myxopapillary ependymoma can be differentiated from tanycytic ependymoma because there are no pathological findings of a papillary arrangement of the tumor cells or deposition of basophilic mucinous materials. Immunohistochemical staining shows strong positivity for GFAP and focal reactivity for S100 protein in tanycytic ependymoma. The perinuclear dot-like or ring-like positive patterns of EMA are a peculiar feature in ependymoma. In contrast, schwannoma is negative for GFAP and EMA and uniformly positive for S100 protein. Moreover, schwannoma tends to be more cellular and has typical Antoni A and B patterns. Pilocytic astrocytoma can resemble tanycytic ependymoma. A pilocytic astrocytoma is strongly positive for GFAP but negative for vimentin. The findings of Rosenthal fibers and eosinophilic granular bodies are helpful in the diagnosis of pilocytic astrocytoma.

A case series of ependymoma reported that the Ki-67 labeling index appeared to be an important prognostic factor [14]. While

Ki-67 labeling index values of less than 4.0 have been associated with long survival times, a predictive threshold has not been established [15]. In our case, the Ki-67 labeling index was estimated to be less than 2%. Radiotherapy can be considered for cases with incomplete resection and aggressive treatment such as chemotherapy is usually not indicated. There has been no evidence of tumor recurrence during the 1-year follow-up period after gross total resection (Fig. 1C).

The present case is a rare intradural extramedullary tanycytic ependymoma that developed in the L2-3 spine with slow tumor growth over 4 years. The histopathologic features were unique but accurate diagnosis was challenging and difficult due to unusual location and rarity. The precise diagnosis of tanycytic ependymoma is important because local recurrence is possible.

#### Acknowledgments

#### Conflicts of interest

No potential conflict of interest relevant to this article was reported.

#### **ORCID**

Dong Ja Kim, https://orcid.org/0000-0001-8462-3173 Man-Hoon Han, https://orcid.org/0000-0001-8856-553X SangHan Lee, https://orcid.org/0000-0003-0390-3494

- 1. Louis DN, Perry A, Reifenberger G, von Deimling A, Figarella-Branger D, Cavenee WK, et al. The 2016 World Health Organization Classification of Tumors of the Central Nervous System: a summary. Acta Neuropathol 2016;131:803–20.
- 2. Tao X, Hou Z, Hao S, Zhang Q, Wu Z, Zhang J, et al. The clinical features and surgical outcomes of spinal cord tanycytic ependymomas: a report of 40 cases. World Neurosurg 2017;106:60–73.
- 3. Friede RL, Pollak A. The cytogenetic basis for classifying ependymomas. J Neuropathol Exp Neurol 1978;37:103–18.
- 4. Kawano N, Yagishita S, Oka H, Utsuki S, Kobayashi I, Suzuki S, et al. Spinal tanycytic ependymomas. Acta Neuropathol 2001; 101:43–8.
- 5. Dvoracek MA, Kirby PA. Intraoperative diagnosis of tanycytic ependymoma: pitfalls and differential diagnosis. Diagn Cytopathol 2001;24:289–92.
- Krisht KM, Schmidt MH. Tanycytic ependymoma: a challenging histological diagnosis. Case Rep Neurol Med 2013;2013: 170791.

- Funayama T, Sakane M, Yoshizawa T, Takeuchi Y, Ochiai N. Tanycytic ependymoma of the filum terminale associated with multiple endocrine neoplasia type 1: first reported case. Spine J 2013;13:e49–54.
- Radhakrishnan N, Nair NS, Hingwala DR, Kapilamoorthy TR, Radhakrishnan VV. Tanycytic ependymoma of filum terminale: a case report. Clin Neurol Neurosurg 2012;114:169–71.
- 9. D'Souza P, Martin WE, Bodhireddy S, Belirgen M. Extramedulary tanycytic ependymoma in a 12-year-old boy. J Neurosci Rural Pract 2019;10:381–3.
- 10. Lim BS, Park SQ, Chang UK, Kim MS. Spinal cord tanycytic ependymoma associated with neurofibromatosis type 2. J Clin Neurosci 2010;17:922–4.
- 11. Tao XG, Hou ZG, Hao SY, Zhang JT, Liu BY. Two cases of spinal tanycytic ependymoma associated with neurofibromatosis

- type 2. Chin Med J (Engl) 2017;130:872-3.
- 12. Tomek M, Jayajothi A, Brandner S, Jaunmuktane Z, Lee CH, Davagnanam I. Imaging features of spinal tanycytic ependymoma. Neuroradiol J 2016;29:61–5.
- 13. Ortiz Ydel M, Perez Berenguer JL, Mercado Acosta J, Polo M, de Jesus-Garces O, Vega IE. Tanycytic ependymoma in a 76-year-old Puerto Rican male. Int J Clin Exp Pathol 2014;7:7789–94.
- 14. Wostrack M, Ringel F, Eicker SO, Jagersberg M, Schaller K, Kerschbaumer J, et al. Spinal ependymoma in adults: a multicenter investigation of surgical outcome and progression-free survival. J Neurosurg Spine 2018;28:654–62.
- 15. Prayson RA. Clinicopathologic study of 61 patients with ependymoma including MIB-1 immunohistochemistry. Ann Diagn Pathol 1999;3:11–8.



### Rectus abdominis muscle atrophy after thoracotomy

#### Jang Hoon Lee, Seok Soo Lee

Department of Thoracic and Cardiovascular Surgery, Yeungnam University Hospital, Yeungnam University College of Medicine, Daegu, Korea

Received: October 19, 2019 Revised: November 4, 2019 Accepted: November 15, 2019

#### Corresponding author:

Seok Soo Lee
Department of Thoracic and
Cardiovascular Surgery, Yeungnam
University Hospital, Yeungnam
University College of Medicine,
170 Hyeonchung-ro, Namgu, Daegu
42415. Korea

Tel: +82-53-620-3880 Fax: +82-53-626-8660 E-mail: andrea0710@naver.com Intercostal nerve injury is known to occur during thoracotomy; however, rectus abdominis muscle atrophy has rarely been reported. We describe a 52-year-old man who underwent primary closure of esophageal perforation and lung decortication via left thoracotomy. He was discharged 40 days postoperatively without any complications. He noticed an abdominal bulge 2 months later, and computed tomography revealed left rectus abdominis muscle atrophy. We report thoracotomy induced denervation causing rectus abdominis muscle atrophy.

Keywords: Intercostal nerves; Muscle denervation; Muscular atrophy; Rectus abdominis; Thoracotomy

#### Introduction

Muscle atrophy observed after abdominal surgery is often attributable to direct muscle injury caused by incisions and sutures, as well as denervation of and reduced blood supply to the affected muscle [1,2]. However, abdominal muscle atrophy is uncommon after thoracotomy. We report a case of rectus abdominis muscle atrophy after left thoracotomy via the 9th intercostal space (ICS).

#### Case

All authors declare that written informed consent was obtained from the patient for publication of this case report and accompanying images.

A 52-year-old man was referred to our hospital with acute mediastinitis, empyema, and thoracotomy incision site infection. The patient underwent surgical treatment for esophageal rupture at another hospital, 9 days prior to presentation. On admission, the patient was in a state of septic shock; therefore, we performed



**Fig. 1.** Photograph of the left rectus abdominis muscle atrophy. The left lateral abdominal wall bulging is present (arrow).

emergency left thoracotomy via the previous incision site in the 9th ICS, and primary repair was performed. Three chest tubes were inserted into the 9th and 10th ICS for drainage. The patient's postoperative recovery was uneventful without esophageal leakage and/or wound complications. Owing to turbidity of the drained pleural fluid, we performed saline irrigation of the pleural

Copyright© 2020 Yeungnam University College of Medicine

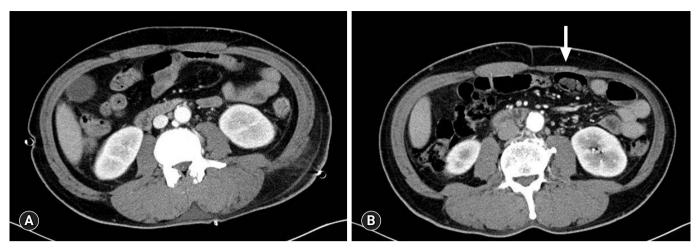


Fig. 2. (A) Preoperative abdominal computed tomography (CT) scan shows normal symmetric rectus abdominis. (B) Follow-up CT scan reveals a flattening of the left side rectus abdominis (arrow).

cavity through the chest tubes over several weeks. He was discharged in a good condition 6 weeks postoperatively. The patient returned 2 months later with a lump in the left anterior abdominal wall (Fig. 1), and we performed abdominal computed tomography (CT) at his follow-up visit. His preoperative CT revealed a normal symmetric rectus abdominis muscle (Fig. 2A); however, follow-up CT revealed flattening of the left rectus abdominis (Fig. 2B). CT confirmed left rectus abdominis muscle atrophy, which was presumably due to intercostal nerve injury during thoracotomy. The degree of intercostal nerve impairment is usually evaluated using electromyography, somatosensory evoked potentials, and assessment of tactile and pain thresholds to electrical stimulation [3]. However, in the present case, we diagnosed this condition based on the patient's clinical presentation and did not perform any other evaluation to assess nerve injury. Anatomically, lower thoracotomy is associated with a risk of rectus muscle paralysis because the rectus abdominis is innervated by the 7th through 12th intercostal nerves [2,4]. Thoracotomy may cause direct or indirect injury to intercostal nerves. Intercostal nerves that are not transected show axonal regeneration at a rate of 1–3 mm/day [5]. However, such nerve regeneration is usually asymmetrical and is associated with unaesthetic outcomes. Therefore, we expect that the nerve regeneration and recover the weakened rectus abdominis.

#### Discussion

The rectus abdominis muscle originates from the costal cartilages of the 5th, 6th, and 7th ribs and is inserted into the symphysis pubis and pubic crest. This muscle is innervated by anterior branches from the 7th through 12th intercostal nerves [2,4]. The incision made in the chest wall or rib spreading during thoracotomy

may cause intercostal nerve injury [6], and such nerve injury can cause muscle paralysis [7]. In our case, left thoracotomy was performed via the 9th ICS and spreading two times; therefore, we conclude that rectus abdominis muscle atrophy was attributable to intercostal nerve injury.

#### Acknowledgments

#### **Conflicts of interest**

No potential conflict of interest relevant to this article was reported.

#### **ORCID**

Jang Hoon Lee, https://orcid.org/0000-0002-3990-888X Seok Soo Lee, https://orcid.org/0000-0002-4402-0885

- 1. Vigneswaran Y, Poli E, Talamonti MS, Haggerty SP, Linn JG, Ujiki MB. Rectus abdominis atrophy after ventral abdominal incisions: midline versus chevron. Hernia 2017;21:619–22.
- 2. Matsumoto K, Noda T, Eguchi H, Iwagami Y, Akita H, Asaoka T, et al. Atrophy of the rectus abdominis after left-side donor hepatectomy: comparison of upper abdominal midline vs mercedes incision. Transplant Proc 2019;51:1496–501.
- Benedetti F, Vighetti S, Ricco C, Amanzio M, Bergamasco L, Casadio C, et al. Neurophysiologic assessment of nerve impairment in posterolateral and muscle-sparing thoracotomy. J Thorac Cardiovasc Surg 1998;115:841–7.
- **4.** Antonescu I, Baird R. Paralysis of the rectus abdominis muscle after video-assisted thoracoscopic surgery for recurrent sponta-

- neous pneumothorax. J Pediatr Surg 2011;46:2397-400.
- **5.** Beazley RM, Bagley DH, Ketcham AS. The effect of cryosurgery on peripheral nerves. J Surg Res 1974;16:231–4.
- **6.** Rogers ML, Henderson L, Mahajan RP, Duffy JP. Preliminary findings in the neurophysiological assessment of intercostal
- nerve injury during thoracotomy. Eur J Cardiothorac Surg 2002;21:298–301.
- 7. Yamada M, Maruta K, Shiojiri Y, Takeuchi S, Matsuo Y, Takaba T. Atrophy of the abdominal wall muscles after extraperitoneal approach to the aorta. J Vasc Surg 2003;38:346–53.

Yeungnam University Journal of Medicine

eISSN 2384-029

Yeungnam Univ J Med 2020;37(2):136-140 https://doi.org/10.12701/yujm.2020.00066

## Anti-nuclear antibody-negative immunoglobulin G4associated autoimmune hepatitis mimicking lymphoproliferative disorders

Min Kyu Kang<sup>1</sup>, Jung Gil Park<sup>1</sup>, Joon Hyuk Choi<sup>2</sup>

<sup>1</sup>Department of Internal Medicine, Yeungnam University College of Medicine, Daegu, Korea

Received: February 20, 2020 Revised: March 6, 2020 Accepted: March 9, 2020

#### Corresponding author:

Jung Gil Park
Department of Internal Medicine,
Yeungnam University College of
Medicine, 170 Hyeonchung-ro,
Nam-gu, Daegu 42415, Korea
Tel: +82-53-620-3316

Fax: +82-53-654-8386 E-mail: gsnrs@naver.com Immunoglobulin G4 (IgG4)-associated autoimmune hepatitis (AIH) is a very rare subtype of autoimmune hepatitis and characterized by marked elevated serum IgG and hepatic infiltration of IgG4-expressing plasma cells. Pathologic confirmation of hepatic IgG4-expressing plasma cells is usually required for the final diagnosis of IgG4-associated AIH. Herein, we report the case of a 47-year-old female diagnosed with autoantibody-negative IgG4-associated AIH mimicking lymphoproliferative disorders.

Keywords: Autoimmune hepatitis; Immunoglobulin G; Immunoglobulin G4-related disease; Plasma cells; Prednisolone

#### Introduction

Autoimmune hepatitis (AIH) is an autoimmune liver disease characterized by hypergammaglobulinemia, the presence of serum autoimmune antibodies, and interface hepatitis. AIH is treated with immunosuppressants, including glucocorticoids, with or without azathioprine [1-3]. The diagnosis of AIH is usually made according to a scoring system, such as the Revised International Autoimmune Hepatitis Group (IAIHG) scoring system, due to heterogeneous clinical manifestations [4]. Recently, a new disease entity called Immunoglobulin G4 (IgG4)-associated AIH, which is different from classic AIH, has been described [5]. IgG4-associated AIH, as a subtype of AIH, is a rare disease characterized by the hepatic accumulation of IgG4-expressing plasma cells with markedly elevated serum IgG4 levels [6].

Differential diagnosis involves observation of markedly elevated serum IgG levels, presence of rouleaux formation in the peripheral

smear, and low albumin/globulin ratios expressed in mono or polyclonal gammopathy in order to differentiate the condition from lymphoproliferative disorders such as multiple myeloma [7]. We report on a 47-year-old female with autoantibody-negative IgG4-related AIH that mimicked a lymphoproliferative disorder.

#### Case

All authors declare that written informed consent was obtained from the patient for publication of this case report and accompanying images. This study was approved by the Institutional Review Board of the Yeungnam University Hospital (IRB No: 2020-03-026).

A 47-year-old female visited our clinic for evaluation of abnormal liver function tests on a health-check examination. She had a previous history of a contrast allergy. She did not have any significant symptoms. There were no abnormal findings on physical ex-

Copyright© 2020 Yeungnam University College of Medicine

<sup>&</sup>lt;sup>2</sup>Department of Pathology, Yeungnam University College of Medicine, Daegu, Korea

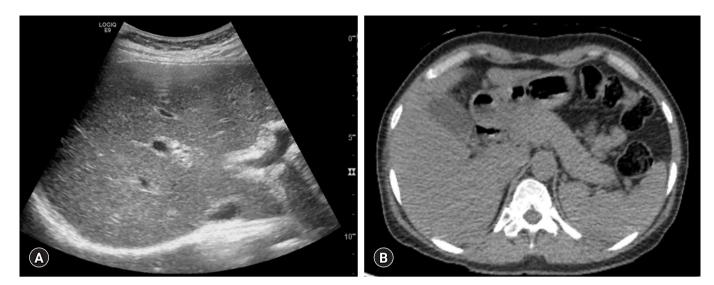


Fig. 1. Abdominal sonography and computed tomography showing (A) increased echogenicity of the liver parenchyma with heterogeneous echotexture and (B) normal pancreas without significant evidence of autoimmune pancreatitis.

amination. Abdominal ultrasound and non-contrast computed tomography revealed coarsened hepatic echotexture, without any biliary duct abnormalities, and no evidence of pancreatitis such as peripancreatic swelling or a sausage-like lesion (Fig. 1). Blood chemistry revealed the following: white blood cells,  $3,650/\mu L$ ; hemoglobin, 12 g/dL; platelets,  $294\times10^3/\mu L$ ; serum total protein, 11.03 g/dL; serum albumin, 3.63 g/dL; total bilirubin, 1.02 mg/dL; serum aspartate aminotransferase (AST), 610 IU/L; alanine aminotransferase (ALT), 221 IU/L; alkaline phosphatase (ALP), 102 IU/L; gamma-glutamyl transferase (GGT), 176 IU/L; amylase, 65 IU/L; lipase, 54 IU/L; and prothrombin time-international normalized ratio, 1.12.

Additional serologic tests, including serum hepatitis B surface antigen, hepatitis C antibody, anti-nuclear antibody (ANA), anti-smooth muscle antibody (SMA), anti-mitochondria antibody, anti-neutrophil cytoplasmic antibody, anti-liver kidney microsomal type 1 antibody, and other viral tests—including Epstein-Barr virus and cytomegalovirus—were all negative. However, she exhibited a markedly elevated serum IgG level at 6,614 mg/dL (range, 700–1,600 mg/dL). Peripheral blood smear revealed the rouleaux formation of the red blood cells (RBC).

The patient was referred to the Department of Hematology to evaluate the possibility of a lymphoproliferative disorder, such as multiple myeloma (MM). Serum and urine protein electrophoresis, free light chain ratio, skeletal survey, and bone marrow aspiration were performed. All were normal with the exception of an elevated serum IgG4 subclass level, which was  $221.3 \ mg/dL$  (range,  $6-120 \ mg/dL$ ).

A percutaneous ultrasound-guided liver biopsy was performed.

The pathologic results revealed moderate spotty necrosis and interface hepatitis with highly elevated IgG4-positive plasma cells (10–15/high power field [HPF]), consistent with AIH (Fig. 2). The pretreatment revised IAIHG scoring was 17, consistent with a definite diagnosis of AIH. Detailed IAIHG scoring was as follows: histological feature, 4; no drug and alcohol history, 3; negative findings of viral markers, 3; hypergammaglobulinemia, 3; ALP/AST ratio < 1.5, 2; and female, 2.

Initially, she was treated with 60 mg prednisolone daily, which was tapered by 10 mg prednisolone weekly for 3 weeks. After 3 weeks, her laboratory test results were much improved as follows: AST, 25 IU/L; ALT, 23 IU/L; GGT, 67 IU/L; and IgG, 2,067 mg/dL. Subsequently, 50 mg azathioprine was added for maintenance treatment, and 30 mg prednisolone was tapered by 5 mg weekly for 4 weeks. After 4 weeks, her laboratory test results were slightly worsened as follows: AST, 57 IU/L; ALT, 65 IU/L; GGT, 103 IU/L; and IgG, 1,367 mg/dL. Considering the risk of possible worsening after early prednisone withdrawal, we increased the dose of prednisolone up to 20 mg and then slowly tapered every 2 weeks. After 1 month, all laboratory test results normalized (Fig. 3).

#### **Discussion**

In our case, the initial presumptive diagnosis was monoclonal or polyclonal gammopathy, such as MM or other lymphoproliferative diseases, based on the initial serological results including those for inverted albumin/globulin ratio (A/G) ratio (0.33), elevated serum IgG, rouleaux formation of RBC, and negative serologic autoimmune markers. However, based on the results of the

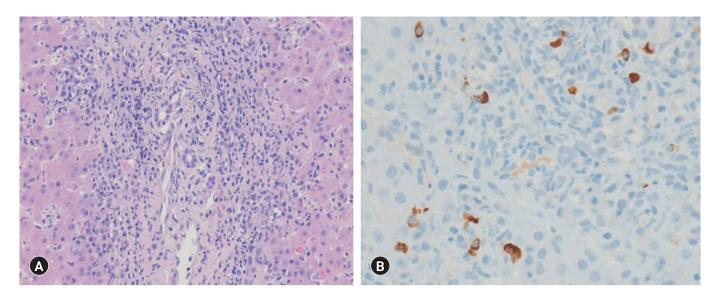
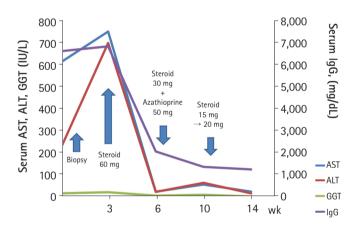


Fig. 2. Histologic findings of liver. (A) Moderate interface hepatitis with lymphocytes and plasma cells infiltration in the portal tract is present (hematoxylin-eosin stain, x200). (B) Immunoglobulin G4-positive plasma cells are seen (immunohistochemical stain, x400).



**Fig. 3.** The clinical course of the patient. AST, aspartate aminotransferase; ALT, alanine aminotransferase; GGT, gamma-glutamyl transferase; lgG, immunoglobulin G.

liver biopsy and elevated serum IgG4 levels, the final diagnosis was IgG4-associated AIH which was treated with prednisolone and azathioprine.

Previous studies of IgG4-associated AIH are summarized in Table 1 [6,8-11]. Recently, a new disease entity called IgG4-associated AIH, which is different from classic AIH, has emerged [5]. Umemura et al. [5,6] had proposed that IgG4-AIH, as a subtype of AIH, is a rare disease characterized by the hepatic accumulation of IgG4-expressing plasma cells ( $\geq$  10 IgG4 plasma cells per HPF) with markedly elevated serum IgG levels ( $\geq$  135 mg/dL). Of the 60 patients with AIH in Japan, only two (3%) were diag-

nosed with IgG4-associated AIH [6]. The median values of IgG and IgG4 levels were 4,015 mg/dL and 560 mg/dL, respectively. These levels were higher than those of classic AIH (IgG, 2,940 mg/dL and IgG4, 22 mg/dL, respectively) [6]. Also, two patients were diagnosed with IgG4-associated AIH, with positive ANA and SMA (1 of 2 patients), which was defined as a subtype of AIH [6]. In a Western study, based on Umemura's histologic criterion, the prevalence of IgG4-associated AIH was 25% (7 patients). The presence of the autoimmune antibodies was not significantly different from IgG4-associated AIH and classic AIH [8].

In our case, serum IgG and IgG4 levels were 6,614 mg/dL and 221.3 mg/dL, respectively. These markedly elevated IgG levels were four times greater than the normal upper level, which satisfied Umemura's serologic criterion. In the liver, IgG4-bearing plasma cells of 10-15 HPF also met Umemura's histologic criterion for diagnosis of IgG4-associated AIH. Owing to the initially all-negative autoimmune antibody serology, marked elevated hypergammaglobulinemia, and inverted A/G ratio in our case, we preferentially considered a lymphoproliferative disorder such as MM. Though most IgG4-associated AIH had positive ANA or SMA [6,8], the frequency of autoantibody-negative AIH in patients with acute and acute-severe clinical features reached 7% in one study [12]. Therefore, though patients show negative ANA, hypergammaglobulinemia, and elevated liver enzymes, additional serum IgG4 tests would be needed for differential diagnosis of IgG4-associated AIH. Then, when IgG4 is elevated, a subsequent liver biopsy is required for the definite diagnosis of IgG4-associ-

**Table 1.** Autoantibody-negative immunoglobulin G4-associated autoimmune hepatitis

Study	Country	IgG4-AIH/autoantibody negative IgG4-AIH (no.)	Diagnostic criteria for IgG4-AIH (IgG4-positive plasma cells/HPF)	lgG/lgG4 levels (median, mg/dL)	IAIHG score (median)	Combination therapy (PD+azathioprine)
Uemmura et al. [6]	Japan	2/0	> 10	4,015/560	17	Yes
Chung et al. [10]	Japan	9/0	>5	NA	NA	Only PD
Amarapurkar et al. [9]	India	10/NA	>5	1,600/300 (3 patients)	NA	Yes
Canivet et al. [8]	France	7/NA	> 10	2,500/NA	NA	Yes
Aydemir et al. [11]	Turkey	6/NA	> 10	2,636/NA	17.8	Yes
This case	Korea	1/1	> 10	6,614/560	17	Yes

IgG4-AIH, immunoglobulin G4-associated autoimmune hepatitis; HPF, high power field (x400); IAIHG, International Autoimmune Hepatitis Group; PD, prednisolone; NA, not applicable.

ated AIH, as with our case.

There can be some conflicts regarding IgG4-related disease (IgG4-RD) and IgG4-associated AIH, as noted by several studies [6,8-10]. The clinical features of IgG4-RD, which are similar to elevated IgG and IgG4 levels, include multiple organ involvement with storiform fibrosis. IgG4-RD preferentially affects the pancreas, bile duct, kidneys, and salivary glands, but rarely involves the liver [13,14]. However, it is still uncertain whether IgG4-associated AIH is a subtype of AIH or hepatic involvement of IgG4-RD. In our opinion, when evidence of multiple organ involvement and pathologic storiform fibrosis are not seen, the possibility of IgG4-associated AIH is higher than IgG4-RD.

Complete normalization of ALT and IgG levels is the clinical target for the treatment of AIH [15]. The treatment of IgG4-AIH is not much different from that of AIH, and involves glucocorticoids with or without azathioprine [16]. The efficacy of these treatments could be predicted by the accumulation of plasma cells in the liver [16]. However, some studies reported that the time to return to ALT normalization after administration of glucocorticoids is shorter in patients with IgG4-associated AIH than in those with classic AIH (3.7 months vs. 6.7 months, respectively) [10,11]. In our study, mild flare-up while switching from a glucocorticoid to combination therapy was observed, which may have resulted from rapid tapering of the glucocorticoid dose by 5 mg weekly. The early withdrawal of immunosuppressants could be a risk factor for flares of AIH, which require increased doses of glucocorticoids [15]. Therefore, monitoring intervals should be adjusted according to the individual clinical response, which could be extended to 1-3 months with combination treatment [15].

In conclusion, IgG4-associated AIH should be contemplated in patients with marked hypergammaglobulinemia and abnormal liver function test results, even when the autoimmune test is negative. Liver biopsy should be required for the diagnosis of IgG4-associated AIH in these patients with elevated serum IgG4.

#### **Acknowledgements**

#### **Conflicts of interest**

Joon Hyuk Choi serves as an editor-in-chief of the Yeungnam University Journal of Medicine, but has no role in the decision to publish this article. Except for that, no potential conflict of interest relevant to this article was reported.

#### **Author contributions**

Conceptualization: MKK, JGP, JHC; Data curation: MKK; Methodology: MKK, JGP; Investigation: MKK, JHC; Resources: JHC; Project administration: JHC; Visualization: JHC; Writing-original draft: MKK; Writing-review & editing: JGP.

#### **ORCID**

Min Kyu Kang, https://orcid.org/0000-0002-1435-3312 Jung Gil Park, https://orcid.org/0000-0001-5472-4731 Joon Hyuk Choi, https://orcid.org/0000-0002-8638-0360

- 1. Krawitt EL. Autoimmune hepatitis. N Engl J Med 2006; 354:54–66.
- 2. Manns MP, Vogel A. Autoimmune hepatitis, from mechanisms to therapy. Hepatology 2006;43(2 Suppl 1):S132–44.
- 3. Vergani D, Longhi MS, Bogdanos DP, Ma Y, Mieli-Vergani G. Autoimmune hepatitis. Semin Immunopathol 2009;31:421–35
- Alvarez F, Berg PA, Bianchi FB, Bianchi L, Burroughs AK, Cancado EL, et al. International Autoimmune Hepatitis Group Report: review of criteria for diagnosis of autoimmune hepatitis. J Hepatol 1999;31:929–38.
- Umemura T, Zen Y, Hamano H, Ichijo T, Kawa S, Nakanuma Y, et al. IgG4 associated autoimmune hepatitis: a differential diagnosis for classical autoimmune hepatitis. Gut 2007;56:1471–2.

- Umemura T, Zen Y, Hamano H, Joshita S, Ichijo T, Yoshizawa K, et al. Clinical significance of immunoglobulin G4-associated autoimmune hepatitis. J Gastroenterol 2011;46(Suppl 1):48– 55.
- Rajkumar SV. Multiple myeloma: 2018 update on diagnosis, risk-stratification, and management. Am J Hematol 2018; 93:981–1114.
- 8. Canivet CM, Anty R, Patouraux S, Saint-Paul MC, Lebeaupin C, Gual P, et al. Immunoglobulin G4-associated autoimmune hepatitis may be found in Western countries. Dig Liver Dis 2016;48:302–8.
- 9. Amarapurkar AD, Amarapurkar DN. Immunoglobulin IgG4 and autoimmune hepatitis. Trop Gastroenterol 2015;36:112–7.
- Chung H, Watanabe T, Kudo M, Maenishi O, Wakatsuki Y, Chiba T. Identification and characterization of IgG4-associated autoimmune hepatitis. Liver Int 2010;30:222–31.
- 11. Aydemir Y, Akcoren Z, Demir H, Saltik Temizel IN, Ozen H,

- Yuce A. Clinical and histopathological features of immunoglobulin G4-associated autoimmune hepatitis in children. J Gastroenterol Hepatol 2019;34:742–6.
- **12.** Czaja AJ. Autoantibody-negative autoimmune hepatitis. Dig Dis Sci 2012;57:610–24.
- Stone JH, Zen Y, Deshpande V. IgG4-related disease. N Engl J Med 2012;366:539–51.
- 14. Watanabe T, Minaga K, Kamata K, Kudo M, Strober W. Mechanistic insights into autoimmune pancreatitis and IgG4-related disease. Trends Immunol 2018;39:874–89.
- European Association for the Study of the Liver. EASL Clinical Practice Guidelines: autoimmune hepatitis. J Hepatol 2015; 63:971–1004.
- Minaga K, Watanabe T, Chung H, Kudo M. Autoimmune hepatitis and IgG4-related disease. World J Gastroenterol 2019; 25:2308–14.



# Effective strategy in the treatment of aortobronchial fistula with recurrent hemoptysis

## Shin-Ah Son, Deok Heon Lee, Gun-Jik Kim

Department of Thoracic and Cardiovascular Surgery, School of Medicine, Kyungpook National University, Kyungpook National University, Hospital, Daegu, Korea

Received: December 30, 2019 Revised: February 3, 2020 Accepted: February 6, 2020

#### Corresponding author:

Gun-Jik Kim
Department of Thoracic and
Cardiovascular Surgery, School of
Medicine, Kyungpook National
University, Kyungpook National
University Hospital, 130 Dongdeokro, Jung-gu, Daegu 41944, Korea
Tel: +82-53-200-5665

Fax: +82-53-426-4765 E-mail: straightroot@knu.ac.kr Aortobronchial fistula (ABF) involves the formation of an abnormal connection between the thoracic aorta and the central airways or the pulmonary parenchyma and is associated with an increased risk of mortality. An ABF typically manifests clinically with symptoms of hemoptysis, and currently, there is a lack of defined guidelines for its treatment. Here, we report the cases of two patients who suffered from recurrent hemoptysis due to ABF with pseudoaneurysm. We propose that removal of the aorta with concomitant lung resection and coverage of the aorta using the pericardial membrane is a definite treatment to lower recurrence of ABF and persistent infection.

Keywords: Aorta; Endovascular procedure; Hemoptysis; Surgery

#### Introduction

Aortobronchial fistula (ABF) is a rare and devastating complication if left untreated. It involves the formation of an abnormal connection between the thoracic aorta and the central airways or the pulmonary parenchyma and results in fatal complications [1]. With the increasing use of thoracic endovascular repair (TEVAR), the number of patients treated for ABF has increased [2,3]. Most ABFs occur in ill patients; therefore, there is no determined treatment. Conservative non-surgical therapy can result invariably in a fatal outcome from massive hemoptysis or chronic mediastinitis [4], and stent-graft insertion is safe and less invasive. However, there are limitations, and generally there is a higher risk of graft infection with repeated ABFs [4,5]. Here, we present patients with ABFs that have been successfully treated by removal of the aorta, concomitant resection of the lung, and coverage of the aorta using the pericardial membrane to prevent further erosive damage.

#### Cases

#### 1. Patient consent

All authors declare that written informed consent was obtained from the patient for publication of this case report and accompanying images.

#### 2. Case 1

A 65-year-old man visited an outpatient clinic with a complaint of recurrent hemoptysis. The patient was diagnosed with ABF with pseudoaneurysm 4 years previously (Fig. 1A) and underwent TEVAR ( $42\times38\times150$  mm,  $40\times40\times130$  mm; S&G Biotech, Seongnam, Korea). Two years post-TEVAR, the patient presented with recurrent episodes of hemoptysis and chills during a routine follow-up visit.

Chest computed tomography (CT) imaging revealed a newly formed type 1a endoleak and an even larger pseudoaneurysm at

Copyright© 2020 Yeungnam University College of Medicine

This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/4.0/) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.

the previous stent insertion site (Fig. 1B). To rectify these problems, a hybrid debranching TEVAR was performed on the innominate artery and left common carotid artery  $(40\times40\times200 \, \text{mm}; \, \text{S\&G Biotech})$  via a medial sternotomy. After the second TEVAR, several episodes of sudden hemoptysis occurred that were initially negligible, but the symptoms gradually became severe. The patient was hemodynamically stable; however, mild signs of a systemic inflammatory response were noted. In the emergency department, chest CT revealed a type 1b endoleak from the previous stent-graft with progression of the pseudoaneurysm and lung consolidation with atelectasis (Fig. 1C, 1D).

The patient underwent surgery using extracorporeal circulation via femorofemoral cardiopulmonary bypass (CPB). An approach was made through the 4th and 7th intercostal spaces for proximal and distal graft anastomosis site manipulation, respectively. After removal of the lung adhesion, an opening was made in the pseudoaneurysm. The cut revealed a dark hematoma and inflammatory tissue within the vessel. Dissection between the visceral pleura and the aorta seemed unfeasible due to the large degree of adhesion. The presence of extensive lung hemorrhage and necrotic change led to the decision to entirely remove the affected lesion. Stent-graft removal, 30-mm Hemashield graft replacement, and

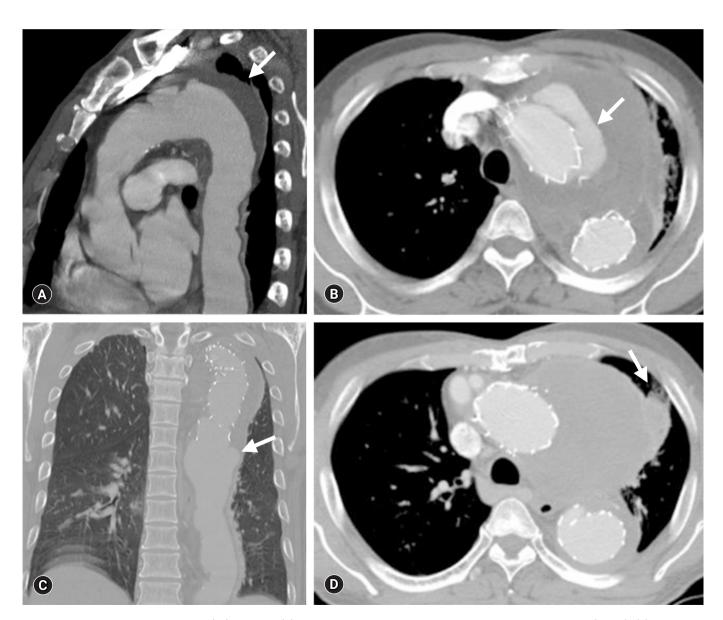


Fig. 1. Chest computed tomography (CT) findings. (A) Initial chest CT shows descending aortic pseudoaneurysm (arrow). (B) Two years later, chest CT reveals a newly formed type 1a endoleak (arrow) and an even larger pseudoaneurysm. (C) Chest CT on admission reveals type 1b endoleak (arrow) from the previous stent with progression of the pseudoaneury sm. (D) Lung consolidation with atelectasis (arrow).

left apicoposterior-anterior segmentectomy were performed simultaneously. As a preventive measure, space between the stent-graft and pulmonary tissue was packed with the pericardial membrane. The patient's condition gradually improved, and he was discharged on postoperative day (POD) 22 (Fig. 2).

#### 3. Case 2

A 66-year-old man was admitted to our medical center with massive and recurrent hemoptysis over a 4-year period. The patient was initially diagnosed with ABF with pseudoaneurysm and had undergone TEVAR ( $38 \times 38 \times 150$  mm; Valiant Thoracic Stent Graft, Medtronic CardioVascular, Santa Rosa, CA, USA) (Fig. 3A). Two years post-TEVAR, the patient visited our medical center's emergency room with another episode of hemoptysis. A kinking stenosis of the aortic isthmus stent-graft was discovered via chest CT, and a new stent-graft ( $40 \times 40 \times 80$  mm, Valiant Thoracic Stent Graft) was inserted (Fig. 3B).

Furthermore, the patient had displayed malaise, chills, and recurrent hemoptysis at admission; however, there were no clinical or biological signs of infectious disease, and vital signs were stable. There was no evidence of endoleaks via chest CT, but air entrapment within the thrombosed aneurysm and lung consolidation were found (Fig. 3C). Bronchoscopy revealed oozing at the left upper lobe orifice (Fig. 3D).

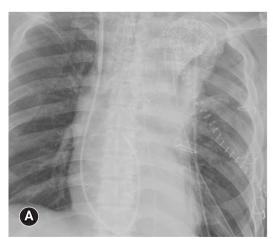
Due to the possibility of infection, we decided to perform open surgery to remove the ABF causing the hemoptysis. An initial incision was made in the 3rd or 4th intercostal space with the assistance of CPB. There was a severe adhesion between the previously inserted stent-graft and the left upper lobe. Upon exposing the pseudoaneurysm, the affected stent-graft was removed, and a 30-

mm Hemashield graft was inserted as a replacement. The left lower lung was relatively well-preserved; however, an apicoposterior segment of the left upper lung was suspected of fistula formation. A left upper lobectomy was performed with coverage of the aorta using the pericardial membrane. After administration of adequate antibiotic therapy for 8 weeks, the patient was discharged after POD 30 with oral antibiotics (Fig. 4).

## Discussion

ABF is a rare diagnosis and has a relatively low incidence, ranging from 0.56% to 1.7% [1,2,6]. There are several causes of ABF with persistent or newly developed endoleaks playing a crucial role after TEVAR [1]. The postulated cause of ABF post-TEVAR includes stent-graft coverage of the bronchial arteries leading to ischemic necrosis of the bronchial wall due to stent coverage of the feeding arteries, chronic endoleaks leading to erosion into the adjacent lung, and penetration of the stent-graft through the aortic wall into the lung [7]. In recent years, there has been an increase in the number of patients diagnosed and treated for ABF post-TEVAR [1,7,8]. Pseudoaneurysm as an indication for TE-VAR represents a significant risk factor for ABF. Compression of the airways by the pseudoaneurysm may result in a local inflammatory response and the formation of stable adhesions and tissue necrosis, leading to erosion and finally fistulization [2]. In addition, longstanding atelectasis may indicate adherence to the aneurysmal aortic wall that is already lacking elasticity, and external compression of the bronchial tree might also occur [8].

Clinical presentation of ABF can be a display of hemoptysis at any degree [5,9,10]. A diagnosis is primarily determined by CT,





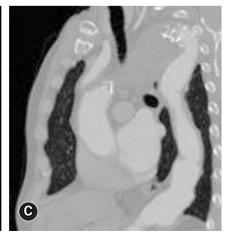


Fig. 2. Postoperative chest radiograph and computed tomography (CT) findings of case 1. (A) Postoperative chest radiograph indicates a well-functioning Hemashield graft. (B) Postoperative chest CT indicates the absence of endoleaks (arrow). (C) Postoperative chest CT confirms the absence of a lung lesion.

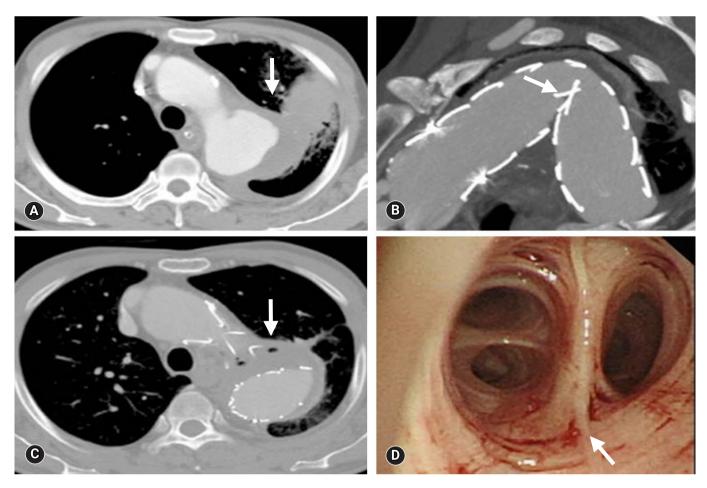
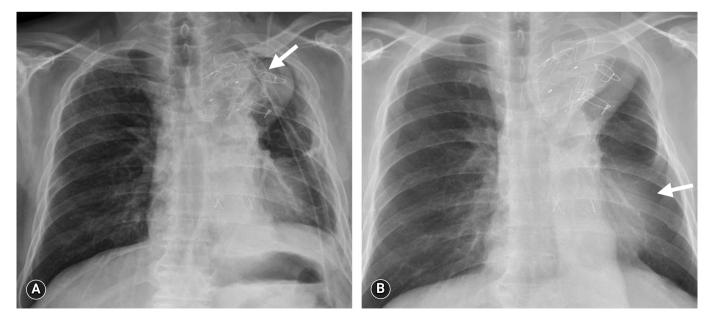


Fig. 3. Chest computed tomography (CT) findings of case 2. (A) Initial chest CT indicates a pseudoaneurysm with a suspicious aortobronchial fistula lesion (arrow). (B) A follow-up chest CT reveals kinking stenosis of the aortic isthmus stent-graft (arrow). (C) Chest CT on admission reveals air entrapment within the thrombosed aneurysm (arrow). (D) Brochoscopy shows oozing at left upper lobe orifice (arrow).



**Fig. 4.** Postoperative chest radiograph findings of case 2. (A) Postoperative chest radiograph shows remnant stent-graft (arrow). (B) Postoperative chest radiograph (followed-up after 4 years) shows the abscence of lung lesion (arrow).

with CT angiography being considered the first diagnostic imaging study performed in the majority of cases due to its ease of use in emergency situations. Although CT rarely detects fistulous tracts, suggestive signs of ABF are present in most patients, which include air entrapment within the thrombosed aneurysm, periaortic fluid collection, bronchial wall thickening, and lung consolidation [11,12].

The most important factor in the prognosis of ABF patients is the presence of infection. Once infection has occurred within the vessel, it readily extends to the bronchial wall and lung parenchyma, which can ultimately lead to sepsis. When infection of the stent-graft or pseudoaneurysm is suspected, the majority of cases will require repair via open surgery [13]. Although surgical principles dictate control of infection, removal of all infected tissues and reconstruction in a clean field is not always achievable, as it places a huge demand on an already sick patient [13]. Moreover, operative mortality was approximately 50% in patients with infection [6,14].

The principles of treatment of ABFs are based on the condition of the patient. Conservative non-surgical therapy with antibiotic treatment is used in patients that do not consent to invasive treatment due to low levels of hemoptysis and/or multiple comorbidities. However, conservative non-surgical therapy results invariably in a fatal outcome as a result of massive hemoptysis or chronic mediastinitis [4]. Endovascular repair followed by antibiotic therapy is described in the treatment of ABF, particularly in cases unfit for open surgical treatment [15]. Within the last decade, several case reports have described initial success with treatment of ABF using stent-graft insertion, suggesting more widespread use of this approach. The perioperative outcomes of endovascular repair have been favorable with a low 30day mortality rate (6.4%) [5]. It is uncertain whether endovascular repair alone provides a complete and reliable cure for an ABF as endovascular repair can result in risk of recurrence or stentgraft infection [4]. From these findings, direct contact between the involved aorta and the pulmonary tissue should be avoided to prevent further erosive damage and subsequent infection. Open ABF repair is associated with a high mortality rate, reported at 15% to 41%, related to the need for thoracotomy, thoracic aortic cross-clamping, and the surgical replacement or repair of the thoracic aorta with concomitant resection of the pulmonary segments [2,4,9,14].

In our cases, the most important factor for determining the cause of the ABF was the formation of a large pseudoaneurysm. If we considered more invasive surgical intervention initially or followed stricter indications for TEVAR, we could have avoided multiple procedures. From the operative findings, severe necrosis

and inflammation of the lung and periaortic tissue were present in contrast to the mild symptoms of the patient. The presence of necrotic tissue increased the risk of infection, and we thought the presence of infection was critical to prognosis. To reduce the incidence of long-term persistent infection, we performed concomitant stent-graft removal and necrotic lung resection.

In the case of recurrent hemoptysis, ABFs should be managed unconditionally to prevent devastating complications, and either elective or emergent open repair should be determined according to the patient's symptoms and hemodynamics. The risk of infection and recurrent ABF is high if the bronchial lesion is left untreated; therefore, a TEVAR-only approach is not advisable [5]. To reduce the incidence of long-term persistent infection and recurrent ABFs, removal of the aorta and necrotic lung tissue must be performed simultaneously.

This report highlights the utility and benefits of a surgical approach to resolve ABFs and potentially eliminate infected lesions. On the basis of our experience with these cases, we would like to emphasize the importance of ABF management in pseudoaneurysm patients and recommend a surgical approach that involves total resection of the affected area as a guideline treatment for ABF with pseudoaneurysm.

## Acknowledgments

#### **Conflicts of interest**

No potential conflict of interest relevant to this article was reported.

#### **Author contribution**

Conceptualization: SAS; Methodology: DHL, GJK; Resources: SAS; Writing-original draft, SAS; Writing-review & editing: DHL, GJK.

#### **ORCID**

Shin-Ah Son, https://orcid.org/0000-0003-3317-6857 Deok Heon Lee, https://orcid.org/0000-0001-6206-4745 Gun-Jik Kim, https://orcid.org/0000-0002-8051-2131

#### References

- Czerny M, Reser D, Eggebrecht H, Janata K, Sodeck G, Etz C, et al. Aorto-bronchial and aorto-pulmonary fistulation after thoracic endovascular aortic repair: an analysis from the European Registry of Endovascular Aortic Repair Complications. Eur J Cardiothorac Surg 2015;48:252–7.
- 2. Chiesa R, Melissano G, Marone EM, Marrocco-Trischitta

- MM, Kahlberg A. Aorto-oesophageal and aortobronchial fistulae following thoracic endovascular aortic repair: a national survey. Eur J Vasc Endovasc Surg 2010;39:273–9.
- 3. Luehr M, Etz CD, Nozdrzykowski M, Garbade J, Lehmkuhl L, Schmidt A, et al. Emergency open surgery for aorto-oesophageal and aorto-bronchial fistulae after thoracic endovascular aortic repair: a single-centre experience. Eur J Cardiothorac Surg 2015;47:374–82.
- **4.** Canaud L, D'Annoville T, Ozdemir BA, Marty-Ane C, Alric P. Combined endovascular and surgical approach for aortobronchial fistula. J Thorac Cardiovasc Surg 2014;148:2108–11.
- 5. Canaud L, Ozdemir BA, Bahia S, Hinchliffe R, Loftus I, Thompson M. Thoracic endovascular aortic repair for aortobronchial fistula. Ann Thorac Surg 2013;96:1117–21.
- 6. Czerny M, Eggebrecht H, Sodeck G, Weigang E, Livi U, Verzini F, et al. New insights regarding the incidence, presentation and treatment options of aorto-oesophageal fistulation after thoracic endovascular aortic repair: the European Registry of Endovascular Aortic Repair Complications. Eur J Cardiothorac Surg 2014;45:452–7.
- Li M, Langlois N, Byard RW. Fatal aortobronchial fistula. J Forensic Leg Med 2013;20:395–8.
- 8. Sica G, Rea G, Bocchini G, Lombardi R, Muto M, Valente T. Aortopulmonary fistula presenting without an endoleak after thoracic endovascular aortic repair. Korean J Thorac Cardiovasc Surg 2017;50:287–90.
- 9. von Segesser LK, Tkebuchava T, Niederhauser U, Kunzli A, Lachat M, Genoni M, et al. Aortobronchial and aortoesopha-

- geal fistulae as risk factors in surgery of descending thoracic aortic aneurysms. Eur J Cardiothorac Surg 1997;12:195–201.
- 10. Girdauskas E, Falk V, Kuntze T, Borger MA, Schmidt A, Scheinert D, et al. Secondary surgical procedures after endovascular stent grafting of the thoracic aorta: successful approaches to a challenging clinical problem. J Thorac Cardiovasc Surg 2008;136:1289–94.
- 11. Prokakis C, Koletsis E, Apostolakis E, Dedeilias P, Dougenis D. Aortoesophageal fistulas due to thoracic aorta aneurysm: surgical versus endovascular repair. Is there a role for combined aortic management? Med Sci Monit 2008;14:RA48–54.
- **12.** Bergqvist D, Bjorck M. Secondary arterioenteric fistulation: a systematic literature analysis. Eur J Vasc Endovasc Surg 2009; 37:31–42.
- Roselli EE, Abdel-Halim M, Johnston DR, Soltesz EG, Greenberg RK, Svensson LG, et al. Open aortic repair after prior thoracic endovascular aortic repair. Ann Thorac Surg 2014;97: 750–6.
- Piciche M, De Paulis R, Fabbri A, Chiariello L. Postoperative aortic fistulas into the airways: etiology, pathogenesis, presentation, diagnosis, and management. Ann Thorac Surg 2003; 75:1998–2006.
- 15. Eggebrecht H, Mehta RH, Dechene A, Tsagakis K, Kuhl H, Huptas S, et al. Aortoesophageal fistula after thoracic aortic stent-graft placement: a rare but catastrophic complication of a novel emerging technique. JACC Cardiovasc Interv 2009;2: 570–6.



## Erratum to "Assessment of solid components of borderline ovarian tumor and stage I carcinoma: added value of combined diffusion- and perfusion-weighted magnetic resonance imaging"

## See Hyung Kim

Department of Radiology, School of Medicine, Kyungpook National University, Kyungpook National University Hospital, Daegu, Korea

Received: March 11, 2020 Accepted: March 17, 2020

#### Corresponding author:

See Hyung Kim
Department of Radiology, School of
Medicine, Kyungpook National
University, Kyungpook National
University Hospital, 130 Dongdeokro, Jung-gu, Daegu 41944, Korea
Tel: +82-53-200-5390

Fax: +82-53-422-2677

 $\hbox{E-mail: kimseehyung 72} @ \hbox{outlook.kr}$ 

In the article entitled "Assessment of solid components of borderline ovarian tumor and stage I carcinoma: added value of combined diffusion- and perfusion-weighted magnetic resonance imaging" [1], the affiliation for the corresponding author was incorrectly listed as Kyungpook National University. It has been changed to "Kyungpook National University, Kyungpook National University Hospital".

#### **Before correction**

Department of Radiology, School of Medicine, Kyungpook National University, Daegu, Korea

#### After correction

Department of Radiology, School of Medicine, Kyungpook National University, Kyungpook National University Hospital, Daegu, Korea

## Acknowledgments

#### **Conflicts of interest**

No potential conflict of interest relevant to this article was reported.

#### **ORCID**

See Hyung Kim, https://orcid.org/0000-0002-3268-3091

## References

Kim SH. Assessment of solid components of borderline ovarian tumor and stage I carcinoma: added value of combined diffusion- and perfusion-weighted magnetic resonance imaging. Yeungnam Univ J Med 2019;36:231–40.

Copyright© 2020 Yeungnam University College of Medicine

This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/4.0/) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.



## Instructions to authors

Enactment December 30, 1984 First revision April 20, 2011 Second revision May 22, 2012 Third revision July 17, 2013 Fourth revision April 22, 2014 Fifth revised December 23, 2014 Recently revised April 30, 2018

Yeungnam University Journal of Medicine (YUJM) is the official journal of College of Medicine Yeungnam University and is published four times a year (January 31, April 30, July 31, and October 31). The goal of the YUJM is to publish high quality manuscripts dedicated to clinical or basic research. Any authors affiliated with an accredited biomedical institution may submit manuscripts of editorials, review articles, original articles, and case reports. Manuscripts are received with the understanding that they are not under simultaneous consideration by any other publications, and that the authors realize that the identities of the reviewers are kept confidential. The editors reserve the right to make corrections, both literary and technical, to the papers. The agreement of copyright transfer from all the authors should be sent with the manuscript submission. A copyright transfer form is available at the journal homepage.

## **Editorial policy**

The editor assumes that all authors listed in a manuscript have agreed with the following policy of the YUJM on submission of manuscript. Except for the negotiated secondary publication, manuscript submitted to the journal must be previously unpublished and not be under consideration for publication elsewhere.

The purpose of editing is to improve the quality of the paper and to make it possible to convey the topic to readers as briefly as possible. Appropriate peer reviewers are selected to evaluate the creativity and scientific basis of the paper. We also determines the appropriateness of charts and figures. Submitted papers are first reviewed by the editorial committee and rejected if the form is inappropriate or the contents are inadequate.

Anyone who would like to submit a manuscript is advised to carefully read the aims and scope section of this journal. Manuscripts should be prepared for submission according to the Introduction to Authors. For issues not addressed in these instructions, the author is referred to the International Committee of Medical Journal Editors (ICMJE) "Recommendations for the Conduct, Reporting, Editing and Publication of Scholarly Work in Medical Journals" (http://www.icmje.org).

Compliance with ICMJE Recommendations: The journal adheres completely to the ethical guidelines and best practices published by professional organizations, including Recommendations for the Conduct, Reporting, Editing, and Publication of Scholarly Work in Medical Journals (http://www.icmje.org/icmje-recommendations.pdf) from ICMJE and Principles of Transparency and Best Practice in Scholarly Publishing (joint statement by COPE, DOAJ, WAME, and OASPA; (http://doaj.org/bestpractice).

#### **Ethical considerations**

#### Research ethics

All of the manuscripts should be prepared based on strict observation of research and publication ethics guidelines recommended by the Council of Science Editors (http://www.councilscienceeditors.org), International Committee of Medical Journal Editors (ICMJE, http://www.icmje.org), World Association of Medical Editors (WAME, http://www.wame.org), and the Korean Association of Medical Journal Editors (KAMJE, https://www.kamje. or.kr/en/main en). All studies involving human subjects or human data must be reviewed and approved by a responsible Institutional Review Board (IRB). Please refer to the principles embodied in the Declaration of Helsinki (https://www.wma.net/ policies-post/wma-declaration-of-helsinki-ethical-principles-for-medical-research-involving-human-subjects/) for all investigations involving human materials. Animal experiments also should be reviewed by an appropriate committee (IACUC) for the care and use of animals. Also studies with pathogens requiring a high degree of biosafety should pass review of a relevant committee (IBC). The approval should be described in the Methods section. For studies of humans including case reports, state whether informed consents were obtained from the study participants. The editor of YUJM may request submission of copies of informed consents from human subjects in clinical studies or IRB approval documents. The YUJM will follow the guidelines by the Committee on Publication Ethics (COPE, http://publicationethics.org) for settlement of any misconduct.



#### **Conflicts of interest**

The corresponding author of an article is asked to inform the Editor of the authors' potential conflicts of interest possibly influencing the research or interpretation of data. A potential conflicts of interest should be disclosed in the cover letter even when the authors are confident that their judgments have not been influenced in preparing the manuscript. Such conflicts may include financial support or private connections to pharmaceutical companies, political pressure from interest groups, or academic problems. Disclosure form shall be same with ICMJE Uniform Disclosure Form for Potential Conflicts of Interest (http://www.icmje.org/coi\_disclosure.pdf). The Editor will decide whether the information on the conflicts should be included in the published paper. In particular, all sources of funding for a study should be explicitly stated. The YUJM asks referees to let its editor know of any conflicts of interest before reviewing a particular manuscript.

#### **Authorship**

Each author is expected to have made substantial contribution to the conception or design of the work; or the acquisition, analysis, or interpretation of data; or the creation of new software used in the work; or have drafted the work or substantively revised it; AND to have approved the submitted version (and any substantially modified version that involves the author's contribution to the study); AND to have agreed both to be personally accountable for the author's own contributions and to ensure that questions related to the accuracy or integrity of any part of the work, even ones in which the author was not personally involved, are appropriately investigated, resolved, and the resolution documented in the literature.

Those who do not meet the above criteria should be acknowledged as contributors instead of authors. The corresponding author is responsible for completing this information at submission, and it is expected that all authors will have reviewed, discussed, and agreed to their individual contribution ahead of this time.

When a large, multicenter group has conducted the work, the group should identify the individuals who accept direct responsibility for the manuscript. When submitting a manuscript authored by a group, the corresponding author should clearly indicate the preferred citation and identify all individual authors as well as the group name. Journals generally list other members of the group in the Acknowledgements. Acquisition of funding, collection of data, or general supervision of the research group alone does not constitute authorship.

Contributor Roles Taxonomy (CRediT)

Conceptualization

- Data curation
- Formal analysis
- · Funding acquisition
- Investigation
- Methodology
- Project administration
- Resources
- Software
- Supervision
- Validation
- Visualization
- · Writing original draft
- Writing review & editing

### Redundant publication and plagiarism

Redundant publication is defined as "reporting (publishing or attempting to publish) substantially the same work more than once, without attribution of the original source(s)". Characteristics of reports that are substantially similar include the following: (a) "at least one of the authors must be common to all reports (if there are no common authors, it is more likely plagiarism than redundant publication)," (b) "the subjects or study populations are the same or overlapped," (c) "the methodology is typically identical or nearly so," and (d) "the results and their interpretation generally vary little, if at all."

When submitting a manuscript, authors should include a letter informing the editor of any potential overlap with other already published material or material being evaluated for publication and should also state how the manuscript submitted to YUJM differs substantially from other materials. If all or part of your patient population was previously reported, this should be mentioned in the Methods, with citation of the appropriate reference(s).

The duplication will be checked through crosscheck (https://app.ithenticate.com) or eTBLAST (https://helioblast.heliotext.com) before submission. If duplicate publication related to the papers of this journal is detected, the manuscripts may be rejected, the authors will be announced in the journal, and their institutes will be informed. There will also be penalties for the authors.

#### Secondary publication

It is possible to republish manuscripts if the manuscripts satisfy the condition of secondary publication of the Uniform Requirements for Manuscripts Submitted to Biomedical Journals by International Committee of Medical Journal Editors (ICMJE), available from http://www.icmje.org. These are:

 The authors have received approval from the editors of both journals (the editor concerned with the secondary publication must have access to the primary version).

- The priority for the primary publication is respected by a publication interval negotiated by editors of both journals and the authors.
- The paper for secondary publication is intended for a different group of readers; an abbreviated version could be sufficient.
- The secondary version faithfully reflects the data and interpretations of the primary version.
- The secondary version informs readers, peers, and documenting agencies that the paper has been published in whole or in part elsewhere—for example, with a note that might read, "This article is based on a study first reported in the (journal title, with full reference)"—and the secondary version cites the primary reference.
- The title of the secondary publication should indicate that it is a
  secondary publication (complete or abridged republication or
  translation) of a primary publication. Of note, the United States
  National Library of Medicine (NLM) does not consider translations as "republications" and does not cite or index them when
  the original article was published in a journal that is indexed in
  MEDLINE.

#### Registration of the clinical trial research

Clinical trial defined as "any research project that prospectively assigns human subjects to intervention and comparison groups to study the cause-and-effect relationship between a medical intervention and a health outcome" should be registered to the primary registry to be prior publication. YUJM accepts the registration in any of the primary registries that participate in the WHO International Clinical Trials Portal (http://www.who.int/ictrp/en/), NIH ClinicalTrials.gov (http://www.clinicaltrials.gov), ISRCTN Resister (www.ISRCTN.org), or the Clinical Research Information Service (CRIS), Korea CDC (https://cris.nih.go.kr/cris/index.jsp). The clinical trial registration number shall be published at the end of the abstract.

#### Data sharing statement

YUJM accepts the ICMJE Recommendations for data sharing statement policy (http://icmje.org/icmje-recommendations. pdf). All manuscripts reporting clinical trial results should submit a data sharing statement following the ICMJE guidelines from 1 July 2018. Authors may refer to the editorial, "Data Sharing statements for Clinical Trials: A Requirement of the International Committee of Medical Journal Editors," in JKMS Vol. 32, No. 7: 1051-1053 (http://crossmark.crossref.org/dialog/?doi=10.3346/jkms.2017.32.7.1051&domain=pdf&date\_stamp=2017-06-05).

#### Process to manage the research and publication misconduct

When the Journal faces suspected cases of research and publication misconduct such as a redundant (duplicate) publication, plagiarism, fabricated data, changes in authorship, undisclosed conflicts of interest, an ethical problem discovered with the submitted manuscript, a reviewer who has appropriated an author's idea or data, complaints against editors, and other issues, the resolving process will follow the flowchart provided by the Committee on Publication Ethics (http://publicationethics.org/resources/flowcharts). The Editorial Board of YUJM will discuss the suspected cases and reach a decision. YUJM will not hesitate to publish errata, corrigenda, clarifications, retractions, and apologies when needed.

For the policies on research and publication ethics not stated in the Instructions, Guidelines on Good Publication (http://publicationethics.org) or Good Publication Practice Guidelines for Medical Journals (http://kamje.or.kr) can be applied.

## **Categories of publications**

YUJM publishes editorials, invited review articles, original articles, and case reports. Editorials are invited perspectives on an area of medical science, dealing with very active fields of research, current medical interests, fresh insights and debates. Review articles provide a concise review of a subject of importance to medical researchers written by an invited expert in medical science. Original articles are papers reporting the results of basic and clinical investigations that are sufficiently well documented to be acceptable to critical readers. Case reports deal with clinical cases of medical interest or innovation.

### **Manuscript submission**

The main document with manuscript text and tables should be prepared with a Microsoft Word program. Authors should submit manuscripts via the online submission system (https://submit. e-yujm.org/). Please log in first as a member of the system and follow the directions. Manuscripts should be submitted by the corresponding author, who should indicate the address and phone number for correspondence in the title page of the manuscript. If available, a fax number and e-mail address would be helpful. The revised manuscript should be submitted through the same web system under the same identification numbers. Items pertaining to manuscripts submitted for publication, as well as letters or other forms of communication regarding the editorial management of YUJM, all correspondences and business communications should be sent to:



Joon Hyuk Choi, M.D., Ph.D., Editor-in-Chief Yeungnam University Journal of Medicine Yeungnam University College of Medicine 170 Hyeonchung-ro, Nam-gu, Daegu 42415, Korea Tel: +82-53-640-6832, Fax: +82-53-651-0394

E-mail: yujm@yu.ac.kr

Homepage: https://www.e-yujm.org

### Peer review process

YUJM reviews all manuscripts received. A manuscript is previewed for its format and academic relevancy, and then rejected or sent to the 2 (or more) relevant investigators available for review of the contents. The editor selects peer referees by recommendation of the editorial board members or from the board's specialist database. The identities of the reviewers and authors will not be revealed (double blinded review). All the radiologic images and pathologic (microscopic) images are reviewed by radiologist or pathologist for appropriateness. A manuscript containing statistical analysis will be reviewed by a statistical editor.

Upon completion of the review, authors will receive notification of the Editor's decision by e-mail with comments offered by the reviewers. Revised manuscripts must be submitted within 3 months of the date on the decision letter.

Acceptance of manuscripts is based on many factors, including the importance, originality, and priority of the research. Acceptance of the manuscript is decided based on the critiques and recommended decision of the referees. A referee may recommend "accept", "minor revision", "major revision," or "reject". If there is a marked discrepancy in the decisions between two referees or between the opinions of the author and referee(s), the editor may send the manuscript to another referee for additional comments and a recommended decision. The reviewed manuscripts are returned back to the corresponding author with comments and recommended revisions. Names and decisions of the referees are masked. A final editor's decision on acceptance or rejection for publication is forwarded to the corresponding author from the editorial office.

The usual reasons for rejection are topics that are too specific and target audience that is too limited, insufficient originality, serious scientific flaws, poor quality of illustrations, or absence of a message that might be important to readers. Rarity of a disease condition is itself not an acceptable justification for a case report. The peer review process takes usually 2–4 weeks after the manuscript submission.

Revisions are usually requested to take account of criticisms and comments made by referees. The revised manuscript should be resubmitted via the web system. Failure to resubmit the revised manuscript within 2 months without any notice from the corresponding author is regarded as a withdrawal. The corresponding author must indicate clearly what alterations have been made in response to the referee's comments point by point. Acceptable reasons should be given for noncompliance with any recommendation of the referees.

## Copyrights and creative commons attribution license

The manuscript, when published, will become the property of the journal. All published papers become the permanent property of the Yeungnam University College of Medicine and must not be published elsewhere without written permission. Copyrights of all published materials are owned by the Yeungnam University College of Medicine. They also follow the Creative Commons Attribution Non-Commercial License (https://creativecommons.org/licenses/by-nc/4.0/).



### Manuscript preparation

#### **Review article**

Review articles are usually solicited by the Editor-in-Chief. However, unsolicited Reviews will be also considered. Authors should contact the Editor-in-Chief in advance to determine the appropriateness of their review articles for publication. All Review articles will undergo peer review. An abstract is required whereas Materials and methods section and a Results section are not required. The length of review articles is limited to 5,000-8,000 words with a maximum of 100 references. Also, there should be no more than 3 authors.

#### Original article

Original articles should begin with the title page followed by an abstract; a list of key words; an Introduction, Materials and methods, Results, Discussion, References (no more than 30), and tables and/or illustrations.

#### 1) Title page

The tile page should contain the following information: (1) title (less than 150 characters, including spaces); (2) author list



(first name, middle name, and last name); (3) name of the institutions at which the work was performed; (4) acknowledgement of research support; (5) name, address, telephone, fax number, and e-mail address of the corresponding author; (6) running title (less than 50 characters, including spaces).

#### 2) Abstract

Abstract must be organized and formatted according to the following headings: Background, Methods, Results, and Conclusion. The abstract length is typically no more than 250 words.

#### 3) Keywords

List 3-6 keywords from the list provided in Index Medicus under "Medical Subject Heading (MeSH)."

#### 4) Text

The text of manuscripts must have the following sections: Introduction, Materials and methods, Results, and Discussion. The body of the manuscript should be written as concisely as possible. All pages of the manuscript should be numbered.

#### Introduction

This should provide a context or background for the study and states the specific purpose or research objective of or hypothesis tested by the study. This may include mention of papers most closely related to the article, and of the problem.

#### Materials and methods

Explanation of the experimental methods should be concise but sufficient to allow other workers to reproduce the results. This provides the technical information, apparatus (the manufacturer's name and brief address) and procedures. Give references and brief descriptions for the methods that have been published. Describe statistical methods with enough detail to enable a reader with access to the original data to verify the reported results. Define statistical terms, abbreviations, and most symbols.

Ensure correct use of the terms sex (when reporting biological factors) and gender (identity, psychosocial or cultural factors), and, unless inappropriate, report the sex or gender of study participants, the sex of animals or cells, and describe the methods used to determine sex or gender. If the study was done involving an exclusive population, for example in only one sex, authors should justify why, except in obvious cases (e.g., prostate cancer). Authors should define how they determined race or ethnicity and justify their relevance.

#### Results

This should include a concise textual description of the data presented in tables and figures.

#### Discussion

This section includes the new and important aspects of the study and the conclusions. The data should be interpreted concisely. Speculation is permitted, but it must be supported by the data presented by the authors.

#### References

References should be numbered consecutively in the order in which they are first mentioned in the text, with numbers in square brackets before any closing punctuation. They should be listed on a separate document under the heading "References," and double-spaced. Reference format should conform to that set forth in "Uniform Requirements for Manuscripts Submitted to Biomedical Journals. 5th ed." (JAMA 1997;277:927-34). Titles of journals should be abbreviated according to the Index Medicus style.

#### Reference style:

#### Journal articles

List all authors when six or less; when seven or more, list the first six and add et al.

Vega KJ, Pina I. Heart transplantation is associated with an increased risk for pancreatobiliary disease. Ann Intern Med 1996;124:980-3.

Verbalis JG. Renal physiology of nocturia. Neurourol Urodyn 2014;33(Suppl 1):S6-9.

#### Book

Ringsven MK, Bond D. Gerontology and leadership skills for nurses. 2nd ed. Albany (NY): Delmar Publishers; 1996.

Luzikov VN. Mitochondrial biogenesis and breakdown. Galkin AV, translator; Roodyn DB, editor. New York: Consultants Bureau; 1985. p. 362

#### Book chapter

Phillips SJ, Whisnant JP. Hypertension and stroke. In: Laragh JH, Brenner BM, editors. Hypertension: pathophysiology, diagnosis, and management. 2nd ed. New York: Raven Press; 1995. p. 465-78.

#### Web resources

Polgreen PM, Diekema DJ, Vandeberg J, Wiblin RT, Chen YY, David S, et al. Risk factors for groin wound infection after fem-



oral artery catheterization: a case-control study. Infect Control Hosp Epidemiol [Internet]. 2006 [cited 2007 Jan 5];27:34-7. http://www.journals.uchicago.edu/ICHE/journal/issues/v27n1/2004069/2004069.web.pdf

Testa J. The Thomson Reuters journal selection process [Internet]. Philadelphia: Thomson Reuters; 2012 [cited 2013 Sep 30]. http://wokinfo.com/essays/journal-selection-process

#### 5) Tables

Tables should fit within a single page. The Table's legend may include any pertinent notes and must include definitions of all abbreviations and acronyms that have been used in the Table. For footnotes, the following symbols should be used in this sequence: a), b), c), d), e), f), g), h), etc. Authors are obligated to indicate the significance of their observations by appropriate statistical analysis.

#### 6) Illustrations

Figures must be cited consecutively using Arabic numerals. Authors must submit illustrations as electronic files. Acceptable figure file formats are JPEG, TIFF, and PPT/PPTX. Each figure needs to be prepared in a resolution higher than 300 dpi with good contrast and sharpness. The file size of each submitted figure should not exceed 10 MB per figure. If the patient's photograph is presented in a paper, it should be manipulated to make it difficult to recognize. Patients introduced in the manuscripts should be informed and aware that their photographs, videotapes, and other images (imaging records) will be released by the authors, and the authors should attach the Authorization and Release Form available at the YUJM website (https://www.e-yujm.org/authors/ethics.php) including each patient's signature. If the patient is a minor, a written consent of the guardian must be submitted.

#### 7) Legends for tables and illustrations

Typed legends that use double-spacing should start on a separate page with Arabic numerals corresponding to the Tables or Illustrations. When symbols, arrows, numbers, or letters are used to identify parts of the Tables or Illustrations, they should be individually identified and explained clearly in the legend.

#### 8) Abbreviations

Authors should limit the use of abbreviations to an absolute minimum. Abbreviations are not to be used in titles. Abstracts may contain abbreviations for terms mentioned many times in the abstract section, but each term must be identified the first time it is mentioned.

#### 9) Unit of measurement

Measurements of length, height, weight, and volume should be reported in metric units (meter, kilogram, or liter) or their decimal multiples. Temperature should be in degrees Celsius. Authors must consult the information for authors for the particular journal and should report laboratory information in both the local and International System of Units (SI).

#### Case report

Case reports should consist of an Abstract, Keywords, Introduction, Case, Discussion, and References (no more than 20). Case reports should have fewer than nine authors. The abstract should be concisely written (no more than 250 words).

#### **Permission**

If any portion of a manuscript has been previously published, the original source must be acknowledged, and the written permission from the copyright holder to reproduce the material must be submitted. It is the responsibility of the author to request permission from the publisher for any material that is being reproduced. This requirement applies to text, illustrations, and tables.

#### **Article processing charges**

Manuscripts that have accepted will be charged 200,000 won. The surcharge for color figures is none.

### **Author change**

If the addition or deletion of authors or changes in the order of authorship is required, the correspondent author must complete the authorship change form and submit it to the editorial board with the signature of all existing authors and new authors. When there is a request for change by the author, the editorial committee convenes an ethics committee and judges whether it is appropriate. If a new author should be added or an author should be deleted after the submission, it is the responsibility of the corresponding author to ensure that all of the authors concerned are aware of and agree to the change in authorship. The YUJM has no responsibility for such changes.



## Research and publication ethics

#### Research ethics

All of the manuscripts should be prepared based on strict observation of research and publication ethics guidelines recommended by the Council of Science Editors (http://www.councilscienceeditors.org), International Committee of Medical Journal Editors (ICMJE, http://www.icmje.org), World Association of Medical Editors (WAME, http://www.wame.org), and the Korean Association of Medical Journal Editors (KAMJE, https://www.kamje. or.kr/en/main en). All studies involving human subjects or human data must be reviewed and approved by a responsible Institutional Review Board (IRB). Please refer to the principles embodied in the Declaration of Helsinki (https://www.wma.net/policies-post/wma-declaration-of-helsinki-ethical-principles-for-medical-research-involving-human-subjects/) for all investigations involving human materials. Animal experiments also should be reviewed by an appropriate committee (IACUC) for the care and use of animals. Also studies with pathogens requiring a high degree of biosafety should pass review of a relevant committee (IBC). The approval should be described in the Methods section. For studies of humans including case reports, state whether informed consents were obtained from the study participants. The editor of YUJM may request submission of copies of informed consents from human subjects in clinical studies or IRB approval documents. The YUJM will follow the guidelines by the Committee on Publication Ethics (COPE, http://publicationethics.org) for settlement of any misconduct.

#### **Conflicts of interest**

The corresponding author of an article is asked to inform the Editor of the authors' potential conflicts of interest possibly influencing the research or interpretation of data. A potential conflicts of interest should be disclosed in the cover letter even when the authors are confident that their judgments have not been influenced in preparing the manuscript. Such conflicts may include financial support or private connections to pharmaceutical companies, political pressure from interest groups, or academic problems. Disclosure form shall be same with ICMJE Uniform Disclosure Form for Potential Conflicts of Interest (http://www.icmje.org/coi\_disclosure.pdf). The Editor will decide whether the information on the conflicts should be included in the published paper. In particular, all sources of funding for a study should be explicitly stated.

The YUJM asks referees to let its editor know of any conflicts of interest before reviewing a particular manuscript.

## **Authorship**

Each author is expected to have made substantial contribution to the conception or design of the work; or the acquisition, analysis, or interpretation of data; or the creation of new software used in the work; or have drafted the work or substantively revised it; AND to have approved the submitted version (and any substantially modified version that involves the author's contribution to the study); AND to have agreed both to be personally accountable for the author's own contributions and to ensure that questions related to the accuracy or integrity of any part of the work, even ones in which the author was not personally involved, are appropriately investigated, resolved, and the resolution documented in the literature.

Those who do not meet the above criteria should be acknowledged as contributors instead of authors. The corresponding author is responsible for completing this information at submission, and it is expected that all authors will have reviewed, discussed, and agreed to their individual contribution ahead of this time.

When a large, multicenter group has conducted the work, the group should identify the individuals who accept direct responsibility for the manuscript. When submitting a manuscript authored by a group, the corresponding author should clearly indicate the preferred citation and identify all individual authors as well as the group name. Journals generally list other members of the group in the Acknowledgements. Acquisition of funding, collection of data, or general supervision of the research group alone does not constitute authorship.

Contributor Roles Taxonomy (CRediT)

- Conceptualization
- Data curation
- Formal analysis
- Funding acquisition
- Investigation
- Methodology
- Project administration
- Resources
- Software
- Supervision



- Validation
- Visualization
- Writing original draft
- Writing review & editing

## Redundant publication and plagiarism

Redundant publication is defined as "reporting (publishing or attempting to publish) substantially the same work more than once, without attribution of the original source(s)". Characteristics of reports that are substantially similar include the following: (a) "at least one of the authors must be common to all reports (if there are no common authors, it is more likely plagiarism than redundant publication)," (b) "the subjects or study populations are the same or overlapped," (c) "the methodology is typically identical or nearly so," and (d) "the results and their interpretation generally vary little, if at all."

When submitting a manuscript, authors should include a letter informing the editor of any potential overlap with other already published material or material being evaluated for publication and should also state how the manuscript submitted to YUJM differs substantially from other materials. If all or part of your patient population was previously reported, this should be mentioned in the Methods, with citation of the appropriate reference(s).

The duplication will be checked through crosscheck (https://app.ithenticate.com) or eTBLAST (https://helioblast.heliotext.com) before submission. If duplicate publication related to the papers of this journal is detected, the manuscripts may be rejected, the authors will be announced in the journal, and their institutes will be informed. There will also be penalties for the authors.

## **Secondary publication**

It is possible to republish manuscripts if the manuscripts satisfy the condition of secondary publication of the Uniform Requirements for Manuscripts Submitted to Biomedical Journals by International Committee of Medical Journal Editors (ICMJE), available from http://www.icmje.org. These are:

- The authors have received approval from the editors of both journals (the editor concerned with the secondary publication must have access to the primary version).
- The priority for the primary publication is respected by a publication interval negotiated by editors of both journals and the authors.
- The paper for secondary publication is intended for a different group of readers; an abbreviated version could be sufficient.
- The secondary version faithfully reflects the data and interpreta-

- tions of the primary version.
- The secondary version informs readers, peers, and documenting
  agencies that the paper has been published in whole or in part
  elsewhere—for example, with a note that might read, "This article is based on a study first reported in the (journal title, with
  full reference)"—and the secondary version cites the primary
  reference.
- The title of the secondary publication should indicate that it is a
  secondary publication (complete or abridged republication or
  translation) of a primary publication. Of note, the United States
  National Library of Medicine (NLM) does not consider translations as "republications" and does not cite or index them when
  the original article was published in a journal that is indexed in
  MEDLINE.

## Registration of the clinical trial research

Clinical trial defined as "any research project that prospectively assigns human subjects to intervention and comparison groups to study the cause-and-effect relationship between a medical intervention and a health outcome" should be registered to the primary registry to be prior publication. YUJM accepts the registration in any of the primary registries that participate in the WHO International Clinical Trials Portal (http://www.who.int/ictrp/en/), NIH ClinicalTrials.gov (http://www.clinicaltrials.gov), ISRCTN Resister (www.ISRCTN.org), or the Clinical Research Information Service (CRIS), Korea CDC (https://cris.nih.go.kr/cris/index.jsp). The clinical trial registration number shall be published at the end of the abstract.

### **Data sharing statement**

YUJM accepts the ICMJE Recommendations for data sharing statement policy (http://icmje.org/icmje-recommendations. pdf). All manuscripts reporting clinical trial results should submit a data sharing statement following the ICMJE guidelines from 1 July 2018. Authors may refer to the editorial, "Data Sharing statements for Clinical Trials: A Requirement of the International Committee of Medical Journal Editors," in JKMS Vol. 32, No. 7:1051-1053 (http://crossmark.crossref.org/dialog/?doi=10.3346/jkms.2017.32.7.1051&domain=pdf&date\_stamp=2017-06-05).

## Process to manage the research and publication misconduct

When the Journal faces suspected cases of research and publication

misconduct such as a redundant (duplicate) publication, plagiarism, fabricated data, changes in authorship, undisclosed conflicts of interest, an ethical problem discovered with the submitted manuscript, a reviewer who has appropriated an author's idea or data, complaints against editors, and other issues, the resolving process will follow the flowchart provided by the Committee on Publication Ethics (http://publicationethics.org/resources/flowcharts).

The Editorial Board of YUJM will discuss the suspected cases and reach a decision. YUJM will not hesitate to publish errata, corrigenda, clarifications, retractions, and apologies when needed.

For the policies on research and publication ethics not stated in the Instructions, Guidelines on Good Publication (http://publicationethics.org) or Good Publication Practice Guidelines for Medical Journals (http://kamje.or.kr) can be applied.



## Research and publication ethics form

Affiliation:	
Author's name (please print):	
Manuscript title:	
All authors pledges that we follow the basic standards of research and publication ethics in the submission process to sity Journal of Medicine	) Yeungnam Univer-
Check Yes if Research subject, research object and size, setting of controls, and the methods of data collection are suitable for the research ethics.	☐ Yes ☐ No
Check Yes if Authors should ensure that their submitted manuscripts are not against publication ethics such as fabrication, falsification or plagiarism.	☐ Yes ☐No
Check Yes if In clinical research involving human, informed consent from patient should be conducted and written in the method section of the manuscript.	☐ Yes ☐ No
Check Yes if All clinical research involving human and animal subjects to be approved by the author's Institutional Review Board (IRB) or equivalent committees.	☐ Yes ☐ No
Check Yes if This study is conducted in compliance with the Declaration of Helsinki and this comment is written in the method section of the manuscript.	☐ Yes ☐ No
Check Yes if All Authors must disclose all relationships that could be viewed as potential conflicts of interest. This relationship also includes any potential conflicts of interest with all material, products, and companies in the manuscript.	☐ Yes ☐ No
Check Yes if Authors should confirm that the submitted work is not under consideration or accepted for publications elsewhere, and would not be submitted in any other journals after acceptance.	☐ Yes ☐ No
Check Yes if Duplicate publication, which includes 'imalas publication', 'plagiarism', and 'salami publication', is strictly not conducted.	☐ Yes ☐No
If the rationale provided by the authors remains unsatisfactory in the judgment of the editors, the manuscript will be rather authors will not be allowed to subsequently submit their research to Yeungnam University Journal of Medicine. keep the above mentioned disadvantages in mind.	,
Date:	
Corresponding author's name:	



## Copyright transfer agreement

The author(s) submit manuscript with the following title			
vey, and assign all copyrights in the Article to the Editorial Board	of the Ye	ing our/my Article, the undersigned authors hereby transfer, con- eungnam University Journal of Medicine (YUJM). The copyright the world the said contribution and parts thereof, including all re-	
responsibility for it The authors reviewed the final version of the authors certify that none of the material in the manuscript has be also certify that the Article has not been accepted for publication any third party. The authors will obtain and include with the manuscript has been accepted for publication.	Article, leen pub n elsewh nuscript	the analysis of data, and the writing of the Article, to take public believe it represents valid work and approve it for publication The blished previously, is included in another manuscript The authors here, nor have they assigned any right or interest in the Article to written permission from any respective copyright owners for the The authors agree that it is their responsibility to pay fees charged	
Author's name		Signature	
	-		
	-		
	-		
	-		
	-		

Copyright © Yeungnam University College of Medicine

This is an Open Access journal distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/4.0/) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.



# Patient photographic and videographic consent, authorization and release form

I am informed and aware of photographs, videotapes and other i	mages (imaging records) taken by Dr or
nis designee(s) of myself or any parts of my body regarding surgica	l procedures carried out by Dr I under-
stand and consent that such imaging records may and will be used l	by Dr as reference in diagnosing and treat-
ng other patients in the future. I further consent to the release and	transfer of copyright ownership by Dr. to Yeungnam University
ournal of Medicine of such imaging records.	
I understand that by consenting on release of my imaging records	s, these may and will be used in upcoming issue or issues of the
ournal, as well as on the journal website, or any other print or elect	ronic media for the purpose of informing medical professionals
or other readers about surgical methods. I understand that when th	nese imaging records are included in any articles, medical infor-
mation regarding sex, age, operative date and treatment results ma	ly and will be included together. But I, nor any member of my
family, will be identied by name in any publication, and any informa	ation that may aid in identifying me or my family will not be ex-
posed. (In case of facial photographs, the photo is cropped to only	necessary parts in order to make individual identication impos-
sible.) I understand that whether I consent on this form or not, it	
that there will be no eect on the medical treatment I receive from D	
I grant this consent as a voluntary contribution in the interest of	
sent, Authorization and Release form and fully understand its term	s. I understand that, if I do not revoke this authorization, it will
expire ten years from the date written below.	
	d :1, C : 1,
I hereby transfer in above-mentioned term	s, the copyright of my imaging records to
D <sub>#</sub>	
Dr	·
	20
	20
Name:	Signature:
Hospital:	Department:
Designated Doctor:	Signature: